Regularity of algebras of O(n)-invariants using Hilbert series

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Annual report session of the Department of Algebra and Logic

December 18, 2020

- Let G be a reductive complex linear algebraic group (e.g. G = GL(n), SL(n), O(n), SO(n), Sp(2k)). A finite-dimensional representation W of G is called *coregular* if the algebra of invariants C[W]^G is regular, i.e. isomophic to a polynomial algebra.
- The irreducible coregular representations of connected simple complex algebraic groups were classified by Kac, Popov and Vinberg. They also described the degrees of the generators in a minimal generating set.
- The reducible coregular representations of connected simple complex algebraic groups were classified by Schwarz.

Question: What can we say about $\mathbb{C}[W]^{O(n)}$?

Definition

Let $A = \bigoplus_{i \ge 0} A^i$ be a finitely generated graded algebra over $\mathbb C$ such that

 $A^0 = \mathbb{C}$ or $A^0 = 0$. The Hilbert series of A is the formal power series

$$H(A,t)=\sum_{i\geq 0}(\dim A^i)t^i.$$

Definition

A finitely generated graded algebra A is called Cohen-Macaulay if there exist homogeneous elements $u_1, \ldots, u_k \in A$ with the two properties:

- (i) The elements u_i are algebraically independent, i.e., the algebra they generate is a polynomial ring in the u_i.
- (ii) The ring A is a free module over $\mathbb{C}[u_1, \ldots, u_k]$.

By Hochster-Roberts theorem, for any reductive linear algebraic group G the algebra of invariants C[W]^G is Cohen-Macaulay. Therefore, its Hilbert series has the form

$$H(\mathbb{C}[W]^G,t)=rac{p(t)}{\prod_i(1-t^{h_i})}, \hspace{1em} ext{where} \hspace{1em} p(t)=\sum_j t^{l_j}.$$

• If $p(t) \neq 1$ then $\mathbb{C}[W]^G$ is not polynomial, hence W is not coregular.

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- A finite dimensional GL(n)-module W is called **polynomial** if

$$W\cong \bigoplus_{\lambda}k(\lambda)V_{\lambda}.$$

Let W be a polynomial GL(n)-module and let $S(W) = \bigoplus_{i \ge 0} S^i W$ denote the symmetric algebra of W. For G = O(n), SO(n), SO(2k) we have that

$$\mathbb{C}[W]^G \cong S(W)^G.$$

Question: When is $S(W)^{O(n)}$ a polynomial algebra, i.e. when is W a coregular O(n)-representation?

Theorem

Let W be a polynomial GL(2)-module. If the algebra $S(W)^{O(2)}$ is polynomial, then up to an O(2)-isomorphism W is one of the following: (1) V, S²V, S³V, S⁴V, $\Lambda^2 V$, $V_{(3,1)}$; (2) V \oplus V, V \oplus S²V, S²V \oplus S²V, V \oplus $\Lambda^2 V$, S²V \oplus $\Lambda^2 V$.

Theorem

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In the proof we use the following:

- Every subrepresentation of a coregular representation must be coregular.
- Consider the irreducible GL(2)-module $V_{(k,l)}$.

(i) If at least one of k and l is even, then $V_{(k,l)} \cong S^{k-l}V$ as O(2)-modules;

- (ii) If both k and l are odd, then $V_{(k,l)} \cong V_{(k-l+1,1)}$ as O(2)-modules.
- Branching rules for $S^k V$ and $V_{(k,1)}$ as O(2)-modules.

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Table : Hilbert series for n = 2

W	$H(S(W)^{O(2)},t)$	$H(S(W)^{\mathrm{SO}(2)},t)$
$V = \mathbb{C}^2$	$\frac{1}{1-t^2}$	$\frac{1}{1-t^2}$
S^2V	$\frac{1}{(1-t)(1-t^2)}$	$\frac{1}{(1-t)(1-t^2)}$
S^3V	$\frac{1}{(1-t^2)^2(1-t^4)}$	$\left \begin{array}{c} rac{1+t^4}{(1-t^2)^2(1-t^4)} \end{array} ight $
S^4V	$\frac{1}{(1-t)(1-t^2)^2(1-t^3)}$	$rac{1+t^3}{(1-t)(1-t^2)^2(1-t^3)}$
S^5V	$\frac{1\!+\!t^2\!+\!3t^4\!+\!4t^6\!+\!5t^8\!+\!4t^{10}\!+\!3t^{12}\!+\!t^{14}\!+\!t^{16}}{(1\!-\!t^8)(1\!-\!t^6)(1\!-\!t^4)(1\!-\!t^2)^2}$	$\frac{1 + t^2 + 6t^4 + 9t^6 + 12t^8 + 9t^{10} + 6t^{12} + t^{14} + t^{16}}{(1 - t^8)(1 - t^6)(1 - t^4)(1 - t^2)^2}$
<i>S</i> ⁶ <i>V</i>	$\frac{1\!+\!t^2\!+\!t^3\!+\!2t^4\!+\!t^5\!+\!2t^6\!+\!t^7\!+\!t^8\!+\!t^{10}}{(1\!-\!t)(1\!-\!t^2)^2(1\!-\!t^3)(1\!-\!t^4)(1\!-\!t^5)}$	$\frac{1\!+\!t^2\!+\!3t^3\!+\!4t^4\!+\!4t^5\!+\!4t^6\!+\!3t^7\!+\!t^8\!+\!t^{10}}{(1\!-\!t)(1\!-\!t^2)^2(1\!-\!t^3)(1\!-\!t^4)(1\!-\!t^5)}$
$\Lambda^2 V$	$\frac{1}{1-t^2}$	$\frac{1}{1-t}$
V _(3,1)	$\frac{1}{(1-t^2)^2}$	$\frac{1}{(1-t)(1-t^2)}$
V _(5,1)	$\frac{1+t^4}{(1-t^2)^3(1-t^3)}$	$\frac{1\!+\!t^3}{(1\!-\!t)(1\!-\!t^2)^2(1\!-\!t^3)}$

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Table : Hilbert series for n = 2

W	$H(S(W)^{O(2)},t)$	$H(S(W)^{\mathrm{SO}(2)},t)$
$V \oplus V$	$\frac{1}{(1-t^2)^3}$	$\frac{1+t^2}{(1-t^2)^3}$
$V \oplus S^2 V$	$\frac{1}{(1-t)(1-t^2)^2(1-t^3)}$	$\left rac{1+t^3}{(1-t)(1-t^2)^2(1-t^3)} ight $
$S^2V\oplus S^2V$	$\frac{1}{(1-t)^2(1-t^2)^3}$	$rac{1+t^2}{(1-t)^2(1-t^2)^3}$
$V \oplus \Lambda^2 V$	$\frac{1}{(1-t^2)^2}$	$\frac{1}{(1-t)(1-t^2)}$
$S^2V\oplus \Lambda^2V$	$\frac{1}{(1-t)(1-t^2)^2}$	$\frac{1}{(1-t)^2(1-t^2)}$
$\Lambda^2 V \oplus \Lambda^2 V$	$\frac{1+t^2}{(1-t^2)^2}$	$\frac{1}{(1-t)^2}$
$V \oplus V \oplus V$	$\frac{1+t^2+t^4}{(1-t^2)^5}$	$\frac{1+4t^2+t^4}{(1-t^2)^5}$
$V \oplus V \oplus S^2 V$	$\frac{(1\!+\!t\!+\!t^2\!+\!t^3\!+\!t^4)(1\!+\!t^3)}{(1\!-\!t^2)^4(1\!-\!t^3)^2}$	$\frac{1+2t^2+4t^3+2t^4+t^6}{(1-t)(1-t^2)^3(1-t^3)^2}$
$V \oplus S^3 V$	$\frac{1+t^2+3t^4+t^6+t^8}{(1-t^2)^3(1-t^4)^2}$	$\frac{1+2t^2+8t^4+2t^6+t^8}{(1-t^2)^3(1-t^4)^2}$
$V \oplus S^4 V$	$\frac{(1\!+\!t^4)(1\!+\!t^2\!+\!t^3\!+\!t^4\!+\!t^5\!+\!t^6)}{(1\!-\!t^2)^3(1\!-\!t^3)^2(1\!-\!t^5)}$	$rac{1\!+\!t^2\!+\!4t^4\!+\!t^5\!+\!t^7}{(1\!-\!t^2)^3(1\!-\!t^3)(1\!-\!t^5)(1\!-\!t^6)}$

Let $V = \mathbb{C}^n$ denote the standard GL(n)-module and let $W = S^2 V$ be the second symmetric power of V. Then

$$H(S(S^2V)^{O(n)},t) = H(S(S^2V)^{SO(n)},t) = \prod_{i=1}^n \frac{1}{1-t^i}.$$

Corollary

For all n we have

$$S(S^2V)^{\mathcal{O}(n)} = S(S^2V)^{\mathcal{SO}(n)}.$$

In particular, $S(S^2V)^{O(n)}$ is a polynomial algebra.

Remark: The above corollary is trivially true for n = 2k + 1 since $O(2k + 1) = SO(2k + 1) \times {Id, -Id}.$

Next, let us take $W = \Lambda^2 V$, the second exterior power of V. Then

$$H(S(\Lambda^2 V)^{O(n)}, t) = \prod_{i=1}^{\lfloor \frac{n}{2} \rfloor} \frac{1}{1 - t^{2i}},$$
$$H(S(\Lambda^2 V)^{SO(n)}, t) = \begin{cases} \frac{1}{1 - t^k} \prod_{i=1}^{k-1} \frac{1}{1 - t^{2i}}, & \text{if } n = 2k, \\ \prod_{i=1}^k \frac{1}{1 - t^{2i}}, & \text{if } n = 2k+1. \end{cases}$$

For n = 2k + 1 we have again $S(\Lambda^2 V)^{O(2k+1)} = S(\Lambda^2 V)^{SO(2k+1)}$.

Lemma

If dim $S^{I}(W)^{SO(n)} = 1$ and if f is a generator of $S^{I}(W)^{SO(n)}$ then f or f^{2} is an O(n)-invariant.

Corollary

Let n = 2k. There exists a generating set $\{f_{2i}\}_{i=1}^{k-1} \cup \{g\}$ of $S(\Lambda^2 V)^{SO(2k)}$ (where deg $f_j = j$ and deg g = k) such that $\{f_{2i}\}_{i=1}^{k-1} \cup \{g^2\}$ is a generating set for $S(\Lambda^2 V)^{O(2k)}$. In particular, $S(\Lambda^2 V)^{O(2k)}$ is a polynomial algebra.

Let $W = V \oplus \Lambda^2 V$. Then, when n = 2k we obtain

$$H(S(W)^{O(2k)},t) = \prod_{i\leq k} \frac{1}{(1-t^{2i})^2},$$

$$H(S(W)^{SO(2k)},t) = \frac{1}{(1-t^{2k})(1-t^k)} \prod_{i \le k-1} \frac{1}{(1-t^{2i})^2}.$$

For n = 2k + 1 we have

$$H(S(W)^{O(2k+1)},t) = \frac{1}{1-t^{n+1}}\prod_{i\leq k}\frac{1}{(1-t^{2i})^2},$$

$$H(S(W)^{\mathrm{SO}(2k+1)},t) = rac{1}{1-t^{k+1}} \prod_{i \leq k} rac{1}{(1-t^{2i})^2}.$$

The degrees of the generators of $S(V \oplus \Lambda^2 V)^{SO(n)}$ (using the fact that $S(V \oplus \Lambda^2 V) = S(V) \otimes S(\Lambda^2 V)$) are as follows (see Schwarz):

• For $S(W)^{SO(2k)}$ we have generators in degrees

 $(0,2), (0,4), \ldots, (0,2k-2); (2,0), (2,2), (2,4), \ldots, (2,2k-2); (0,k).$

• For $S(W)^{SO(2k+1)}$ we have generators in degrees

 $(0,2), (0,4), \ldots, (0,2k); (2,0), (2,2), (2,4), \ldots, (2,2k-2); (1,k).$

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Corollary

Let n = 2k + 1. Let $\{f_{2i}, g_{2i}\}_{i=1}^{k} \cup \{h\}$ be a generating set for $S(V \oplus \Lambda^2 V)^{SO(2k+1)}$ (where deg $f_j = (0, j)$, deg $g_j = (2, j-2)$, deg h = (1, k)). Then $\{f_{2i}, g_{2i}\}_{i=1}^{k} \cup \{h^2\}$ is a generating set for $S(V \oplus \Lambda^2 V)^{O(2k+1)}$. Hence, $S(V \oplus \Lambda^2 V)^{O(2k+1)}$ is a polynomial algebra.

Corollary

Let n = 2k. There exists a generating set $\{f_{2i}\}_{i=1}^{k-1} \cup \{g_{2i}\}_{i=1}^{k} \cup \{h\}$ of $S(V \oplus \Lambda^2 V)^{SO(2k)}$ (where deg $f_j = (0, j)$, deg $g_j = (2, j - 2)$, deg h = (0, k)) such that $\{f_{2i}\}_{i=1}^{k-1} \cup \{g_{2i}\}_{i=1}^{k} \cup \{h^2\}$ is a generating set for $S(V \oplus \Lambda^2 V)^{O(2k)}$. Hence, $S(V \oplus \Lambda^2 V)^{O(2k)}$ is a polynomial algebra.

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Thank you for your attention!

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