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Estimate for Norm of Solutions of Nonautonomous Equations in Hilbert Space

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Presented by P. Kenderov

A linear nonautonomous equation in Hilbert space is considered. The estimate for a norm of solutions is obtained, by the new inequality for operator-valued function. The estimate gives stability conditions. The possible application to fourth-order parabolic systems and integral-differential systems is discussed.

1. Introduction

Let H be a Hilbert space with inner product (\cdot, \cdot) , norm $\|\cdot\|$. And let S be a linear normal operator in H: S*S = SS*. Consider the equation

(1.1)
$$\dot{x} = A(t)x, \ \ (\dot{} \equiv \frac{d}{dt}, \ t \geqslant 0),$$

where A(t) is a linear variable operator in H with domain D(A(t)); $\sigma(A)$ denotes the spectrum of an operator A. Assume there is a map $T(t, \mu)$ from $\sigma(S) \times R_+$ into the set B(H) of all bounded linear operators on H, such that

(1.2)
$$A(t)h = \int_{\sigma(S)} T(t, \mu) dE_{\mu}h \text{ and } T(t, \mu)E_{\mu} = E_{\mu}T(t, \mu)$$

for all $\mu \in \sigma(S)$ and $h \in D(A(t))$,

where E_{μ} is the spectral function of S, and the integral strongly converges.

Example 1. Consider the problem

(1.3)
$$\dot{u} = b_2(t) \Delta^2 u + b_1(t) \Delta u + b_0(t) u \text{ in } \Omega,$$
$$\Delta u(x, t) = u(x, t) = 0, \text{ on } \partial \Omega, t \ge 0,$$

where Ω is some domain in Euclidean space with a smooth boundary $\partial\Omega$, Δ is the Laplacian, $b_k(t)$ (k=1, n) are $n \times n$ -matrices.

Let, $H = L^2(\Omega; R^n)$, $S = \Delta$, $D(S) = \{h \in H : \Delta h \in H, h|_{\partial\Omega} = 0\}$, then we can write (1.3) in the form (1.1), (1.2) with

$$T(t, \mu) = b_2(t) \mu^2 + b_1(t) \mu + b_0(t).$$

Example 2. Consider the problem

(1.4)
$$\dot{u}(x, t) = \Delta u + \int_{\Omega} G(t, x-s) u(s, t) ds \text{ in } \Omega,$$

$$u = 0 \text{ on } \partial \Omega,$$

where G(t, x) is a $n \times n$ -matrix $\forall x \in \Omega, t \ge 0$, with property $\int_{\Omega} \int_{\Omega} ||G(t, x - s)||^2 dx ds < \infty$, Ω is the same as in example 1.

Denote the operator K(t) by

$$(K(t)h)(x) = \int_{\Omega} G(t, x-s) h(s) ds (h \in L^{2}(\Omega, R^{n})).$$

We arrive at (1.1), (1.2), when $H = L^2(\Omega, R^n)$, $S = \Delta$, $T(t, \mu) = \mu + K(t)$.

In this article we shall obtain the estimate for solutions of (1.1), under (1.2) and other conditions. The mentioned estimate gives the stability criterion. It is well known that finding a Lyapunov function for the investigation of the stability parabolic systems and integral-differential equations is usually difficult. Below we shall obtain the stability criterion in terms of some inequality.

Our results make corresponding well-known results (see [1, Ch. 7; 2] and

references given therein) more precise in the case (1.2).

Denote by C_2 the Hilbert-Schmidt's ideal of operators in H.

The following estimate plays a significant role hereafter

(1.5)
$$\|\exp(Bt)\| \le p(B, t) \equiv \exp\left[\alpha(B)t\right] \sum_{k=0}^{n-1} v^k(B) \frac{t^k}{(k!) 3/2} \ (t \ge 0)$$

for each $B \in C_2$ [3, Ch 2 and Ch. 4] (see also [4, 5]). Here and below

(1.6)
$$\alpha(B) = \sup \operatorname{Re} \sigma(B), \ v(B) = ((|B|_2)^2 - \sum_{k=1}^n |\lambda_k(B)|^2)^{1/2},$$

 $|B|_2$ - Hilbert-Schmidt's norm of B; $\lambda_1(B)$, $\lambda_2(B)$,... are eigenvalues of B with calculation of their multiplicity, n=n(B) the dimension of B.

Inequalities

$$(1.7) v(B) \le \sqrt{0.5} |B - B^*|_2$$

and

$$v^2(B) \leqslant (|B|_2)^2 - |\operatorname{Trace} B^2|$$

are valid (see [3]). If $B \in C_2$ is a normal operator, then v(B) = 0. Surely, $v(Be^{i\theta}) = v(B)$ for any real θ .

2. Preliminaries

2.1. General estimate

Let X be Banach space with norm $\|\cdot\|_X$. Suppose A_0 is a generator of a strongly continuous semigroup $\exp(A_0 t)$ in X. Let also B(t) be a variable linear operator in X, satisfying

(2.1)
$$\int_{0}^{t} \|\exp[A_{0}(t-s)]B(s)\|_{X} ds \leq \varphi(t-\tau) \quad (p \leq \tau, \ t \leq T)$$

for a certain positive number $T < \infty$ and a nonnegative continuous function φ with property $\varphi(0)=0$. Denote

(2.2)
$$a = \sup \{ \| e^{A_0 t} \| : 0 \le t \le T \}.$$

Following F. Browder's terminology [1, p. 55] a continuous solution $x:[0,T] \to D(A(t))$ of the integral equation

(2.3)
$$u(t) = e^{A_0 t} u(0) + \int_0^t e^{A_0 (t-s)} B(s) u(s) ds$$

is a solution of (1.1), as far as $A(t) = A_0 + B(t)$.

By the contraction mapping theorem (2.3) has unique solution with every $u(0) \in D(A(0))$.

We have from (2.3)

$$||u(t)||_x \le a ||u(0)||_x + \varphi(t) \sup_{0 \le s \le T} ||u(s)||_x.$$

Thus, the condition $\varphi(T) < 1$ implies

(2.4)
$$||u(t)||_{x} \leq \frac{a}{1-\omega(T)} ||u(0)||_{x} \quad (0 \leq t \leq T).$$

2.2. Estimate for an integral with respect to a spectral function

Everywhere in this subsection the domain of the integration is $\sigma(S)$.

Lemma 1. Let S be a normal operator in H with the spectral function E_{μ} and let $K(\mu)$ be a bounded operator-valued function defined on $\sigma(S)$, such that the integral $K_0 \equiv \int K(\mu) dE_{\mu}$, converges in the operator norm. If $K(\mu) E_{\mu} = E_{\mu} K(\mu)$ ($\mu \in \sigma S$), and

$$C_0 \equiv \int ||K(\mu)||^2 d(E_\mu h, h) < \infty.$$

Then $||K_0 h||^2 \leq C_0$.

Proof. We have

$$||K_0 h||^2 = (\int K(\mu) dE_{\mu} h, \int K(\lambda) dE_{\lambda} h) (h \in H).$$

Since $K(\mu)$ and E_{μ} commute, we can write

$$||K_0 h||^2 = \iint (K^* (\lambda) K(\mu) dE_{\lambda} h, dE_{\mu} h)$$

=
$$\iint (K^* (\lambda) K(\lambda) dE_{\lambda} h, h).$$

From here we arrive to the inequality

$$||K_0 h||^2 \le \int ||K(\lambda)||^2 (dE_{\lambda} h, h),$$

which proves the result.

2.3. Representation of solutions

Consider the ordinary equation

$$\dot{y} = T(t, \mu)y \qquad (\mu \in \sigma(S), t \geqslant 0)$$

where $T(t, \mu)$ is an operator-valued function of $\mu \in \sigma(S)$ and $t \in [0, T]$. Denote by $V(\mu, t)$ Cauchy operator of (2.5) I.e. $V(\mu, t) y(0) = y(t)$ for a solution y of (2.5). In this subsection we assume the existence of a unique solution of (2.5) with any initial condition for each $\mu \in \sigma(S)$.

Lemma 2. Suppose $T(t, \mu)$ maps $\sigma(S) \times R_+$ into B(H) and commutes with E_{μ} . If

(2.6)
$$\| \int_{\sigma(S)} T(t, \mu) V(\mu, t) dE_{\mu} x_{0} \| < \infty$$

for given $x_0 \in D(A(0))$ and all $t \in [0, T]$, then the following equality is valid:

(2.7)
$$x(t) = \int_{\sigma(S)} V(\mu, t) dE_{\mu} x(0)$$

for any solution x(t) of (1.1) with $x(0) = x_0$.

Proof. By definition of Cauchy operator

$$\frac{\mathrm{d}}{\mathrm{d}t} V(\mu, t) = T(t, \mu) V(\mu, t).$$

We have

$$\frac{\mathrm{d}x}{\mathrm{d}t} = \int_{\sigma(S)} T(t, \mu) V(\mu, t) \, \mathrm{d}E_t x_0$$

differentiating both sides of (2.7) and taking into account (2.7).

On the other hand,

$$A(t)x(t) = \int_{\sigma(S)} T(t, \tau) dE_{\tau} \int_{\sigma(S)} V(\mu, t) dE_{\mu} x_{0}$$
$$= \int_{\sigma(S)} T(t, \mu) V(\mu, t) dE_{\mu} x_{0}$$

i.e. (2.7) actually represents a solution of (1.1) Q.E.D. Since

$$\int ||K(\mu)||^2 d(E_{\mu}h, h) \leq \sup \{||K(\mu)||^2 : \mu \in \sigma(S)\} ||h||^2.$$

Lemmas 1 and 2 give

Corollary 1. Suppose under hypothesis of Lemma 2 $||V(\mu, t)|| \le \varphi_0(t)$, for all $\mu \in \sigma(S)$ and $t \ge 0$, where φ_0 is a positive function. Then $||x(t)|| \le \varphi_0(t) ||x_0||$ $(t \ge 0)$.

2.3. Estimate for solution of ordinary equation

Consider the following equation in H:

(2.8)
$$\dot{x} = T_0 x + T_1(t) x \qquad (t \ge 0),$$

where T_0 doesn't depend on t and $||T(t)|| \le q$ for all $t \ge 0$.

Lemma 3. Let $T_0 \in U_2$, besides $\alpha(T_0) < 0$. Assume

$$k = q \sum_{k=0}^{n_0-1} \frac{v^k(T_0)}{|\alpha(T_0)|^{k+1}} \sqrt{k!} < 1. \qquad (n_0 = n(T_0)).$$

Then a solution of (2.8) satisfies the following estimate:

$$(2:9) ||x(t)|| \le a_0 ||x(0)|| (1-k)^{-1} (t \ge 0).$$

Here $a_0 = \sup p(T_0, t)$,

$$p(T_0, t) = \exp\left[\alpha(T_0)t\right]^{n_0-1} \sum_{k=0}^{n_0-1} v^k(T_0) \frac{t^{k}}{(k!)^{3/2}} \qquad (t \ge 0)$$

Proof. We have $\|\exp[\alpha(T_0)t]\| \le p(T_0, t)$ by (1.5). Hence, $\|\exp[\alpha(T_0)t]\| \le a_0$ $(t \ge 0)$. It is easy to see

$$\int_{0}^{t} \|\exp[(T_{0}(t-s)] T_{2}(s)\| ds \leq q \int_{0}^{\infty} p(T_{0}, t) dt = k.$$

Now, (2.4) gives (2.9). Q. E. D.

3. Main results

Suppose

(3.1)
$$T(t, \mu) = T_0(\mu) + T_1(t, \mu),$$

where $T_0(\mu)$ is a Hilbert-Schmidt operator for all $\mu \in \sigma(S)$,

(3.2)
$$E_{\mu} T_{0}(\mu) = T_{0}(\mu) E_{\mu} \qquad (\mu \in \sigma(S)),$$

 T_1 maps $\sigma(S) \times R_+$ into B(H) and satisfies the inequality

(3.3)
$$||T_1(t, \mu)|| \leq q(\mu) \text{ for all } \mu \in \sigma(S), \ t \geq 0,$$

where $q(\mu)$ is a nonnegative function on R_+ . Denote $v_{\mu} = v(T_0(\mu))$, $\alpha_{\mu} = \alpha(T_0(\mu))$, $n(\mu) = n(T_0(\mu))$.

Theorem 1. Let conditions (1.2), (3.1-3.3) be satisfied. Suppose $T_0(\mu) \in C_2$, $\alpha_{\mu} \leq \alpha_0 < 0$ and

(3.4)
$$k(\mu) \equiv q(\mu) \sum_{m=0}^{n(\mu)-1} \frac{v_{\mu}^{m}}{\sqrt{m!} |\alpha_{\mu}|^{m+1}} \leq k_{0} < 1$$

for any $\mu \in \sigma(S)$. Then the domain D(A(t)) of A(t) is constant:

(3.5)
$$D(A(t)) \equiv D(A_0), \text{ where } A_0 = \int_{\sigma(S)} T_0(\mu) dE_{\mu}.$$

Moreover, there exists a unique solution x(t) of (1.1) with any initial condition $x(0) = x_0 \in D(A_0)$ and the following estimate is valid:

(3.6)
$$||x(t)|| \le b_0 (1 - k_0)^{-2} ||x_0|| (t \ge 0).$$

Here

$$b_0 = \sup_{t \ge 0} \sup_{\mu \in \sigma(S)} p(T_0(\mu), t).$$

Proof. According to (1.5)

$$\|\exp(T_0(\mu)t)\| \le p(T_0(\mu), t)$$
 $(t \ge 0).$

At first, we prove that actually $b_0 < \infty$. In fact, let $b_0 = \infty$ and let R be a sufficiently large number. Then there is the positive number z such that $p(T_0(z), t) > R$ for some $t \ge 0$. Since $p(T_0(\mu), t)$ is a continuous function with respect to t, we can write

$$k(z) = \int_{0}^{\infty} p(T_0(z), t) dt > 1.$$

This contradicts (3.4), i.e. $b_0 < \infty$.

Now, we prove relation (3.5). It is clear,

$$||T_0^{-1}(\mu)|| = ||\int_0^\infty \exp(T_0(\mu)t) dt|| \le \int_0^\infty ||\exp(T_0(\mu)t)|| dt.$$

Thus,

$$||T_0^{-1}(\mu)|| \leq \int_0^\infty p(T_0(\mu), t) dt = \sum_{m=0}^{n(\mu)-1} \frac{v_\mu^m}{\sqrt{m!} |\alpha_\mu|^{m+1}}.$$

Hence,

$$||T_1(t, \mu)|| ||T_0^{-1}(\mu)|| \le k_{\mu} < 1.$$

Since

$$||T_0(\mu)g|| \ge ||g|| ||T_0^{-1}(\mu)||^{-1}, \quad (g \in H)$$

we come to the inequality $||T_0(\mu)g|| \ge ||T_1(t, \mu)g||$ for any $t \ge 0$, $\mu \in \sigma(S)$. From here it follows

$$||T(t, \mu)g|| \le 2 ||T_0(\mu)g|| \quad (g \in H, \mu \in \sigma(S), t \ge 0).$$

Now, it is simple to show that this inequality implies the following inequality: $||A(t)g|| \le 2 ||A_0g||$ for any $g \in D(A_0)$. Thus (3.5) is proved.

The existence of solutions one can prove by arguments of Sec. 2.1. It remains to prove the estimate (3.6). Consider (2.5). By Lemma 3

$$||y_{\mu}(t)|| \le a_{\mu} (1 - k_{\mu})^{-1} ||y_{\mu}(0)|| \quad (t \ge 0),$$

where y_{μ} is a solution of (2.5), $a_{\mu} = \sup \{p(T_0(\mu), t) : t \ge 0\} \le b_0$. Corollary 1 of Lemma 2 gives the required estimate. Q. E. D.

Denote

$$P(\lambda, \mu) = q(\mu) \sum_{m=0}^{n(\mu)-1} \frac{v_{\mu}^{m}}{\sqrt{m!}} \lambda^{n(\mu)-m-1}.$$

Theorem 2. Let conditions (1.2), (3.1 – 3.3) be satisfied and let $T_0(\mu)$ be a finite dimension operator for all $\mu \in \sigma(S)$. Assume

(3.7)
$$\alpha(T_0(\mu)) + r(\mu) \leq \Lambda_0 < \infty \qquad (\mu \in \sigma(S)),$$

where $r(\mu)$ is the extreme right (unique positive and simple) root of the polynomial $\lambda^{n(\mu)} - P(\lambda, \mu)$. Then the following inequality is valid:

$$||x(t)|| \le C \exp(\Lambda_0 t) ||x(0)||$$
 $(C = \text{const}, t \ge 0)$

for any solution x(t) of (1.1) with $x(0) = x_0 \in D(A_0)$.

Proof. At first we assume $\Lambda_0 < 0$. Then $r(\mu) < |\alpha_{\mu}|$. We have

$$k_{\mu} \equiv q(\mu) \sum_{k=0}^{n(\mu)-1} \frac{v_{\mu}^{k}}{|\alpha_{\mu}|^{k+1} \sqrt{k!}} < q(\mu) \sum_{k=0}^{n(\mu)-1} \frac{v_{\mu}}{r_{\mu}^{k+1} \sqrt{k!}}.$$

We multiply this relation by r^n . We have $r^n k_{\mu} \leq P(r, \mu)$. The equality $r^n = P(r, \mu)$ implies the inequality $k_{\mu} < 1$. Theorem 1 gives the estimate (3.6). The substitution $x(t) = \exp(-\varepsilon t)y(t)$ into (1.1) gives under some $\varepsilon > 0$:

$$\dot{Y} = \varepsilon y + A(t)y$$
. If $\varepsilon + \alpha_{\mu} + r_{\mu} < 0$ $(\mu \in \sigma(S))$,

then according to the estimate proved above,

$$||y(t)|| \leq a_{\varepsilon} ||y(0)||.$$
 $(t \geq 0)$

From here the required estimate follows.

Now, let $\Lambda_0 \geqslant 0$. We substitute $x(t) = \exp[(\Lambda_0 + \varepsilon)t] z(t)$ into (1.1). Under sufficiently large $\varepsilon > 0$, we can apply the estimate which is proved above. Q. E. D. Denote by I the identity matrix in R^n .

Corollary 1. Let under conditions (1.2), (3.1-3.3) $\zeta_{\mu} \equiv T_0(\mu) + r(\mu)I$ be a Harvitz's matrix (i.e. Re $\sigma(\zeta_{\mu}) < 0$) for all $\mu \in \sigma(S)$. Then (1.1) is stable.

Remark 1. Theorem 2 is exact. In particular, (3.7) is the necessary stability condition, if $T_0(\mu)$ is a normal matrix for all $\mu \in \sigma(S)$. In fact in this case $v(T_0(\mu)) = 0$ (see above) and $r(\mu) = q(\mu)$. Selecting $T_1(\mu, t) \equiv qI$ it is simple to show that (3.4) is actually the necessary stability condition.

4. Examples

In this section everywhere $\mu \in \sigma(S)$

4.1. Fourth-order parabolic system

Consider the problem (1.3) assuming $b_k(t) = b_{0k} + b_{1k}(t)$, where b_{0k} is independ on t, and

$$||b_k(t)||_{R^n} \leqslant q_k < \infty \qquad (t \geqslant 0).$$

We may take

$$T_1(t, \mu) = \sum_{k=0}^{2} b_{1k}(t) \mu^k, \ T_0(\mu) \equiv \sum_{k=0}^{2} b_{0k} \mu^k \ E(d\mu).$$

Hence,

$$||T_1(t, \mu)|| \le q_2 |\mu|^2 + q_1|\mu| + q_0 \equiv q(\mu).$$

In this case $S = \Delta = S^*$, i.e. Im $\sigma(S) = 0$. By (1.7)

$$v(T_0(\mu)) \leq v_1(\mu) \equiv \mu^2 c_2 + |\mu| c_1 + c_0 \qquad (\mu \in \sigma(S)),$$

where $c_k = \sqrt{0.5} |b_{0k} - b_{0k}^*|_2$. We can write

$$P(\lambda, \mu) \leq P_1(\lambda, \mu) \equiv q(\mu) \sum_{k=1}^{n-1} \frac{v_1^k(\mu)}{\sqrt{k!}} \lambda^{n-k-1} \qquad (\lambda > 0)$$

(1.3) is stable by Corollary 1 of Theorem 2 if $T_0(\mu) + r_1(\mu)I$ is a Hurvitz's matrix for all $\mu \in \sigma(S)$. Here $r_1(\mu)$ is the extreme right zero of $\lambda^n - P_1(\lambda, \mu)$.

In particular, let n=2 and let $T_0(\mu)=(t_{jk}(\mu))$ (j, k=1, 2) be a real matrix. In this case we have

$$v_1(\mu) = \sqrt{0.5} |t_{12} - t_{21}|, \ r_1(\mu) = q/2 + \sqrt{q^2/4 + qv_1(\mu)}$$

 $(q = q(\mu), \ t_{jk} = t_{jk}(\mu)).$

In particular, if

$$t_{11} + t_{22} + 2r_1 < 0, (t_{11} + r_1)(t_{22} + r_1) > t_{12}t_{21},$$

 $(r_1 = r_1(\mu))$ for all $\mu < \alpha(S)$, then matrix $T_0(\mu) + r_1(\mu)I$ is a Hurvitz's one for all $\mu \in \sigma(S)$. Consequently, the equation (1.3) is stable.

4.2. Integral-differential system

Consider the problem (1.4), assuming that

$$G(t, x) = G_0(x) + G_1(t, x),$$

where
$$G_0$$
 doesn't depend on t , and also
$$(\int_{\Omega} \int_{\Omega} \|G_1(t, x-s)\|_{R^n} dx ds)^{1/2} \leq q < \infty \qquad (t \geq 0).$$

We may apply Theorem 1 with $H = L^2(\Omega, R^n)$ and S, D(S) are same as at Example 1,

$$T_0(\mu) = E(d\mu)(\mu + K_0), T_1(\mu, t) \equiv K_1(t),$$

where

$$(K_0 h)(t, x) = \int_{\Omega} G_0(x - s)h(s) ds$$

$$(K_1 h)(t, x) = \int_{\Omega} G_1(t, x - s)h(s) ds.$$

Since, $\operatorname{Im} \sigma(S) = 0$ we have according to (1.7)

$$v(T_0(\mu)) \leq v_2$$

$$= \sqrt{0.5} |K_0 - K_0^*|_2 \equiv \left(\int_{\Omega} \int_{\Omega} \|G_0(x - s) - G_0^*(s - x)\|_R^2 h \, \mathrm{d}x \, \mathrm{d}s \right)^{1/2}.$$

It is clear $\alpha(T_0(\mu)) = \mu + \alpha(K_0)$, $||T_1(\mu, t)|| \le q$ $(t \ge 0)$. If $\alpha(S) + \alpha(K_0) < 0$ and $q \sum_{k=1}^{\infty} \frac{v_2^k}{|\alpha(S) + \alpha(K_0)|^{k+1} \sqrt{k!}} < 1,$

then (1.4) is stable by Theorem 1.

Remark 2. If Ω is a canonical domain (sphere, parallelepiped, cylinder, etc.) the quantity $\alpha(S) = \alpha(\Delta)$ is well known. For example, $\alpha(\Delta) = -\pi^2 \sum_{k=1}^{n} \frac{1}{(b_j - a_j)^2}$, if Ω is the parallelepiped $\{a_j \leqslant x_j \leqslant b_j, j=1, m\}$. If Ω is not a canonical domain, then we may use the inequality $\alpha(\Delta) \leq \alpha(\Delta_0)$ where Δ_0 is the Laplacian on a canonical domain $\Omega_0 \supset \Omega$.

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