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## Conditions for Interpolation by Polynomials in Right Invertible Operators to be Admissible

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A characterization of initial operators for a right invertible operator with the finite dimensional kernel admitting the interpolation is given by means of determinants induced by basis coefficients of the kernel.

The following interpolation problem has been studied in PR[2] : Let  $D$  be a linear right invertible operator with the domain and range in a linear space  $X$  . Find a  $D$ -polynomial, i.e. an element of the kernel of  $D^N$  , which admits for given initial (or/and boundary) conditions given values (cf. also [K], PR[3], [N]).

In the present paper a characterization of initial operators for a right invertible operator  $D$  with the  $N$ -dimensional kernel admitting the interpolation is given by means of determinants induced by basis coefficients of the kernel of  $D$  . These determinants are polynomials of the degree  $N$  .

**1. General interpolation problem.** We shall give here some definitions and main results of PR[2] (without proofs) which will be useful in our subsequent considerations.

Let  $X$  be a linear space over a field  $\mathcal{F}$  of scalars. Here either  $\mathcal{F} = \mathbf{R}$  or  $\mathcal{F} = \mathbf{C}$ . Let  $L(X)$  be the set of all linear operators with domains and ranges in  $X$  ,  $L_0(X) = \{A \in L(X) : \text{dom } A = X\}$  and let  $R(X)$  be the set of all right invertible operators belonging to  $L(X)$  . For a given  $D \in R(X)$  write

$$\mathcal{R}_D = \{R \in L_0(X) : DR = I\}$$

$$\mathcal{F}_D = \{F \in L_0(X) : F^2 = F ; FX = \ker D \text{ and } \exists R \in \mathcal{R}_D \text{ } FR = 0\} .$$

Any  $F \in \mathcal{F}_D$  is an *initial operator* for  $D$  . We say that  $F \in \mathcal{F}_D$  is *corresponding to an*  $R \in \mathcal{R}_D$  if  $FR = 0$  .

We admit here and in the sequel that  $\ker D \neq \{0\}$  , i.e. operators  $D$  under consideration are right invertible but not invertible. Any element of  $\ker D$  is said to be a *constant*. Right inverses and initial operators have the following properties (cf. PR[1]) :

An operator  $F \in L_0(X)$  is an initial operator for a  $D \in R(X)$  if and only if there is an  $R \in \mathcal{R}_D$  such that  $F = I - RD$  on  $\text{dom } D$  . Any projection  $F_1$  **onto**  $\ker D$  is an initial operator for  $D$  corresponding to a right inverse  $R_1 = R - F_1R$  and  $R_1$  is independent of the choice of an  $R \in \mathcal{R}_D$  . If two right inverses (initial operators, respectively) are commutative each with another then they are equal. Initial operators preserve constants :  $Fz = z$  for all  $z \in \ker D$  ,  $F \in \mathcal{F}_D$  . Elements of the set  $S = \bigcup_{n \in \mathbf{N}} \ker D^n$  are said to be *D-polynomials*. In particular, elements of the form :  $R^k z$  for  $z \in \ker D$  ,  $R \in \mathcal{R}_D$  ,  $k \in \mathbf{N}$  are said to be *D-monomials*. Elements  $z_0, Rz_1, \dots, R^n z_n$  are linearly independent for all  $z_0, z_1, \dots, z_n \in \ker D$  ,  $R \in \mathcal{R}_D$  and  $n \in \mathbf{N}$  . It is easy to verify that  $S = P(R)$  , where

$$P(R) = \text{lin} \{R^k z : z \in \ker D ; k \in \mathbf{N}_0\} ; \quad \mathbf{N}_0 = \mathbf{N} \cup \{0\}$$

and that the set  $P(R)$  is independent of the choice of an  $R \in \mathcal{R}_D$  .

Let  $A(\mathbf{R}) = \mathbf{R}_+$  or  $\mathbf{R}$ . Let  $F$  be an initial operator for a  $D \in R(X)$  corresponding to an  $R \in \mathcal{R}_D$  . A family  $\{S_h\}_{h \in A(\mathbf{R})} \subset L_0(X)$  is said to be a family of *R-shifts* if  $S_0 = I$  and

$$S_h R^k F = \sum_{j=0}^k \frac{h^{k-j}}{(k-j)!} R^j F \quad \text{for all } h \in A(\mathbf{R}) , k \in \mathbf{N}_0 .$$

*R-shifts* preserve constants :  $S_h z = z$  for all  $z \in \ker D$  ,  $h \in A(\mathbf{R})$  . The family of *R-shifts* is a semigroup if  $A(\mathbf{R}) = \mathbf{R}_+$  and a group if  $A(\mathbf{R}) = \mathbf{R}$  (with respect to the superposition as a structure operation). *R-shifts* are uniquely determined on the set  $S$  (cf. PR[1], also PR[5]).

Some more informations about right invertible operators and their applications can be found in PR[1], PR[3].

**Definition 1.1.** (cf. PR[2]) An operator  $F_0 \in \mathcal{F}_D$  has the *property c(R)* for an  $R \in \mathcal{R}_D$  if there exist scalars  $c_k$  such that

$$F_0 R^k z = \frac{c_k}{k!} z \quad \text{for all } z \in \ker D , k \in \mathbf{N}$$

and  $c_k = 0$  for all  $k \in \mathbb{N}$  if  $F_0 = F$ , where  $F$  is an initial operator for  $D$  corresponding to  $R$ . We shall write:  $F_0 \in c(R)$ . A set  $\mathcal{F}_D^\circ \subset \mathcal{F}_D$  has the property (c) if for every  $F_0 \in \mathcal{F}_D^\circ$  there exists an  $R \in \mathcal{R}_D$  such that  $F_0 \in c(R)$ . We admit  $c_0 = 1$ , since  $F_0 z = z$  for all  $z \in \ker D$ .

**Theorem 1.1.** (cf. PR[2]) *The set  $\mathcal{F}_D$  of all initial operators for a  $D \in R(X)$  has the property  $c(R)$  if and only if  $\dim \ker D = 1$ .*

**Proposition 1.1.** (cf. PR[2]) *Suppose that  $D \in R(X)$  and  $\dim \ker D = 1$ , i.e.  $\ker D = \{\mu e : \mu \in \mathcal{F}\}$  for an  $e \neq 0$ . Denote by  $X'$  the space of all linear functionals over  $X$ . Then every initial operator is of the form:  $F_\varphi = \varphi(x)e$  for  $x \in X$ , where  $\varphi \in X'$  and  $\varphi(e) = 1$ . Moreover,  $c_k = c_k(\varphi) = k! \varphi(R^k e)$  for  $k \in \mathbb{N}$ , where  $R \in \mathcal{R}_D$  is this right inverse for which  $FR = 0$ .*

**Proposition 1.2.** (cf. PR[2]) *Suppose that  $X$  is a complete linear metric space,  $D \in R(X)$ ,  $F$  is an initial operator for  $D$  corresponding to an  $R \in \mathcal{R}_D$ ,  $\overline{P(R)} = X$  and  $F_1 \neq F$  is an arbitrarily fixed continuous initial operator having the property  $c(R)$ . Then not all numbers  $c_k$  ( $k \in \mathbb{N}_0$ ) do not vanish simultaneously.*

Clearly, if the system  $\{F_0, \dots, F_{N-1}\} \subset \mathcal{F}_D$  has the property  $c(R)$  with constants  $d_{ik}$ , i.e.  $F_i R^k z = \frac{d_{ik}}{k!} z$  for  $i = 0, 1, \dots, N-1$ ;  $k \in \mathbb{N}_0$ , and  $F_0, \dots, F_{N-1}$  are linearly independent then  $V_N = \det(d_{ik})_{i,k=0,1,\dots,N-1} = 0$ . The following question arises: is  $V_N \neq 0$  for any system  $\{F_0, \dots, F_{N-1}\}$  of linearly independent initial operators having the property  $c(R)$ ?

**Proposition 1.3.** (cf. PR[2]) *Suppose that  $D \in R(X)$ ,  $F$  is an initial operator for  $D$  corresponding to an  $R \in \mathcal{R}_D$  and  $\{S_h\}_{h \in A(\mathbf{R})}$  is a family of  $R$ -shifts. Let  $F_h = FS_h$  and  $R_h = R - F_h R$  for  $h \in A(\mathbf{R})$  ( $F_0 = F$ , hence we admit  $R_0 = R$ ). Then  $F_h$  are initial operators for  $D$  corresponding to  $R_h$ , respectively,  $F_h \in c(R_{h_0})$  for all  $h \in A(\mathbf{R})$  and an arbitrarily fixed  $h_0 \in A(\mathbf{R})$  and*

$$(1.1) \quad F_h R_{h_0}^k z = \frac{(h - h_0)^k}{k!} z \quad \text{for } z \in \ker D ; k \in \mathbb{N}_0 ,$$

i.e.  $c_k(h) = (h - h_0)^k$  ( $k \in \mathbb{N}_0$ ). Moreover, if  $N \in \mathbb{N}$  is arbitrarily fixed,  $h_j \in A(\mathbf{R})$  and  $h_i \neq h_j \neq 0$  for  $i \neq j$  ( $i, j = 1, \dots, N$ ) then the determinant  $V_N$  of the system  $\{F_{h_1}, \dots, F_{h_N}\}$  is the Vandermonde determinant of numbers  $h_j - h_0$ , hence is different than zero.

**Example 1.1.** Suppose that all assumptions of Proposition 1.3 are satisfied. Then the following initial operators have the property  $c(R)$  for all  $h \in A(\mathbf{R})$ :

- (i)  $F' = (1-d)F + dF_h$  (where  $d \in \mathcal{F}$  is a parameter) with  $c_k(h) = dh^k$ ;
  - (ii)  $F'' = F + F_h D$  with  $c_k(h) = kh^{k-1}$ ;
  - (iii)  $F''' = F_h + FD$  with  $c_k(h) = h^k + \delta_{ik}$  (where  $\delta_{ik}$  is the Kronecker symbol);
  - (iv)  $F^{(iv)} = \frac{1}{h}F_h R$  with  $c_k(h) = \frac{h^k}{k+1}$ ;
  - (v)  $F^{(v)} = (1-d)F + dF^{(iv)}$  with  $c_k(h) = d\frac{h^k}{k+1}$ ;
  - (vi)  $F^{(vi)} = \sum_{j=1}^m \alpha_j F_{h_j}$ , where  $\sum_{j=1}^m \alpha_j h_j^k = 1$ ,  $\alpha_j h_j^k \in A(\mathbf{R})$ ,  $h_j \neq h_k$  for  $j \neq k$ ,  $j = 1, \dots, m$ , with  $c_k(h) = \sum_{j=1}^k \alpha_j h_j^k$ .
- Observe that for all listed here initial operators  $c_k(h) \neq 0$  if  $h \neq 0$ .

Example 1.2. Let  $X = C(\mathbf{R})$ ,  $D = \frac{d}{dt}$ ,  $R = \int_0^t$  and  $(F_x)(t) = x(0)$  for  $x \in X$ . Then  $R$ -shifts are defined as usual shifts operators:  $(S_h x)(t) = x(t+h)$  for  $t, h \in \mathbf{R}$ ,  $x \in X$ . Thus we have:  $(F_h)(t) = x(h)$ ,  $R_h = \int_0^t$  and  $F_h R^k c = c\frac{h^k}{k!}$  for  $c, t, h \in \mathbf{R}$ ,  $x \in X$ ,  $k \in \mathbf{N}_0$ . We therefore conclude that  $F_h \in c(R)$  and  $c_k(h) = h^k$ . Initial operators defined in Example 1.1 are of the form:

$$(F'x)(t) = (1-d)x(0) + dx(h); (F''x)(t) = x(0) + x'(h); (F'''x)(t) = x(h) + x'(0);$$

$$(F^{(iv)}x)(t) = \frac{1}{h} \int_0^h x(s)ds; (F^{(v)}x)(t) = (1-d)x(0) + \frac{d}{h} \int_0^h x(s)ds;$$

$$(F^{(vi)}x)(t) = \sum_{j=1}^m \alpha_j x(h_j)$$

for  $x \in X$  and have also property  $c(R)$ . Recall that in this case we have  $\dim \ker D = 1$ . Hence, by Theorem 1.1, all initial operators have the property  $c(R)$ .

Example 1.3. Let  $X = C[1, \infty)$ ,  $D = t\frac{d}{dt}$ ,  $(Rx)(t) = \int_0^t s^{-1}x(s)ds$  and  $(F_x)(t) = x(1)$  for  $x \in X$ . Here we have:  $(S_h x)(t) = x(e^h t)$ ;  $(F_h x)(t) = x(e^h)$ ;  $R^k c = c\frac{(\ln t)^k}{k!}$  for  $c, h, t \in \mathbf{R}_+$ ,  $x \in X$ . Here  $c_k = (\ln e^h)^k = h^k$  for  $k \in \mathbf{N}_0$ . Since  $\dim \ker D = 1$ , all initial operators have the property  $c(R)$ .

Example 1.4. Suppose that  $X = (s)$ , i.e.  $X$  is the space of all sequences  $x = \{x_n\}$ , where  $x_n \in \mathcal{F}$  for  $n \in \mathbf{N}$ . The operator of the forward shift:  $D\{x_n\} = \{x_{n+1}\}$  is right invertible and  $\dim \ker D = 1$ . Write:  $R\{x_n\} = \{x_{n-1}\}$ , where we admit  $x_{n-k} = 0$  if  $n \leq k$  for all  $x \in X$ ,  $F\{x_n\} = x_1\{\delta_{n1}\}$ . Then  $F$  is an initial operator for  $D$  corresponding to  $R$ . Since the kernel of  $D$  is one-dimensional, all initial operators have the property  $c(R)$ . Let  $F_1 x = \sum_{j=1}^m \alpha_j x_j$  with  $\sum_{j=1}^m \alpha_j = 1$ . Clearly,  $F_1$  is

an initial operator for  $D$  and  $F_1 = F$  if and only  $a_j = \delta_{j1}$  ( $j = 1, \dots, m$ ). Assume that this is not the case. It is not difficult to verify that

$$c_k = \begin{cases} \sum_{j=1}^m \alpha_j \delta_{j,k+1}, & \text{if } k \leq m - 1; \\ 0 & \text{otherwise} \end{cases} \quad (k \in \mathbb{N}_0)$$

and that not all  $c_k$  do not vanish simultaneously (cf. PR[2], Example 2.3). The list of examples can be essentially prolonged if we use *functional shifts* introduced by Z. B i n d e r m a n (cf. for instance, B[1], B[2]) instead of  $R$ -shifts. Namely,  $R$ -shifts correspond are connected in a sense with the function  $e^h$ , functional shifts may be induced by an arbitrary function  $f \in H(\Omega)$ .

**The general interpolation problem** (shortly : (GI), cf. PR[2]). Given  $n$  finite sets  $I_j = \{n_{j,1}, \dots, n_{j,p_j}\}$  of different nonnegative integers. Assume that these numbers are ordered, i.e.  $n_{j,i} \leq n_{j,i+1} \leq N - 1$ , where  $N = \sum_{j=1}^n p_j$ ,  $p_j = \#I_j$  ( $j = 1, \dots, n$ ). We are looking for a  $D$ -polynomial  $u$  of degree  $N - 1$  (i.e. for an element of  $\ker D^N$ ) satisfying for  $n$  given different initial operators  $F_0, \dots, F_{n-1}$  the conditions

$$(1.2) \quad F_j D^k u = u_{jk}, \quad k \in I_j; \quad j = 1, \dots, n,$$

where  $u_{jk} \in \ker D$  are given,  $u = \sum_{k=0}^{N-1} R^k z_k$  for an  $R \in \mathcal{R}_D$  and  $z_1, \dots, z_{N-1} \in \ker D$  are to be determined.

**Theorem 1.2.** (cf. PR[2]) *Suppose that  $D \in R(X)$  and  $F_0, \dots, F_{N-1} \in F_D$  have the property  $c(R)$  for an  $R \in \mathcal{R}_D$ , i.e.*

$$(1.3) \quad F_i R^k z = \frac{d_{ik}}{k!} z \quad \text{for } z \in \ker D, \quad i = 0, 1, \dots, n - 1; \quad k \in \mathbb{N}$$

(recall that  $d_{i0} = 1$  for  $i = 0, 1, \dots, n - 1$  since  $F_i z = z$  for  $z \in \ker D$ ). If

$$(1.4) \quad \det V_N = \det \begin{pmatrix} V_{N,1} \\ \dots \\ V_{N,n} \end{pmatrix} \neq 0, \quad \text{where}$$

$$(1.5) \quad V_{N,j} = \left( \frac{d_{j,m-k}}{(m-k)!} \right)_{k \in I_j; m=k, \dots, N-1}, \quad (j = 1, \dots, n)$$

is the triangle matrix with all terms equal zero for  $m < k$ , then the general interpolation problem (GI) has a unique solution for every  $u_{jk} \in \ker D$ ,  $k \in$

$I_j, j = 1, \dots, n$  which is of the form :

$$(1.6) \quad u = W_N(u_0, \dots, u_{N-1}) \stackrel{\text{def}}{=} \sum_{j=0}^{N-1} V_{Nj}(R)u_j, \quad \text{where}$$

$$(1.7) \quad V_{Nj}(t) = \frac{1}{V_N} \sum_{k=0}^{N-1} (-1)^{k+1} k! V_{Njk} t^k \quad (j = 0, 1, \dots, N-1),$$

$V_{Njk}$  is the minor determinant obtained by cancelling in  $V_N$  the  $k$ -th column and the  $j$ -th row ( $j, k = 0, 1, \dots, N-1$ ) and the elements  $u_0, \dots, u_{N-1}$  are defined as follows :

$$(1.8) \quad \begin{array}{ll} u_\nu = u_{1\nu} & \text{if } \nu = 0, 1, \dots, p_1 - 1 ; \\ u_{p_1+\nu} = u_{2\nu} & \text{if } \nu = 0, 1, \dots, p_2 - 1 ; \\ \dots & \dots \\ u_{p_1+\dots+p_{n-1}+\nu} = u_{n\nu} & \text{if } \nu = 0, 1, \dots, p_n - 1 . \end{array}$$

Observe that  $\text{rank } V_{N,j} = p_j$  for  $j = 1, \dots, n$ . Hence  $\sum_{j=1}^n \text{rank } V_{N,j} = N$  and  $N$  is the dimension of the square matrix  $V_N$ . However, we do not know, is  $\text{rank } V_N = N$ ? On the other hand, we have

**Corollary 1.1.** (cf. PR[2]) *Suppose that all assumptions of Theorem 1.2 are satisfied and  $I_j = \{0\}$  ( $j = 1, \dots, n$ ). Then  $n = N$ ,  $V_N = \det(d_{ik})_{i,k=0,1,\dots,N-1} \neq 0$  and the Lagrange interpolation problem (shortly : (L))*

$$F_i u = u_i, \quad u_i \in \ker D \quad (i = 0, 1, \dots, N-1)$$

has a unique solution for every  $u_0, \dots, u_{N-1} \in \ker D$  which is of the form (1.6).

In particular, if  $F_0, \dots, F_{N-1}$  satisfy all assumptions of Proposition 1.3 (i.e.  $F_j = F_{h_{j+1}}$ ), then  $V_N \neq 0$  and the problem (L) has a unique solution. Observe also that Corollary 1.1 gives us solutions of the boundary value problem for the operator  $D^N$  (cf. [K] and PR[3]).

**Corollary 1.2.** (cf. PR[2]) *Suppose that all assumptions of Theorem 1.2 are satisfied and  $I_j = \{j\}$  for  $j = 1, \dots, n$ . Then  $n = N$ ,*

$$V_N = \det \left( \frac{d_{m,k-m}}{(k-m)!} \right)_{k=m,\dots,N-1; m=0,1,\dots,N-1} \neq 0$$

(as a triangle matrix with all terms equal zero for  $k < m$ ) and the Newton interpolation problem (shortly **(Nt)**)

$$F_m D^m u = u_m, \quad u_m \in \ker D \quad (i = 0, 1, \dots, N - 1)$$

has a unique solution for every  $u_0, \dots, u_{N-1} \in \ker D$  which is of the form (1.6).

Note that Corollary 1.2 gives the solutions of them mixed boundary value problem for the operator  $D^N$  in a simpler form than that obtained by means of the Taylor-Gontcharov formula (cf. PR[1]).

**Corollary 1.3.** (cf. PR[2]) *Suppose that all assumptions of Theorem 1.2 are satisfied,  $I_j = \{0, 1, \dots, p_j - 1\}$  for  $j = 1, \dots, n$ ,  $\{S_h\}_{h \in A(\mathbf{R})}$  is a family of R-shifts and  $F_j = F_{h_j} = F S_{h_j}$ , where  $h_i \neq h_j$  if  $i \neq j$ . Then  $V_N \neq 0$  and the Hermite interpolation problem (shortly : **(H)**) has a unique solution for every  $u_{jk}$  ( $k = 0, 1, \dots, p_j - 1$ ;  $j = 1, \dots, n$ ) which is of the form (1.6).*

We recall without the proof

**Theorem 1.3.** (cf. Nguyen Van Mau [N]) *Suppose that all assumptions of Theorem 2.1 are satisfied. Then  $V_N \neq 0$  if and only if the operators  $F_j D^k$ , ( $k \in I_j$ ;  $j = 1, \dots, n$ ) are linearly independent on the set*

$$P_N(R) = \text{lin} \{R^k z : z \in \ker D ; k = 0, 1, \dots, N - 1\}$$

(cf. Conditions (1.4)).

**2. Finite dimensional kernels.** Suppose that we are given an operator

$D \in R(X)$  such that  $\dim \ker D = n$  ( $n \in \mathbf{N}$ ). It means that

$$(2.1) \quad \ker D = \text{lin} \{e_1, \dots, e_n\},$$

where  $e_1, \dots, e_n$  are linearly independent. Any initial operator  $F_1$  for  $D$  is then of the form :

$$(2.2) \quad F_1 x = \sum_{j=0}^n a_j(x) e_j, \quad \text{where } a_j \in X', \quad a_i(e_j) = \delta_{ij} \quad (i, j = 1, \dots, n).$$

**Proposition 2.1.** *Suppose that  $D \in R(X)$ ,  $\dim \ker D = n$ ,  $F$  is an initial operator for  $D$  corresponding to an  $R \in \mathcal{R}_D$  and  $F_1 \in \mathcal{F}_D$ ,  $F_1 \neq F$ . Then the operators  $F_1 R^k$  ( $k \in \mathbf{N}_0$ ) preserve coordinates, i.e. there exist scalars  $c_{jk}$  such that*

$$F_1 R^k e_j = c_{jk} e_j \quad \text{for } j = 1, \dots, n ; k \in \mathbf{N}_0, \text{ namely, } c_{jk} = a_j(R^k e_j),$$

provided that  $\ker D$  and  $F_1$  are determined by Formulae (2.1), (2.2), respectively, if and only if

$$(2.3) \quad a_{ijk} \stackrel{\text{def}}{=} a_i(R^k e_j) = \begin{cases} 0 & \text{if } i \neq j; \\ \neq 0 & \text{if } i = j. \end{cases}$$

If this condition is satisfied then  $c_{jk} = a_j(R^k e_j)$  ( $j = 1, \dots, n; k \in \mathbf{N}_0$ ).

Proof. Let  $\ker D$  and  $F_1$  be determined by Formulae (2.1), (2.2), respectively. By definitions,

$$F_1 R^k e_j = \sum_{i=0}^n a_i(R^k e_j) e_i \quad \text{for every } k \in \mathbf{N}_0, j = 1, \dots, n.$$

Since  $e_1, \dots, e_n$  are linearly independent, we get (2.3). ■

**Corollary 2.1.** *Suppose that all assumptions of Proposition 2.1 are satisfied and the initial operator  $F_1$  preserve coordinates. Then  $F_1$  has the property  $c(R)$ .*

The converse statement is not true if  $n \neq 1$ , as it is shown by Theorem 1.1.

For an initial operator  $F_1$  determined by Formula (2.2) write :

$$(2.4) \quad \Delta_k(R; t) = \det (a_{ijk} - \delta_{ij}t)_{i,j=1, \dots, n},$$

$R \in \mathcal{R}_D$ ,  $a_{ijk} = a_j(R^k e_i)$  ( $k \in \mathbf{N}_0$ ). Clearly,  $\Delta_k(R; t)$  are polynomials of degree  $n$  in the variable  $t$  with scalar coefficients.

**Theorem 2.1.** *Suppose that all assumptions of Proposition 2.1 are satisfied. Then the initial operator  $F_1$  determined by Formula (2.2) has the property  $c(R)$  if and only if all equations*

$$(2.5) \quad \Delta_k(R; t) = 0 \quad (k \in \mathbf{N})$$

have non-trivial solutions  $t_k$ . If it is the case then  $F_1 R^k z = \frac{c_k}{k!} z$ , where  $c_k = k! t_k$  for all  $z \in \ker D$  ( $k \in \mathbf{N}$ ) and, by definition,  $c_0 = 1$ .

Proof. Let  $z \in \ker D$  be arbitrarily fixed. Since  $F_1 z = z$ , we conclude that  $z = \sum_{j=1}^n a_j(z) e_j$ . Write :  $b_j = a_j(z)$  for  $j = 1, \dots, n$ . Hence for every fixed  $k \in \mathbf{N}$  the equality  $F_1 R^k z = tz$ , where  $t \in \mathcal{F}$ , holds if and only if

$$\sum_{i=1}^n t b_i e_i = t \sum_{i=1}^n b_i e_i = tz = F_1 R^k z = F_1 R^k \sum_{i=1}^n b_i e_i =$$

$$= \sum_{i=1}^n b_i F_1 R^k e_i = \sum_{i=1}^n b_i \sum_{j=1}^n a_j(R^k e_i) e_j = \sum_{j=1}^n \left( \sum_{i=1}^n \sum_{i=1}^n a_{ijk} b_i \right) e_j ,$$

i.e. if and only if

$$(2.6) \quad \sum_{j=1}^n \left( \sum_{i=1}^n a_{ijk} b_i - t b_j \right) e_i = 0 \quad (k \in \mathbf{N}) .$$

The linear independence of the basic elements  $e_1, \dots, e_n$  implies that (2.6) holds if and only if

$$(2.7) \quad \sum_{i=1}^n (a_{ijk} - t \delta_{ij}) b_i = 0 \quad \text{for every } k \in \mathbf{N} .$$

For every fixed  $k \in \mathbf{N}$  the system (2.7) has a non-trivial solution  $t_k$  if and only if its determinant is equal zero, i.e. if and only if the  $k$ -th equation (2.5) is satisfied. If this condition is satisfied, then by Definition 1.1, we get  $c_k = k! t_k$  ( $k \in \mathbf{N}$ ). Also by Definition 1.1,  $c_0 = 1$ . ■

Theorems 1.1 and 2.1 together immediately imply

**Corollary 2.4.** *Suppose that all assumptions of Theorem 2.1 are satisfied and  $n = 1$ . Then for every  $k \in \mathbf{N}$  the equation  $\Delta_k(R; t) = 0$  has a nontrivial solution, i.e.  $t_k = a_1(R^k e_1) \neq 0$  ( $k \in \mathbf{N}$ ).*

**Example 2.1.** Suppose that all assumptions of Theorem 2.1 are satisfied and  $n = 2$ . Then  $\Delta_k(R; t) = t^2 - (a_{11k} + a_{22k})t + a_{11k}a_{22k} - a_{12k}a_{21k}$ , where  $a_{ijk} = a_i(R^k e_j)$  ( $i, j = 1, 2; k \in \mathbf{N}$ ).

**Example 2.2.** Suppose that  $D_o \in R(X)$ ,  $\dim \ker D_o = 1$  and  $F_o$  is an initial operator for  $D_o$  corresponding to an  $R_o \in \mathcal{R}_{D_o}$ . Let  $\ker D_o = \text{lin} \{e\}$ . By definition,  $D_o e = 0$ . Let  $n \in \mathbf{N}$  be arbitrarily fixed. Clearly,  $D = D_o^n \in R(X)$  and  $R = R_o^n \in \mathcal{R}_D$ . By the Taylor Formula (cf. PR[1]), the operator  $F = \sum_{k=0}^{n-1} R_o^k F_o D_o^k$  is an initial operator for  $D$  corresponding to  $R$ . The elements  $e_j = R_o^{j-1} e$  ( $j = 1, \dots, n$ ) are linearly independent and  $\ker D = \text{lin} \{e_1, \dots, e_n\}$ . Write  $r_j = R_o^j e$  ( $j \in \mathbf{N}_0$ ). Then  $e_j = r_{j-1}$  for  $j = 1, \dots, n$  and  $R^k e_j = (R_o^n)^k R_o^j e = r_{kn+j}$  ( $j = 1, \dots, n; k \in \mathbf{N}_0$ ). Let  $F_1$  be defined by Formula (2.2). By Proposition 2.1,  $F_1 R^k$  preserve coordinates if and only if

$$a_i(r_{kn+j}) = \begin{cases} 0 & \text{if } i \neq j; \\ \neq 0 & \text{if } i = j \end{cases} \quad (j = 1, \dots, n; k \in \mathbf{N}_0 .$$

If it is the case then  $F_1 R^k e_j = a_j(r_{kn+j})$  ( $j = 1, \dots, n$ ;  $k \in \mathbb{N}_0$ ). By Theorem 2.1,  $F_1$  has the property  $c(R)$  if every equation

$$\det (a_i(r_{kn+j}) - t\delta_{ij})_{i,j=1,\dots,n} = 0 \quad (k \in \mathbb{N})$$

has a nontrivial solution  $t_k$ . If it so, then  $c_k = k!t_k$  for  $k \in \mathbb{N}$ ,  $c_0 = 1$ .

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