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## Weak Fragmentability

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*Presented by St. Negrepontis*

We introduce the notion of weak fragmentability which is identified with the weak-Radon-Nikodym property and also with the Pettis property in weak\*-compact, convex subsets of a dual Banach space. Also we prove that the characteristic properties of a convex, weakly-fragmented set  $K$  are that  $\overline{\text{conv}F}^{w*} = \overline{\text{conv}F}^{\|\cdot\|}$  for every weak\*-compact subset  $F$  of  $K$  and that  $L = \overline{\text{conv}L}^{\|\cdot\|}$  for every weak\*-compact subset  $L$  of  $K$ . Finally is proved that a convex weakly fragmented set is affine homeomorphic to a weak\*-compact subset of the dual space of a Banach space not containing  $l_1$ .

### Introduction

The central theme of this paper is the notion of weak-fragmentability (Definition 1) which is inspired by the fragmentability defined in [8] and is identified with the scalar point of continuity property ([9]) in weak\*-compact subsets of dual Banach spaces.

By K. Musiał ([7]) and L. Janicka ([6]) it is proved that the dual Banach spaces with the weak-Radon-Nikodym (w-R.N.P.) property are characterized as spaces with predual not containing  $l_1$ . Also it is known ([11]) that a dual Banach space has the w-R.N.P. if and only if its weak\*-compact subsets are weakly fragmented. On the other hand, every convex, weakly fragmented subset of a dual Banach space is affine homeomorphic to a weak\*-compact subset of a dual space with the w-R.N.P. (Corollary 13).

Every convex, weak\*-compact subset  $K$  of a dual Banach space  $X^*$  is weakly fragmented if and only if it has the w-R.N.P. or equivalently if it is a Pettis set (Theorem 9). Other characteristic properties of a convex weakly

fragmented set  $K$  are that  $\overline{\text{conv}F}^{w^*} = \overline{\text{conv}F}^{\|\cdot\|}$  for every weak\*-compact subset  $F$  of  $K$  and that  $L = \overline{\text{conv}L}^{\|\cdot\|}$  for every convex, weak\*-compact subset  $L$  of  $K$  (Theorem 9 and Corollary 10). Using a version of the Superlemma ([1], [2]) we prove that  $K$  is weakly fragmented if and only if for every  $x^{**} \in X^{**}$  the set of extreme points of  $K$  which are also points of continuity of  $x^{**}/K : (K, w^*) \rightarrow \mathbb{R}$  is a dense,  $G_\delta$  set in  $(\text{ext}K, w^*)$  (Proposition 4).

Finally, we prove that every bounded linear operator  $T : X \rightarrow Y^*$  from a Banach space  $X$  into a dual space  $Y^*$  such that the weak\*-closure of the image of the unit ball of  $X$  is a weakly fragmented subset of  $Y^*$  factors through a dual space with predual not containing  $l_1$  (Corollary 14). This can also be proved by using a theorem of E. Saab and P. Saab ([11]), here we give a direct proof, which uses the notion of weak-fragmentability.

### Notations

Let  $Z$  be a topological Hausdorff space and  $f$  a real valued function on  $Z$ . If  $A \subseteq Z$ , the oscillation of  $f$  on  $A$  is  $O(f, A) = \sup\{|f(y) - f(x)| : x, y \in A\}$  and the oscillations of  $f$  at a point  $x \in Z$  is  $O(f, x) = \inf\{O(f, U) : U \subseteq Z \text{ is open and } x \in U\}$ . Obviously,  $f$  is continuous at  $x$  if and only if  $O(f, x) = 0$ . We denote by  $f/A$  the restriction of  $f$  to  $A$ .

Let  $X$  be a Banach space. We denote by  $X^*$  and  $X^{**}$  the dual and the second dual of  $X$  respectively. The closed unit ball of  $X$  is denoted by  $B_X$  and its surface by  $S_X$ . If  $A$  is a subset of the dual space  $X^*$  then we denote by  $\overline{A}^{\|\cdot\|}$  the norm closure of  $A$ , by  $\overline{A}^w$  the weak-closure of  $A$  by  $\overline{A}^{w^*}$  the weak\*-closure of  $A$  and by  $\text{conv}A$  the convex hull of  $A$ . The symbol  $(A, w^*)$  means that  $A$  is endowed with the relative  $w^*$ -topology. If  $K$  is a convex subset of  $X$  then the set of extreme points of  $K$  is denoted by  $\text{ext}K$ .

If  $F$  is a bounded subset of a dual space  $X^*$  then a  $w^*$ -slice (or  $w^*$ -open slice) of  $F$  is a set of the form  $S(F, x, a) = \{f \in F : f(x) > M(x, F) - a\}$  where  $x \in X$ ,  $a > 0$  and  $M(x, F) = \sup\{f(x) : f \in F\}$ . The corresponding  $w^*$ -closed slice of  $S$  is the set  $S_1 = S_1(F, x, a) = \{f \in F : f(x) \geq M(x, F) - a\}$ .

**Definition 1.** Let  $X$  be a Banach space and  $F$  a non-empty subset of the dual space  $X^*$ . We say that  $F$  is weakly fragmented if for each non-empty subset  $A$  of  $F$ ,  $\varepsilon > 0$  and  $x_1^{**}, \dots, x_n^{**} \in X^{**}$  there exists a non-empty relatively open subset  $U$  of  $(A, w^*)$  such that  $O(x_i^{**}/U) < \varepsilon$  for every  $i = 1, \dots, n$ .

It is easy to check that  $F$  is  $w$ -fragmented if and only if for every non-empty relatively closed subset  $H$  of  $(F, w^*)$  there exists a non-empty relatively open subset  $U$  of  $(H, w^*)$  such that  $O(x^{**}, U) < \varepsilon$  for every  $i = 1, \dots, n$ .

**Proposition 2.** *Let  $X$  be a Banach space and  $F$  a  $w^*$ -compact subset of the dual space  $X^*$ . The following statements are equivalent:*

- (i)  $F$  is  $w$ -fragmented
- (ii) For every non-empty,  $w^*$ -compact subset  $H$  of  $F$ ,  $\varepsilon > 0$  and  $x^{**} \in X^{**}$  there exists a non-empty relatively open subset  $U$  of  $(H, w^*)$  such that  $O(x^{**}, U) < \varepsilon$ .
- (iii) For every non-empty,  $w^*$ -compact subset  $H$  of  $F$  and  $x^{**} \in X^{**}$  the restriction of  $x^{**}$  to  $(H, w^*)$  has a point of continuity. (scalar continuity property), ([9]).
- (iv) For every  $w^*$ -compact subset  $H$  of  $F$  and  $x^{**} \in X^{**}$  the set of points of continuity of the map  $x^{**}/_H : (H, w^*) \rightarrow \mathbb{R}$  is a dense  $G_\delta$  subset of  $(H, w^*)$ .

**Proof.** The implications (i)  $\Rightarrow$  (ii) (iv)  $\Rightarrow$  (iii) and (iii)  $\Rightarrow$  (ii) are obvious.

(ii)  $\Rightarrow$  (iv). Let  $n \in \mathbb{N}$  and  $Z_n$  be the set of all  $x \in H$  for which there exists a relatively open subset  $U$  of  $(H, w^*)$  with  $x \in U$  and  $O(x^{**}, U) < \frac{1}{n}$ . Clearly the sets  $Z_n$ ,  $n \in \mathbb{N}$  are relatively open subsets of  $(H, w^*)$  and from (ii) they are dense in  $(H, w^*)$ . The set  $\bigcap_{n=1}^{\infty} Z_n$  is precisely the set of points of continuity of  $x^{**}/_H : (H, w^*) \rightarrow \mathbb{R}$  and it is a dense,  $G_\delta$  subset of  $(H, w^*)$ , because  $(H, w^*)$  is a Baire space.

(iv)  $\Rightarrow$  (i). For each  $i = 1, \dots, n$  let  $T_i$  be the set of points of continuity of  $x_i^{**}/_H : (H, w^*) \rightarrow \mathbb{R}$ . From (iv) the sets  $T_i$  are dense  $G_\delta$  in  $(H, w^*)$ . Hence  $\bigcap_{i=1}^n T_i$  is also dense,  $G_\delta$  in  $(H, w^*)$ . Let  $e \in T$ . Then there exists a relatively open subset  $U$  of  $(H, w^*)$  such that  $e \in U$  and  $O(x_i^{**}, U) < \varepsilon$  for every  $i = 1, \dots, n$ .

We will prove that the set of the extreme points of  $K$  which are also points of continuity of  $x^{**}/_K : (K, w^*) \rightarrow \mathbb{R}$  for some  $x^{**} \in X^{**}$  is dense,  $G_\delta$  in  $(\text{ext}K, w^*)$  if  $K$  is a  $w^*$ -compact,  $w$ -fragmented, convex subset of a dual space. This can be proved using analogous arguments as in the proof of proposition 8 in [11]. We will give a more elegant proof for this result using a version of the Superlemma [1], [2].

**Lemma 3.** *Let  $X$  be a Banach space,  $K$ ,  $K_0$  and  $K_1$  are  $w^*$ -compact, convex subsets of  $X^*$ ,  $\varepsilon > 0$  and  $x_1^{**}, \dots, x_n^{**} \in S_{X^{**}}$ . Suppose that:*

1.  $K_0$  is a subset of  $K$  and  $O(x_i^{**}, K_0) < \varepsilon$  for every  $i = 1, \dots, n$ .
2.  $K$  is not a subset of  $K_1$ .
3.  $K$  is a subset of  $\text{conv}(K_0 \cup K_1)$ . Then there exists a  $w^*$ -slice  $S$  of  $K$  that intersects  $K_0$  and  $O(x_i^{**}, S) < \varepsilon$  for every  $i = 1, \dots, n$ .

The proof is virtually identical with that of the Superlemma (weak\* version). (See [3] Theorem 3.4.1.(w\*)).

**Proposition 4.** Let  $X$  be a Banach space,  $K$  is a  $w^*$ -compact,  $w$ -fragmented, convex subset of  $X^*$ , and  $x^{**} \in X^{**}$ . Then the set  $T \cap \text{ext}K$  is dense,  $G_\delta$  in  $(\text{ext}K, w^*)$ , where  $T$  is the set of the points of continuity of  $x^{**}/_K : (K, w^*) \rightarrow \mathbb{R}$ . Consequently,  $K = \overline{\text{conv}(T \cap \text{ext}K)}^{w^*}$ .

**Proof.** Let  $E = \text{ext}K$ . For each  $\varepsilon > 0$  let  $B_\varepsilon$  be the set of elements of  $E$  which have a  $w^*$ -open neighborhood  $V$  such that  $O(x^{**}, V \cap K) < \varepsilon$ . Every  $B_\varepsilon$  is open in  $(E, w^*)$  and we will prove that  $B_\varepsilon$  is also dense. Let  $W$  be a  $w^*$ -open subset of  $X^*$  with  $W \cap E \neq \emptyset$ . Since  $K$  is  $w$ -fragmented there exists a  $w^*$ -open subset  $U$  of  $X^*$  such that  $U \subseteq W$ ,  $U \cap E \neq \emptyset$  and  $O(x^{**}, \overline{\text{conv}}^{w^*}(U \cap E)) < \varepsilon$ , ([11] Proposition 7). Define  $K_0 = \overline{\text{conv}(U \cap E)}^{w^*}$  and  $K_1 = \overline{\text{conv}(E \setminus U)}^{w^*}$ .

The sets  $K_0, K_1, K$  satisfy the properties 1, 2, 3 of Lemma 3, hence we can find a  $w^*$ -slice  $S$  of  $K$  that intersects  $K_0$ , misses  $K_1$  and  $O(x^{**}, S) < \varepsilon$ . Let  $u \in E \cap S$ . Since  $O(x^{**}, S) < \varepsilon$ , we have that  $u \in B_\varepsilon$ . Also  $u \in E \cap U \subseteq W$  since  $K_1 \cap S = \emptyset$ . Therefore  $B_\varepsilon$  is dense in  $(E, w^*)$ .

Finally,  $\text{ext}K \cap T = \bigcap_n B_{1/n}$  is dense,  $G_\delta$  in  $(\text{ext}K, w^*)$ , since  $(\text{ext}K, w^*)$  is a Baire space.

**Corollary 5.** Let  $A$  be a bounded subset of a dual space  $X^*$ . If  $K = \overline{\text{conv}A}^{w^*}$  is  $w$ -fragmented then for every  $\varepsilon > 0$  and  $x_1^{**}, \dots, x_n^{**} \in X^{**}$  there exists a  $w^*$ -slice  $S$  of  $K$  such that  $S \cap A \neq \emptyset$  and  $O(x_i^{**}, S) < \varepsilon$  for every  $i = 1, \dots, n$ .

**Proof.** Let  $E_i$   $i = 1, \dots, n$  be the sets of extreme points of  $K$  which are points of continuity of  $x_i^{**}/_K : (K, w^*) \rightarrow \mathbb{R}$  respectively. By Proposition 4 the sets  $E_i$  are dense,  $G_\delta$  in  $(\text{ext}K, w^*)$  for every  $i = 1, \dots, n$ . Since  $(\text{ext}K, w^*)$  is a Baire space the set  $E = \bigcap_{i=1}^n E_i$  is also dense,  $G_\delta$  in  $(\text{ext}K, w^*)$ . Let  $e \in E$ , then there exists a  $w^*$ -slice  $S$  of  $K$  such that  $e \in S$  and the corresponding closed slice  $S_1$  of  $S$  has  $O(x_i^{**}, S_1) < \varepsilon$  for every  $i = 1, \dots, n$ .

Of course  $S \cap A \neq \emptyset$  and  $O(x_i^{**}, \overline{\text{conv}(S \cap A)}^{w^*}) < \varepsilon$  for every  $i = 1, \dots, n$ .

**Proposition 6.** Let  $F$  be a  $w^*$ -compact subset of a dual Banach space

$X^*$ , such that  $\overline{\text{conv} F}^{w^*}$  is  $w$ -fragmented. Then  $\overline{\text{conv} F}^{w^*} = \overline{\text{conv} F}^{\|\cdot\|}$ .

**Proof.** Let  $f \in K = \overline{\text{conv} F}^{w^*}$ . Then there is a regular Borel probability measure  $\mu$  on  $(F, w^*)$  whose resultant  $\tau(\mu)$  is equal to  $f$ . Let  $\varepsilon > 0$  and  $x_1^{**}, \dots, x_n^{**} \in X^{**}$ . The support  $M$  of  $\mu$  is a nonempty,  $w^*$ -compact subset of  $F$  and  $M_1 = \overline{\text{conv} M}^{w^*}$  is  $w$ -fragmented subset of  $K$ . From Corollary 5 there exists a  $w^*$ -closed slice  $S_1$  of  $M_1$  such that  $O(x_i^{**}, \overline{\text{conv}^{w^*}}(S_1 \cap M)) < \varepsilon$  for every  $i = 1, \dots, n$  and  $S_1 \cap M \neq \emptyset$ . Therefore  $\mu(S_1 \cap M) > 0$ .

Let  $\mathbf{A}$  be a maximal disjoint family of  $w^*$ -compact subsets  $A$  of  $F$  such that  $\mu(A) > 0$  and  $O(x_i^{**}, \overline{\text{conv}^{w^*}} A) < \varepsilon$  for every  $i = 1, \dots, n$ . Then  $\mathbf{A}$  is countable and we will show that  $\mu(\cup \mathbf{A}) = 1$ . If  $\mu(\cup \mathbf{A}) < 1$ , then by the regularity of  $\mu$  there exist a  $w^*$ -compact subset  $L$  of  $F$  such that  $L \subseteq F \setminus \cup \mathbf{A}$  and  $\mu(L) > 0$ . Let  $\mu_1$  the Borel measure on  $F$  with  $\mu_1(B) = \mu(L \cap B)$  for every  $w^*$ -Borel subset of  $F$ . If  $C$  is the support of  $\mu_1$ , then  $C$  is a non-empty,  $w^*$ -compact subset of  $L$  and  $C_1 = \overline{\text{conv}^{w^*}} C$  is  $w$ -fragmented. From Corollary 5 there is a  $w^*$ -closed slice  $S_1$  of  $C_1$  such that  $O(x_i^{**}, \overline{\text{conv}^{w^*}}(S_1 \cap C)) < \varepsilon$  and  $S_1 \cap C \neq \emptyset$ . Hence  $\mu(S_1 \cap C) > 0$ . Therefore  $\mathbf{A} \cup \{S_1 \cap C\}$  is properly larger than  $\mathbf{A}$ , contradicting maximality. Hence  $\mu(\cup \mathbf{A}) = 1$ .

Let  $A_1, \dots, A_k \in \mathbf{A}$  so that  $\sum_{i=1}^k \mu(A_i) > 1 - \varepsilon$ . Then  $\mu = t_1 \mu_1 + \dots + t_k \mu_k + t_{k+1} \lambda$ , where  $\mu_i$  for  $i = 1, \dots, k$  and  $\lambda$  are regular, Borel, probability measures of  $(F, w^*)$  with  $\mu_i(A_i) = 1$ ,  $0 \leq t_i \leq 1$  for  $i = 1, \dots, k$ ,  $t_{k+1} < \varepsilon$  and  $\sum_{i=1}^k t_i = 1$ . Therefore  $f = \tau(\mu) = t_1 \tau(\mu_1) + \dots + t_k \tau(\mu_k) + t_{k+1} \tau(\lambda)$ . Choose  $f_i \in A_i$  for  $i = 1, \dots, k$  and  $f_{k+1} \in F$ . Since  $\tau(\mu_i) \in \overline{\text{conv} A_i}^{w^*}$ ,  $i = 1, \dots, k$  we have that  $x_j(f_i^{**} - \tau(\mu_i)) < \varepsilon$  for every  $j = 1, \dots, n$  and  $i = 1, \dots, k$ . Hence

$$x_j^{**} \left( f - \sum_{i=1}^{k+1} f_i \right) = \sum_{i=1}^k t_i x_j^{**} (\tau(\mu_i) - f_i) + t_{k+1} x_j^{**} (\tau(\lambda) - f_{k+1}) < \varepsilon + \text{diam } F$$

for every  $j = 1, \dots, n$ .

Since  $\varepsilon > 0$  and  $x_1^{**}, \dots, x_n^{**}$  are arbitrary we conclude that  $f \in \overline{\text{conv} F}^{w^*} = \overline{\text{conv} F}^{\|\cdot\|}$ .

**Remark 7.** For every  $w^*$ -compact,  $w$ -fragmented, convex subset  $K$  of a dual Banach space we have that  $K = \overline{\text{conv} K}^{\|\cdot\|}$ . This can be proved with the same arguments as in the proof of Proposition 3.1 in [5]. Proposition 7 can be proved easily using the previous result. We gave a direct proof which has ideas of an analogous result of I. Namioka [8].

In the following we will prove that the property which is described in Proposition 6 is a characteristic property of convex  $w$ -fragmented sets.

**Definition 8.** Let  $X$  be a Banach space and  $K$  a  $w^*$  compact set of the dual space  $X^*$ .

$K$  is called a Pettis set if the identity map  $I : (K, w^*) \rightarrow X^*$  is universally scalarly measurable, i.e. for each  $x^{**} \in X^{**}$  the function  $x^{**}/K : (K, w^*) \rightarrow \mathbb{R}$  is  $\mu$ -measurable for every Radon probability measure  $\mu$  on  $(K, w^*)$ .

$K$  is called weak- Radon- Nikodym set ( $w$ -R.N. set) if for every probability space  $(\Omega, F, \mu)$  and every bounded operator  $T : L_1(\mu) \rightarrow X^*$  for which  $T(x_E/\mu(E))$  belongs to  $K$  for each non-null measurable set  $E$ , the operator  $T$  is represented by a Pettis integrable function with values in  $K$ .

**Theorem 9.** Let  $K$  be a  $w^*$ -compact convex subset of a dual space  $X^*$ . The following are equivalent:

- (i)  $K$  is  $w$ -fragmented.
- (ii)  $K$  is Pettis set.
- (iii)  $K$  has the weak Radon-Nikodim property.
- (iv) For each  $w^*$ -compact subset  $F$  of  $K$  we have  $\overline{\text{conv} F}^{w^*} = \overline{\text{conv} F}^{\|\cdot\|}$ .

**Proof.** (i)  $\Leftrightarrow$  (iii)  $K$  is  $w$ -fragmented if and only if  $K$  has the scalar point of continuity property, from Proposition 2. Hence we have the equivalence from the results in [9] and [10].

(ii)  $\Leftrightarrow$  (iv) This equivalence is proved in [12].

(ii)  $\Rightarrow$  (i) In [12] is proved that a  $w^*$ -compact subset  $F$  of  $X^*$  is a Pettis set if and only if  $\overline{\text{conv}}^{w^*}(K \cup (-K))$  is a Pettis set. Since every  $w^*$ -compact absolutely convex subset of  $X^*$  is  $w$ -fragmented ([9]) we have that every Pettis set is  $w$ -fragmented.

(i)  $\Rightarrow$  (iv) It is obvious from Proposition 6.

**Corollary 10.** A convex,  $w^*$ -compact subset  $K$  of a dual Banach space  $X^*$  is  $w$ -fragmented if and only if  $L = \overline{\text{conv} L}^{\|\cdot\|}$  for every convex subset  $L$  of  $K$ .

The following Theorem is influenced by the methods of W.Davis- I.Figiel- W.B.Johnson- A.Pelczynski [4] and I.Namioka [8].

**Lemma 11.** Let  $F$  be a  $w^*$ -compact,  $w$ -fragmented subset of a dual space  $X^*$ ,  $B$  the unit ball of  $X^*$ ,  $\varepsilon > 0$ ,  $\delta > 0$  and  $x_1^{**}, \dots, x_n^{**} \in S_{X^{**}}$ . If  $A$  is a

non-empty subset of  $K + \delta B^*$  then there is a  $w^*$ -open subset  $V$  of  $X^*$  such that  $V \cap A \neq \emptyset$  and  $O(x_i^{**}, V \cap A) < 2\delta + \varepsilon$  for every  $i = 1, \dots, n$ .

**Proof.** We can assume that  $A$  is  $w^*$ -compact. We define the function  $f : F \times \delta B \rightarrow X^*$  by  $f(x^*, y^*) = x^* + y^*$ . If  $F \times \delta B$  has the product of the weak\*-topologies and  $X^*$  the weak\*-topology the function  $f$  is continuous and of course  $A \subseteq f(F \times \delta B)$ .

Let  $M$  be a minimal compact subset of  $F \times \delta B$  such that  $f(M) = A$ . Then  $\Pi_1(M)$  (where  $\Pi_1$  is the projection map) is  $w$ -fragmented subset of  $F$ , hence there exists a relatively  $w^*$ -open subset  $U$  of  $F$  such that  $U \cap \Pi_1(M) \neq \emptyset$  and  $O(x_i^{**}, V \cap \Pi_1(M)) < \varepsilon$  for every  $i = 1, \dots, n$ . By the minimality of  $M$ ,  $f(M \setminus \Pi_1^{-1}(U))$  is a proper subset of  $A$ , hence  $W = A \setminus f(M \setminus \Pi_1^{-1}(U)) \subseteq f(M \cap \Pi_1^{-1}(U))$  is a non-empty, relatively  $w^*$ -open subset of  $A$ . Let  $x^*, y^* \in W$ , then  $x^* = x_1^* + x_2^*$  and  $y^* = y_1^* + y_2^*$  with  $(x_1^*, x_2^*), (y_1^*, y_2^*) \in (V \cap \Pi_1^{-1}(M)) \times \delta B$ . Then

$$x_i^{**}(x^* - y^*) = x_i^{**}(x_1^* - y_1^*) + x_i^{**}(x_2^* - y_2^*) < \varepsilon + 2\delta$$

and  $O(x_i^{**}, W) < 2\delta + \varepsilon$  for every  $i = 1, \dots, n$  as was to be shown.

**Theorem 12.** Let  $X$  be a Banach space and  $K$  a  $w^*$ -compact,  $w$ -fragmented, absolutely convex subset of a dual space  $X^*$ . Then there exists a bounded linear operator  $T$  from  $X$  onto a dense subspace of a Banach space  $E$  not containing  $l_1$  such that  $K \subseteq T^*(B)$ , where  $B$  is the unit ball of  $E^*$ .

**Proof.** Let  $V$  be the unit ball of  $X^*$ ,  $U_n = 2^n K + (1/2^n)V$  for every  $n = 1, 2, \dots$  and  $\|\cdot\|_n$  a norm for  $X^*$  whose unit ball is  $U_n$ . The norms  $\|\cdot\|_n$  are dual and equivalent to the original one on  $X^*$ . Let  $p(x^*) = \sum_{n=1}^{\infty} \|x^*\|_n$  for every  $x^* \in X^*$ ,  $H = \{x^* \in X^* : p(x^*) < \infty\}$  and  $U = \{x^* \in X^* : p(x^*) \leq 1\}$ .

As proved in [8],  $(H, p)$  is a dual Banach space and  $U$  is a  $w^*$ -compact, absolutely convex subset of  $X^*$  with  $K \subseteq U$ . We will prove that  $U$  is also  $w$ -fragmented. Let  $F$  be a non-empty,  $w^*$ -compact subset of  $U$ ,  $\varepsilon > 0$  and  $x^{**} \in X^{**}$ . Fix  $n \in \mathbb{N}$  such that  $1/2^n < (\varepsilon/3)\|x^{**}\|$ . Then  $F \subseteq U \subseteq U_n = 2^n K + (1/2^n)V \subseteq 2^n K + (\varepsilon/2\|x^{**}\|)V$ . From Lemma 11 there is a relatively  $w^*$ -open subset  $W$  of  $F$  with  $O(x^{**}, W) < \varepsilon$ . Hence  $U$  is a  $w$ -fragmented subset of  $X^*$ .

We will show that  $(H, p)$  has the  $w$ -R.N.P.. It is sufficient to prove that  $U$  is a  $w$ -fragmented subset of  $(H, p)$ . Let  $F$  be a non-empty,  $w^*$ -compact subset of  $U$ ,  $\varepsilon > 0$ , and  $x^{**} \in S_{H^*}$ . If  $m = \sup\{p(f) : f \in F\}$ , then we choose an  $f_0 \in F$



such that  $p(f_0) > m - \varepsilon/3$  and consequently  $n_0 \in \mathbb{N}$  such that  $\sum_{n=1}^{n_0} \|f_0\|_n >$

$m - \varepsilon/3$ . Write  $q(g) = \sum_{n=1}^{n_0} \|g\|_n$  for every  $g \in X^*$ . Then  $q$  is an equivalent norm in  $E^*$  and also  $q$  is  $w^*$ -lower semicontinuous. Let  $W = \{f \in F : q(f) > m - \varepsilon/3\}$ . Then  $f_0 \in W$  and  $W$  is a relatively  $w^*$ -open subset of  $F$ . We define  $Z = (\sum_n X_n)_1$  where  $X_n = (X^*, \|\cdot\|_n)$  and  $\varphi : H \rightarrow Z$  by  $\varphi(F) = (jf, jf, \dots)$  where  $j : H \rightarrow X^*$  is the inclusion map. Then  $\varphi(H)$  is a closed linear subspace of  $Z$  and  $(H, p)$  is isometric to  $\varphi(H)$ . Hence  $\varphi^* : (\sum_n X_n^*)_\infty \rightarrow H^*$  is onto  $H^*$

and therefore there exists  $y^* = (x_1^*, x_2^*, \dots) \in \sum_{n=1}^\infty X_n^*$  with  $\|y^*\|_\infty \leq 1$  such that

$y^*(f) = \sum_n x_n^*(f)$  for every  $f \in H$ . Let  $z^* : X^* \rightarrow \mathbb{R}$  with  $z^*(g) = \sum_{n=1}^{n_0} x_n^*(g)$

for every  $g \in X^*$ . Then  $z^* \in X^{**}$ , because the norm  $q$  is equivalent to the original norm of  $X^*$ . Since  $U$  is a  $w$ -fragmented subset of  $X^*$ , there exists a non-empty relatively  $w^*$ -open subset  $G$  of  $W$  such that  $O(z^*, G) < \varepsilon/3$ . The set  $G$  is relatively  $w^*$ -open in  $F$  and  $O(x^*, G) < \varepsilon$ . Indeed, let  $g_1, g_2 \in G$ , then

$$\begin{aligned} x^*(g_1 - g_2) &= \sum_{n=1}^\infty x_n^*(g_1 - g_2) = \sum_{n=1}^{n_0} x_n^*(g_1 - g_2) + \sum_{n=n_0+1}^\infty x_n^*(g_1 - g_2) \\ &< \varepsilon/3 + \sum_{n=n_0+1}^\infty \|g_1\|_n + \sum_{n=n_0+1}^\infty \|g_2\|_n < \varepsilon \end{aligned}$$

because  $g_1, g_2 \in W$ . This shows that  $U$  is  $w$ -fragmented in  $(H, p)$ .

Let  $C(U)$  be the Banach space of all continuous scalar-valued functions on  $(U, w^*)$  with the supremum norm and let  $R : X \rightarrow C(U)$  be the bounded linear operator defined by  $R(x)(u) = u(x)$  for every  $x \in X$  and  $u \in U$ . Let  $E = \overline{R(X)}$  and  $T : X \rightarrow E$  be the map which is obtained from  $R$  by restricting the range. Then  $T^* : E^* \rightarrow X^*$  is an isometry onto  $(H, p)$  and  $K \subseteq T^*(B)$  where  $B$  is the unit ball of  $E^*$ .

**Corollary 13.** *Every Pettis set  $(F, w^*)$  of a dual Banach space  $X^*$  is (affine) homeomorphic to a  $w^*$ -compact subset of the dual  $E^*$  of a Banach space  $E$  not containing 11.*

**Proof.** If  $F$  is a Pettis set then  $K = \overline{\text{conv}(F \cap -F)}^{w^*}$  is also a Pettis set [1]. According to Theorems 9 and 12 there exist a space  $E$  not containing

$l_1$  and a bounded linear map  $T : X \rightarrow E$  with dense range such that  $K \subseteq T^*(B_{E^*})$ . Since the restriction of  $T^*/_{B_{E^*}} : (B_{E^*}, w^*) \rightarrow (T^*(B_{E^*}), w^*)$  is a homeomorphism we have that  $(F, w^*)$  is (affine) homeomorphic to a  $w^*$ -compact subset of  $E^*$ .

**Corollary 14.** *Let  $X, Y$  be Banach spaces and  $T : X \rightarrow Y^*$  a bounded linear operator such that  $\overline{T(B_X)}^{w^*}$  is  $w$ -fragmented subset of  $Y^*$ . Then  $T$  factors through a dual Banach space  $E^*$  with  $E$  not containing  $l_1$ .*

**Proof.** Apply Theorem 12 to  $K = \overline{T(B_X)}^{w^*}$ . Then there exists a dual Banach space  $(H, p)$  with predual not containing  $l_1$  such that  $K \subseteq B_H$ ,  $H \subseteq Y^*$  and the inclusion map  $J : H \rightarrow Y^*$  be continuous. Hence  $T = J \circ S$  where  $S = J^{-1} \circ T : X^* \rightarrow H$ .

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