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Mathematica Balkanica

Mathematical Society of South-Eastern Europe
A quarterly published by
the Bulgarian Academy of Sciences – National Committee for Mathematics

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On Uniqueness of Meromorphic Functions

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We prove a uniqueness theorem of meromorphic functions improving a result of Ozawa.

AMS Subj. Classification: 30D30, 30D35

Key Words: meromorphic functions, Nevanlinna's theory, counting and ignoring multiplicities

1. Introduction, definitions and main result

Let f, g be two nonconstants meromorphic functions defined in the open complex plane. If f, g have the same a -points with the same multiplicities we say that f, g share the value CM (counting multiplicities) and if only the locations (not necessarily the multiplicities) of the a -points of f, g are same we say that f, g share the value IM (ignoring multiplicities).

In the paper we do not explain the standard notations and definitions of Nevanlinna's theory of meromorphic functions. Let E denote the exceptional set of finite linear measure that arises in the second fundamental theorem.

Ozawa [3] proved the following result.

Theorem A. *If f, g are entire functions of finite orders sharing $0, 1$ CM and $\delta(0; f) > \frac{1}{2}$, then $f \cdot g \equiv 1$ unless $f \equiv g$.*

Withdrawing the order restriction in Theorem A, Ueda [4] proved the following more general theorem.

Theorem B. *If f, g share $0, 1, \infty$ CM and*

$$\limsup_{r \rightarrow \infty} \frac{N(r, 0; f) + N(r, f)}{T(r, f)} < \frac{1}{2},$$

then either $f \cdot g \equiv 1$, or $f \equiv g$.

In [5] Yi further improved Theorem A to the following result.

Theorem C. *If f, g share $0, 1, \infty$ CM and $\overline{N}(r, 0; f) + \overline{N}(r, f) < (\lambda + o(1))T(r, f)$ ($r \rightarrow \infty, r \notin E$), where $\lambda < \frac{1}{2}$, then $f \cdot g \equiv 1$ unless $f \equiv g$.*

Yi [6] also gave another improvement of Theorem A, stated below with using the following notation.

N o t a t i o n 1. For a complex number a , finite or infinite, we denote by $N(r, a; f, = 1)$ the counting function of simple zeros of $f - a$.

Theorem D. ([6]) *If f, g share $0, 1, \infty$ CM and $N(r, 0; f = 1) + N(r, \infty; f = 1) < (\lambda + o(1)) \max\{T(r, f), T(r, g)\}$ ($r \rightarrow \infty, r \notin E$), where $\lambda < \frac{1}{2}$, then either $f \cdot g \equiv 1$ or $f \equiv g$.*

We see in above theorems that attempts are made to relax the hypotheses of Theorem A on the nature of the functions, the order of the functions and on the deficiency of a function at the origin. So far, the author knows no attempts made till now to relax the hypotheses of Theorem A on the nature of sharing the values. In the paper we make such an attempt. Before stating our result we give some more notations.

N o t a t i o n 2. We denote by $\overline{N}(r, a; f, \geq p)$ the counting function of distinct zeros of $f - a$ of multiplicities not less than p .

N o t a t i o n 3 ([1]). By $N_k(r, a; f)$ we denote the counting function of the zeros of $f - a$, where a zero of multiplicity p is counted p times, if $p \leq k$ and k times, if $p > k$. Clearly, $\overline{N}(r, a; f) = N_1(r, a; f) \leq N_k(r, a; f) \leq N(r, a; f)$ for $k = 1, 2, 3, \dots$

N o t a t i o n 4. Let f_1, f_2, \dots, f_n be meromorphic functions. We denote by $S(r; f_1, f_2, \dots, f_n)$ a real valued function of the nonnegative real variable r such that

$$S(r; f_1, f_2, \dots, f_n) = o\left\{\sum_{i=1}^n T(r, f_i)\right\} \text{ as } r \rightarrow \infty (r \notin E).$$

In the paper we prove the following result.

Theorem. *Let*

- (i) f, g share 0 IM and $1, \infty$ CM;
- (ii) f, g have the same set of simple zeros;
- (iii) $N_2(r, 0; f) + \overline{N}(r, f) \leq \{\lambda + o(1)\} \cdot T(r, f)$ as $r \rightarrow \infty$ ($r \notin E$), where $\lambda < \frac{1}{2}$. Then either: (a) $f \equiv 1$, or (b) $f \cdot g \equiv 1$. Further, if
- (iv) f has at least one zero or pole, the case (b) does not arise.

The example $f = 3 \exp(z) - 9 \exp(2z)$, $g = \frac{1}{3} \exp(-z) - \frac{1}{9} \exp(-2z)$ shows that condition (iii) is the best possible. Also, the functions $f = \exp(z)$, $g = \exp(-z)$ show that condition (iv) is necessary for non-occurrence of case (b).

2. Lemmas

First we give some lemmas necessary for the proof of the main theorem.

Lemma 1. *If f, g share $0, 1, \infty$ IM, then $T(r, f) = O(T(r, g))$ and $T(r, g) = O(T(r, f))$ for $r \notin E$.*

Proof. By the second fundamental theorem we get

$$T(r, f) \leq \overline{N}(r, 0; f) + \overline{N}(r, 1; f) + \overline{N}(r, f) + S(r, f),$$

i.e.,

$$\begin{aligned} \{1 + o(1)\}T(r, f) &\leq \overline{N}(r, 0; g) + \overline{N}(r, 1; g) + \overline{N}(r, g) \\ &\leq 3T(r, g) \quad \text{for } r \notin E, \end{aligned}$$

which shows that $T(r, f) = O(T(r, g))$ for $r \notin E$. Similarly the other result can be proved. This proves the lemma. ■

Lemma 2. *If c_1, c_2, c_3 are nonzero constants and $c_1f + c_2g \equiv c_3$, then $T(r, f) \leq \overline{N}(r, 0; f) + \overline{N}(r, 0; g) + \overline{N}(r, f) + S(r, f)$ for $r \notin E$.*

Proof. By the second fundamental theorem we get

$$\begin{aligned} T(r, f) &\leq \overline{N}(r, 0; f) + \overline{N}(r, c_3/c_1, f) + \overline{N}(r, f) + S(r, f) \\ &= \overline{N}(r, 0; f) + \overline{N}(r, 0; g) + \overline{N}(r, f) + S(r, f) \end{aligned}$$

and this proves the lemma. ■

Lemma 3. *If f, g share zero IM and they have the same set of simple zeros then $N_2(r, 0; g) = N_2(r, 0; f)$.*

Proof. Now,

$$\begin{aligned} N_2(r, 0; g) &= N(r, 0; g, = 1) + 2\overline{N}(r, 0; g, \geq 2) \\ &= N(r, 0; f, = 1) + 2\overline{N}(r, 0; f, \geq 2) = N_2(r, 0; f). \end{aligned}$$

This proves the lemma. ■

Lemma 4. *Let f_1, f_2, f_3 be nonconstant meromorphic functions and D be their Wronskian determinant. Then*

$$\sum_{i=1}^3 N(r, 0, f_i) - N(r, 0, D) \leq \sum_{i=1}^3 N_2(r, 0; f_i).$$

Proof. Since if z_0 is a zero of f_i of multiplicity $p(> 2)$, it is a zero of D of multiplicity $p - 2$, it follows that

$$\sum_{i=1}^3 N(r, 0, f_i) - N(r, 0; D) \leq \sum_{i=1}^3 N_2(r, 0, f_i).$$

This proves the lemma. ■

Lemma 5. Let f_1, f_2, f_3 be nonconstant meromorphic functions such that $f_1 + f_2 + f_3 \equiv 1$. If D is the Wronskian determinant of f_1, f_2, f_3 , then

$$N(r, f_i) + N(r, D) - \sum_{l=1}^3 N(r, f_l) \leq 2\{\overline{N}(r, f_j) + \overline{N}(r, f_k)\},$$

where $j \neq k$; $j, k \in \{1, 2, 3\} \setminus \{i\}$ and $i \in \{1, 2, 3\}$.

Proof. For the sake of definiteness, we choose $i = 1$ and the other two cases are similar. First we note that

$$D = \begin{vmatrix} 1 & f_2 & f_3 \\ 0 & f_2' & f_3' \\ 0 & f_2'' & f_3'' \end{vmatrix} = f_2' \cdot f_3'' - f_2'' \cdot f_3'$$

and thus so the poles of D occur only at the poles of f_2 and f_3 . If z_0 is a pole of f_2, f_3 of multiplicities q_2, q_3 respectively, then it is a pole of D of multiplicity $q_2 + q_3 + 3$ and if z_0 is a pole of f_j ($j = 2, 3$) of multiplicity p_j , then it is a pole of D of multiplicity at most $p_j + 2$. Therefore, $N(r, D) \leq N(r, f_2) + N(r, f_3) + 2\overline{N}(r, f_2) + 2\overline{N}(r, f_3)$ and the lemma is proved. ■

Lemma 6. Let f_1, f_2, \dots, f_n be linearly independent meromorphic functions satisfying $\sum_{i=1}^n f_i \equiv 1$. Then for $j = 1, 2, \dots, n$ we get

$$T(r, f_j) \leq \sum_{i=1}^n N(r, 0; f_i) + N(r, f_j) + N(r, D) - \sum_{i=1}^n N(r, f_i) - N(r, 0, D) \\ + S(r, f_1, f_2, \dots, f_n) \quad \text{as } r \rightarrow \infty (r \notin E),$$

where D is the Wronskian determinant of f_1, f_2, \dots, f_n .

3. Proof of the theorem

We put $h = \frac{f-1}{g-1}$. Then $h \not\equiv 0$ and since f, g share $1, \infty$ CM, it follows that $N(r, 0, h) + N(r, h) = S(r, f, g)$. Also we put

$$(1) \quad f_1 = f, f_2 = h \quad \text{and} \quad f_3 = -gh \quad \text{so that} \quad f_1 + f_2 + f_3 \equiv 1.$$

If f_1, f_2, f_3 are linearly independent, by Lemma 6 and Lemma 1 we get because $T(r, h) \leq T(r, f) + T(r, g) + O(1)$,

$$T(r, f_1) \leq \sum_{i=1}^3 N(r, 0; f_i) + N(r, f_1) + N(r, D) - \sum_{i=1}^3 N(r, f_i) - N(r, 0, D) + S(r, f).$$

Now by Lemma 4 and Lemma 5 we obtain

$$T(r, f) \leq \sum_{i=1}^3 N_2(r, 0; f_i) + 2\overline{N}(r, h) + 2\overline{N}(r, gh) + S(r, f).$$

Finally by Lemma 1 and Lemma 3 we get because $\overline{N}(r, g) = \overline{N}(r, f)$

$$\begin{aligned} T(r, f) &\leq N_2(r, 0; f) + 2N(r, 0; h) + N_2(r, 0; g) \\ &\quad + 4\overline{N}(r, h) + 2\overline{N}(r, g) + S(r, f) \\ &= 2N_2(r, 0; f) + 2\overline{N}(r, f) + S(r, f) \end{aligned}$$

and so by the condition (iii) we see that

$$T(r, f) \leq \{2\lambda + o(1)\} \cdot T(r, f) \text{ as } r \rightarrow \infty (r \notin E)$$

which implies a contradiction because $\lambda < 1/2$. Therefore f_1, f_2, f_3 are linearly dependent and so there exist constants c_1, c_2, c_3 , not all zero such that

$$(2) \quad c_1 f_1 + c_2 f_2 + c_3 f_3 \equiv 0.$$

If $c_1 = 0$, from (2) we get $h(c_2 - c_3 g) \equiv 0$ and then g becomes a constant, which is not the case. Thus, So $c_1 \neq 0$. Now eliminating f_1 from (1) and (2) we get

$$(3) \quad c f_2 + d f_3 \equiv 1,$$

where $c = 1 - \frac{c_2}{c_1}, d = 1 - \frac{c_3}{c_1}$. Clearly c, d can not be simultaneously zero.

We consider the following cases.

Case I. Let $c, d \neq 0$. Then from (3) we get $\frac{1}{h} + dg \equiv c$, and by Lemma 1 and Lemma 2 we obtain

$$\begin{aligned} (4) \quad T(r, g) &\leq \overline{N}(r, h) + \overline{N}(r, 0; g) + \overline{N}(r, g) + S(r, g) \\ &= \overline{N}(r, 0; f) + \overline{N}(r, f) + S(r, f) \text{ as } r \rightarrow \infty (r \notin E). \end{aligned}$$

Again by the second fundamental theorem we get

$$\begin{aligned} T(r, f) &\leq \overline{N}(r, 0; f) + \overline{N}(r, 1; f) + \overline{N}(r, f) + S(r; f) \\ &= \overline{N}(r, 0; f) + \overline{N}(r, 1; g) + \overline{N}(r, f) + S(r; f) \\ &\leq \overline{N}(r, 0; f) + \overline{N}(r, f) + T(r, g) + S(r; f) \end{aligned}$$

and this gives by (4) that

$$T(r, f) \leq 2\overline{N}(r, 0; f) + 2\overline{N}(r, f) + S(r; f).$$

In view of the condition (iii) we get $T(r, f) \leq \{2\lambda + o(1)\} \cdot T(r, f)$ as $r \rightarrow \infty (r \notin E)$ which is a contradiction because $\lambda < 1/2$. Hence the case $c.d \neq 0$ cannot arise.

Case II. Let $c.d = 0$.

Subcase (i). Let $d = 0$. Then from (3) we get $cf - g \equiv c - 1$. If $c \neq 1$, we obtain from Lemma 2 that

$$\begin{aligned} T(r, f) &\leq \overline{N}(r, 0; f) + \overline{N}(r, 0; g) + \overline{N}(r, f) + S(r, f) \\ &\leq 2\overline{N}(r, 0; f) + 2\overline{N}(r, f) + S(r, f). \end{aligned}$$

This gives in view of the condition (iii) that $T(r, f) \leq \{2\lambda + o(1)\} \cdot T(r, f)$ as $r \rightarrow \infty (r \notin E)$, which is a contradiction because $\lambda < 1/2$. Therefore $c = 1$ and $f \equiv g$.

Subcase (ii). Let $c = 0$. Then from (3) we get $df - \frac{1}{g} = d - 1$. If $d \neq 1$, we obtain from Lemma 2

$$\begin{aligned} T(r, f) &\leq \overline{N}(r, g) + \overline{N}(r, 0; f) + \overline{N}(r, f) + S(r, f) \\ &\leq 2\overline{N}(r, 0; f) + 2\overline{N}(r, f) + S(r, f). \end{aligned}$$

So in view of the condition (iii) that $T(r, f) \leq \{2\lambda + o(1)\} \cdot T(r, f)$ as $r \rightarrow \infty (r \notin E)$, and this implies a contradiction because $\lambda < 1/2$. Therefore $d = 1$ and so $f \cdot g \equiv 1$.

Further, if f has at least a zero or a pole at z_0 , say, then z_0 is respectively a zero or a pole of g and this is impossible if $f \cdot g \equiv 1$. So if f has at least a zero or a pole, the case $f \cdot g \equiv 1$ cannot arise. This proves the theorem.

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Received: 03.03.1996