

# Transfinite version of the Mittag-Leffler condition for the vanishing of the derived limit

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# Introduction

- The study of the right derived functors of the functor of limit was initiated in the works of Yeh, Milnor, Roos and Grothendieck.
- In particular, it was shown that for inverse sequences of abelian groups,  $\lim^i = 0$  for  $i > 1$ , but the functor  $\lim^1$  turned out to be non-trivial.
- Milnor emphasized the significant role of this functor in algebraic topology by introducing what is now known as Milnor exact sequence for homotopy groups.
- It was also proven that if the inverse sequence consists of epimorphisms, the derived limit is trivial.
- Grothendieck in [1] introduced the Mittag-Leffler condition for an inverse sequence, which generalizes the epimorphism condition, and proved that it also implies the vanishing of the derived limit.

- If all components of an inverse sequence are at most countable abelian groups, then the Mittag-Leffler condition becomes necessary and sufficient for the vanishing of the derived limit.
- However, for arbitrary inverse sequences of abelian groups, it is not a necessary condition.
- There arose a need to find a necessary and sufficient variant of this condition.

The same results can be proved for any abelian category with a generator  $G$ , small direct sums (AB3) and exact small products (AB4\*).

## Definition

An inverse sequence of abelian groups  $A$  is a couple consisting of two families  $A = ((A_i), (f_i))$  indexed by natural numbers  $i \in \omega$ , where  $A_i$  is an abelian groups and  $f_i : A_{i+1} \rightarrow A_i$  is a homomorphism.

$$A_1 \xleftarrow{f_1} A_2 \xleftarrow{f_2} A_3 \xleftarrow{f_3} A_4 \xleftarrow{f_4} A_5 \xleftarrow{f_5} \dots$$

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We call an inverse sequence  $A$  *null-sequence* if every  $f_i$  is a null-mapping.

We call an inverse sequence  $A$  *epimorphic* if every  $f_i$  is an epimorphism.

## Definition

Let  $A = ((A_i), (f_i))$  be an inverse sequence. The limit mapping of  $A$  is the homomorphism

$$\phi : \prod_{i=1}^{\infty} A_i \rightarrow \prod_{i=1}^{\infty} A_i$$

defined as follows:

$$\phi(a_1, a_2, a_3, \dots) = (a_1 - f_1(a_2), a_2 - f_2(a_3), \dots).$$



Suppose  $A$  is an inverse sequence and  $\phi$  is the limit mapping of  $A$ .

## Definition

Inverse limit  $\lim A := \text{Ker}(\phi)$ .

All the sequences  $(a_1, a_2, a_3, \dots)$ , such that  
 $(a_1, a_2, a_3, \dots) = (f(a_2), f(a_3), f(a_4), \dots)$ .

# Limit and derived limit

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## Definition

Derived inverse limit  $\lim^1 A := \text{Coker}(\phi)$ .

If  $\lim^1 A = 0$ , then for any sequence  $(b_1, b_2, b_3, \dots)$  exists sequence  
 $(a_1, a_2, a_3, \dots)$  such that  
 $(a_1 - f(a_2), a_2 - f(a_3), \dots) = (b_1, b_2, b_3, \dots)$ .

## Proposition

*Suppose we have the short exact sequence of inverse sequence  $A \hookrightarrow B \twoheadrightarrow C$ , then there is an exact sequence of abelian groups:*

$$0 \rightarrow \lim A \rightarrow \lim B \rightarrow \lim C \rightarrow \lim^1 A \rightarrow \lim^1 B \rightarrow \lim^1 C \rightarrow 0$$

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## Example

$$A := \mathbb{Z} \xleftarrow{i} p\mathbb{Z} \xleftarrow{i} p^2\mathbb{Z} \xleftarrow{i} p^3\mathbb{Z} \xleftarrow{i} p^4\mathbb{Z} \xleftarrow{i} \dots$$

$$0 \rightarrow A \rightarrow \{\mathbb{Z}\} \rightarrow \{\mathbb{Z}/p^i\mathbb{Z}\} \rightarrow 0$$

$$\lim^1 A = \hat{\mathbb{Z}}_p / \mathbb{Z}$$

## Definition

For any  $i \in \mathbb{N}$  Let us define image filtration  $I^\alpha(A_i)$  for an inverse sequence  $A$  recursively for any ordinal  $\alpha$ :

- $I^0(A_i) := A_i$
- $I^{\alpha+1}(A_i) := f_i(I^\alpha(A_{i+1}))$
- $I^\lambda(A_i) := \bigcap_{\alpha < \lambda} I^\alpha(A_i)$ , if  $\lambda$  is a limit ordinal.

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This condition is sufficient for the vanishing of  $\lim^1 A$  but not necessary if groups are uncountable.

## Definition

For an inverse sequence  $A$  let us define for every ordinal  $\alpha$

$$A^\alpha := A/I^\alpha(A)$$

For any limit ordinal  $\lambda$  the  $\lambda$ -*completion* of  $A$  is defined as

$$\widehat{A}^\lambda = \lim_{\beta < \lambda} A^\beta. \quad (1)$$



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Also this morphism induces a monomorphism

$$A^\lambda \hookrightarrow \widehat{A}^\lambda. \quad (2)$$

## Proposition

*For any ordinal  $\alpha$  there are isomorphisms*

$$\lim A^\alpha = \lim \widehat{A}^\alpha = 0, \quad \lim I^\alpha(A) \cong \lim A, \quad (3)$$

*where the last isomorphism is induced by the monomorphism  $I^\alpha(A) \hookrightarrow A$ . Moreover, there is a short exact sequence*

$$\lim^1 I^\alpha(A) \hookrightarrow \lim^1 A \twoheadrightarrow \lim^1 A^\alpha. \quad (4)$$

# Length of the transfinite image filtration

## Definition

The *length of the transfinite image filtration* of  $A$  is the least ordinal  $\text{len}(A)$  such that for any  $\alpha > \text{len}(A)$  the monomorphism  $I^\alpha(A) \hookrightarrow I^{\text{len}(A)}(A)$  is an isomorphism.

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## Proposition

*For an inverse sequence  $A$  the ordinal  $\text{len}(A)$  is well defined. Moreover, in this case  $I^{\text{len}(A)}(A)$  is an epimorphic inverse sequence, and the canonical morphisms  $I^{\text{len}(A)}(A) \twoheadrightarrow A \twoheadrightarrow A^{\text{len}(A)}$  induce isomorphisms*

$$\lim^1 A \cong \lim^1 A^{\text{len}(A)}, \quad \lim A \cong \lim I^{\text{len}(A)}(A). \quad (5)$$

## Corollary

*For an inverse sequence  $A$  the following statements are equivalent*

- 1  $\lim A = 0$ ;
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*If  $S$  is an epimorphic inverse sequence and  $\lim S = 0$ , then  $S = 0$ .*

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For a general category  $\mathcal{C}$  and a morphism  $\theta : c' \rightarrow c$  an object  $l$  of  $\mathcal{C}$  is called  $\theta$ -*local*, if the map  $\theta^* : \mathcal{C}(c, l) \rightarrow \mathcal{C}(c', l)$  is a bijection. The class of  $\theta$ -local objects is closed with respect to small limits [2, §1.5]. Further, we show that local inverse sequences are  $\theta$ -local objects with respect to particular morphism  $\theta$  in the category of inverse sequences. As a corollary, we obtain that the class of local inverse sequences is closed with respect to small limits.

## Definition

For a natural number  $n$  we denote by  $\mathbb{Z}(n)$  the inverse sequence such that  $\mathbb{Z}(n)_i = \mathbb{Z}$  for  $i \leq n$ ,  $\mathbb{Z}(n)_i = 0$  for  $i > n$ , and  $f_i = 1_{\mathbb{Z}}$  for  $i < n$ .

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## Lemma

For any inverse sequence  $A$  there is a natural isomorphism

$$\mathbf{Ab}^{(\omega^{op})}(\mathbb{Z}(n), A) \cong \mathbf{Ab}(\mathbb{Z}, A_n), \quad \varphi \mapsto \varphi_n. \quad (6)$$

# Generator of inverse sequences

Let us define

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Let us define our morphism  $\psi : \tilde{\mathbb{Z}} \rightarrow \tilde{\mathbb{Z}}$  such that  $\psi(0, 0, \dots, 0, 1, 0, \dots) = (0, 0, \dots, 0, -1, 1, 0, \dots)$ , that is, an element that has 1 in place  $n$  and all the other zeros, it sends to the element that has 1 in place of  $n$  and  $-1$  in place of  $n - 1$ .

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## Proposition

*An inverse sequence  $A$  is local if and only if it is a  $\psi$ -local object.*

## Corollary

*The class of local inverse sequences is closed with respect to small limits.*



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## Proposition

*The class of local inverse sequences is closed with respect to extensions by null-sequences.*

*Localization* in the category  $\mathcal{C}$ , this is the endofunctor  $L : \mathcal{C} \rightarrow \mathcal{C}$  for which there is a natural transformation  $\eta : \mathbf{Id} \rightarrow L$  such that  $\eta L = L\eta : L \rightarrow L^2$  is an isomorphism.

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### Corollary

*In the category  $\mathbf{Ab}^{(\omega^{op})}$  there is a localization for which local objects are exactly all local sequences.*

## Theorem

*Let  $A$  be an inverse sequence. Then the following statements are equivalent:*

- 1  $\lim^1 A = 0$ ;
- 2  $\lim \operatorname{Coker}(A \rightarrow \widehat{A}^\lambda) = 0$  for any limit ordinal  $\lambda$ ;
- 3 for a limit ordinal  $\lambda$ , if cofinality of  $\lambda$  is countable, then  $A$  is  $\lambda$ -complete, if the cofinality of  $\lambda$  is uncountable, then  $\lim \operatorname{Coker}(A \rightarrow \widehat{A}^\lambda) = 0$ .

## Theorem

*The class of local inverse sequences is the least class containing the zero inverse sequence and closed with respect to small limits and null-extensions.*

# Sequences defined by one abelian group.

## Definition

Consider an inverse sequence  $S(A)$  such that  $S(A)_i = A$  and  $f_i(a) = pa$ .

$$S(A) : \quad A \xleftarrow{p} A \xleftarrow{p} A \xleftarrow{p} \dots \quad (9)$$



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Let us fix an ordinal  $\alpha$ .

## Definition

Denote by  $\alpha^\diamond$  the set of all finite increasing sequences of ordinals  $(\alpha_1, \dots, \alpha_n)$  such that  $\alpha_1 < \dots < \alpha_n < \alpha$ ,  $n \geq 1$ . We endow  $\alpha^\diamond$  by the deg-lex order:  $(\alpha_1, \dots, \alpha_n) < (\alpha'_1, \dots, \alpha'_{n'})$  if and only if either  $n < n'$ , or  $n = n'$  and there exists  $1 \leq m \leq n$  such that  $\alpha_m < \alpha'_m$  and  $\alpha_i = \alpha'_i$  for any  $1 \leq i < m$ . It is easy to check that it is a well order.

# A local sequence with a long image filtration

## Definition

Consider the direct product and the direct sum of the group of  $p$ -adic integers  $\mathbb{Z}_p$  indexed by  $\alpha^\diamond$

$$P_\alpha := \mathbb{Z}_p^{\prod \alpha^\diamond}, \quad P'_\alpha := \mathbb{Z}_p^{\oplus \alpha^\diamond}. \quad (10)$$

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We denote by  $(e_\sigma)_{\sigma \in \alpha^\diamond}$  the standard basis of  $P'_\alpha$  over  $\mathbb{Z}_p$ . Consider a  $\mathbb{Z}_p$ -submodule of  $R_\alpha \subseteq P'_\alpha$  generated by the elements of the form

$$r_{\alpha_1} := pe_{\alpha_1}, \quad r_{\alpha_1, \dots, \alpha_n} := pe_{\alpha_1, \dots, \alpha_n} - e_{\alpha_2, \dots, \alpha_n}, \quad n \geq 2, \quad (11)$$

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$$D_\alpha := P_\alpha/R_\alpha, \quad D'_\alpha := P'_\alpha/R_\alpha, \quad E_\alpha := D_\alpha/p^\alpha D_\alpha. \quad (12)$$

## Theorem

*For any ordinal  $\alpha$  the inverse sequence  $S(E_\alpha)$  is local and*

$$\text{len}(S(E_\alpha)) = \alpha. \quad (13)$$

## Definition

Let  $\kappa$  be a regular cardinal. For a family of abelian groups  $(A_x)_{x \in X}$  we define its  $\kappa$ -supported product as the subgroup  $\prod_{x \in X}^{(\kappa)} A_x$  of the product  $\prod_{x \in X} A_x$  consisting of elements whose support has cardinality  $< \kappa$ .

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## Lemma

*If  $J$  is a small category such that  $|\text{Ob}(J)|, |\text{Mor}(J)| < \kappa$ , then for any family of functors to the category of abelian groups  $(A_x : J \rightarrow \text{Ab})_{x \in X}$  there is a natural isomorphism*

$$\prod_{x \in X}^{(\kappa)} \left( \lim_J A_x \right) \cong \lim_J \left( \prod_{x \in X}^{(\kappa)} A_{x,y} \right).$$

## Lemma

*If  $\kappa$  is uncountable cardinal and there is a family of inverse sequences  $(S_x)_{x \in X}$ , then there is a natural isomorphism*

$$\lim^1 \left( \prod_{x \in X}^{(\kappa)} S_x \right) \cong \prod_{x \in X}^{(\kappa)} (\lim^1 S_x).$$



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$$\lim^1 \left( \prod_{x \in X}^{(\kappa)} S_x \right) \cong \prod_{x \in X}^{(\kappa)} (\lim^1 S_x).$$

## Corollary

*Let  $\kappa$  be a regular uncountable cardinal and  $(A_x)_{x \in X}$  be a family of local inverse sequences of abelian groups. Then the  $\kappa$ -supported product  $\prod_{x \in X}^{(\kappa)} A_x$  is local.*

## Theorem

Let  $\kappa$  be a regular uncountable cardinal,  $A = \prod_{\alpha < \kappa}^{(\kappa)} E_{\alpha+1}$  and  $S = S(A)$ . Then  $S$  is local, not  $\kappa$ -complete and  $\text{len}(S) = \kappa$ .

Is there a  $\omega$ -complete inverse sequence of abelian groups with nonzero derived limit?



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