

CONVERGENCE OF INTERPOLATING RATIONAL FUNCTIONS

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Summary. Let E be a regular compact set in C , $\{\beta_k\}_{k=1}^\infty = \beta \in \mathcal{P}(E)$ and f be an analytic (and single-valued) function on E . For each integer n let $\mathcal{R}_n^\beta(f) = \mathcal{R}_n$ denote the interpolating rational function to f of order n with respect to β . Assume the function f and all the functions \mathcal{R}_n , for n sufficiently large, are analytic in some region E_R , $R > 1$ ($f \in \mathcal{H}_*^*(E_R)$). There is considered the question how large must be R such that the sequence \mathcal{R}_n converges to f , as $n=1, 2, \dots$ uniformly on the set E (Theorem 1). If R is large enough ($R > R_2$), we set $\rho_f(E_R) = \sup \{\rho, \mathcal{R}_n \rightarrow f \text{ as } n \rightarrow \infty, \text{ uniformly on each compact subset of the region } E_\rho\}$ and $\inf \{\rho_f(E_R), f \in \mathcal{H}_*^*(E_R)\} = \rho_R(E_R)$. The second problem considered in the paper is to give a lower and an upper estimate of $\rho(E_R)$.

Let E be a compact set in C , whose complement K (with respect to the extended plane \bar{C}) is connected and regular in the sense that K possesses Green's function $G(z, \infty)$ with a pole at infinity. We set $G(z, \infty) \equiv 1$ for $z \in E$. For each $r > 1$ let Γ_r denote generically the locus $\{z, G(z, \infty) = \log r\}$ and E_r — the region $E \cup \{z, G(z, \infty) < \log r\}$. We shall assume without losing the generality that $0 \in E$. It is well-known that there exists a sequence β of points β_1, β_2, \dots , lying on E and satisfying the relation

$$\lim_{n \rightarrow \infty} |\omega_n^\beta(z)|^{1/n} = \lim_{n \rightarrow \infty} \prod_{k=1}^n (z - \beta_k)^{-1/n} = C \cdot \exp G(z, \infty)$$

uniformly on each compact subset of K ; C is a positive constant. The sequence β is said to be an element of $\mathcal{P}(E)$ (concerning the existence of sequences β with the indicated properties see [1, Section 7], [2, Section 5]).

Assume the function f is analytic (and single-valued) on E . (This means that f is analytic in some open region of E .) We'll write $f \in \mathcal{H}(E)$. Let (n, m) be a fixed pair of integers. Define the rational function $\mathcal{R}_{n,m}^\beta(f) = \mathcal{R}_{n,m} = p/q$, $q \neq 0$ in the following way. The numerator p and the denominator q are polynomials of degree $\leq n$ and $\leq m$, respectively ($\deg p \leq n$, $\deg q \leq m$), and are determined so that the function $\varphi = (f \cdot q - p) / \omega_{n+m+1}^\beta$ is analytic on E . It is easy to verify that the rational function $\mathcal{R}_{n,m}$ always exists and is the unique one, for which the numerator and the denominator satisfy the upper requirement. This construction is due to Saff [3].

For each pair (n, m) we set $\mathcal{R}_{n,m} = \mathcal{P}_{n,m} / \mathcal{Q}_{n,m}$, where $\mathcal{Q}_{n,m}(z) = 1 + \dots$ and the polynomials $\mathcal{P}_{n,m}$ and $\mathcal{Q}_{n,m}$ have not a common divisor. The zeros of $\mathcal{Q}_{n,m}$ are called free poles of the rational function $\mathcal{R}_{n,m}$. If $\mathcal{R}_{n,m}$ has exactly m free poles, then it interpolates f in all the points $\beta_1, \dots, \beta_{n+m+1}$.

Suppose the function f can be analytically extended in some region E_R , $R > 1$. Let $\Lambda = (n, m_n)_{n=1}^{\infty}$ be a sequence of pairs with the property $n/m_n \rightarrow 1$ as $n = 1, 2, \dots$; set for $n = 1, 2, \dots$ $\mathcal{Q}_{n,m_n} = \mathcal{Q}_n$, $\mathcal{R}_{n,m_n} = \mathcal{R}_n$ and suppose that, for all n sufficiently large ($n \geq N$), $\deg \mathcal{Q}_n = m_n$ and $\mathcal{Q}_n(z) \neq 0$, if $z \in E_R$ (we'll write $\mathcal{R}_n \in \mathcal{H}_{*}(E_R)$, $f \in \mathcal{H}_{*\Lambda}^*(E_R)$). The problem is to determine R such that the sequence \mathcal{R}_n converges to f , as $n \rightarrow \infty$, uniformly on the set E . It can be proved the following

Theorem 1. *Let E be a regular set in \mathbb{C} with a regular complement K , $\beta \in \mathcal{P}(E)$ and f be analytic function on E . Let $\Lambda = (n, m_n)_{n=1}^{\infty}$ be a sequence of pairs of integers with the property $n/m_n \rightarrow 1$ as $n = 1, 2, \dots$. Suppose that for some R , $R > 1$, $f \in \mathcal{H}_{*\Lambda}^*(E_R)$. Then, if $R > R_1$, where R_1 is the largest positive zero of the equation*

$$\mathcal{A}(x) = x^6 - 4x^5 - 12x^4 - 4x^3 - 11x^2 - 2 = 0,$$

the sequence \mathcal{R}_n converges to f , as $n \rightarrow \infty$, uniformly on E (concerning the proof of Theorem 1 see [4]).

Theorem 1 has the following

Corollary. *In the conditions of Theorem 1 the sequence \mathcal{R}_n converges to f , as $n \rightarrow \infty$, uniformly on each compact subset of the region $E_{(R/R_1)}$ (see [4]).*

Let now suppose without losing the generality that $\text{Cap } E = 1$. Denote by R_2 the bigger positive zero of the equation $\mathcal{R}(x) = x^2 - 7x + 1 = 0$. We set for each $a \in E_R$

$\rho(a) = \min \{ \exp G(z, \infty) \Rightarrow |z - a| = 1 \}$. It can be verified that $\rho(a) > 1$, if $R > R_2$ ($a \in \Gamma_R$). In this case ($R > R_2$), the point a lies exterior to $\Gamma_{\rho(a)}$ and $\text{dist}(a, \Gamma_{\rho(a)}) = 1$. We have the following

Theorem 2. *Let E and β be given as in Theorem 1, $\text{Cap } E = 1$ and R be a positive number, $R > R_2$. Then there exists for each $a \in \Gamma_R$ a function f_a , $f_a \in \mathcal{H}(E_R)$ and a sequence of pairs of integers $\bar{\Lambda} = (n_k, m_{n_k})_{k=1}^{\infty}$ with the property $n_k \rightarrow \infty$, $m_{n_k} \rightarrow \infty$ as $k = 1, 2, \dots$ and $n_k/m_{n_k} \rightarrow 1$, $k = 1, 2, \dots$, such that all the functions $\mathcal{R}_{n_k}(f_a) = \mathcal{R}_{n_k, m_{n_k}}^{\beta}(f_a)$ belong to $\mathcal{H}_{*}(E_R)$ and $\mathcal{R}_{n_k} \rightarrow \infty$, $k = 1, 2, \dots$, uniformly in the region $e(a) = E_R \cap \{z, |z - a| < \rho(a)/R^2\}$ ($\mathcal{R}_{n_k} \rightrightarrows \infty$ in $e(a)$).*

The used construction of the function f_a , $a \in \Gamma_R$ in Theorem 2 and of the rational functions \mathcal{R}_{n_k} , $k = 1, 2, \dots$, is due to R a h m a n o v [5].

There are two problems in connection with Theorem 1 and Theorem 2. We assume first that $\Lambda = (n, m_n)_{n=1}^{\infty}$ is a sequence with the indicated property ($n/m_n \rightarrow 1$, as $n \rightarrow \infty$); we set $\mathcal{R}_{n, m_n}^{\beta} = \mathcal{R}_n$ ($\beta \in \mathcal{P}(E)$). Let us now define the class $W(E)$ in the following way:

$$W_{\Lambda}(E) = \{ f \in \mathcal{H}(E) \Rightarrow \mathcal{R}_n \rightrightarrows f, \quad n \rightarrow \infty \text{ on } E \}.$$

We set

$$R_0(E) = \inf \{R, R > 1 \Rightarrow \mathcal{H}_{*\Lambda}^*(E_R) \subset W(E)\}.$$

There follows from Theorem 1, that $R_0(E) \leq R_1$. The first problem is to give a more exact upper estimate of $R_0(E)$ (E is a compact given as in Theorem 1).

The problem of giving a lower estimate of $R_0(E)$ can be connected with Theorem 2. Consider first the following scheme.

Suppose $\mathcal{H}_{*\Lambda}^*(E_R) \subset W_\Lambda(E)$ for some $R, R > 1$ and let $f \in \mathcal{H}_{*\Lambda}^*(E_R)$. We set $\rho_f(E_R) = \sup \{\rho, R_n \rightrightarrows f \text{ as } n \rightarrow \infty, \text{ on each compact subset of } E\}$.

Denote by $\rho_R(E) = \inf \{\rho_f(E_R), f \in \mathcal{H}_{*\Lambda}^*(E_R)\}$. There follows from Theorem 1 that $\rho_R(E) \geq R/R_1$, if $R > R_1$. We have from Theorem 2, in case $R > R_2$ and $\text{Cap } E = 1$, the estimate $\rho_R(E) \leq \rho(E)$, where $\text{dist}(\Gamma_R, \Gamma_{\rho(E)}) = 1/R_2^2$. The requirement $\text{Cap } E = 1$ in Theorem 2 doesn't lose the generality, it helps only to simplify some calculations and to write the results more simply. It follows from Theorem 2 that there exist compacts E and $\beta \in \mathcal{P}(E)$ such that if $\text{dist}(E, \Gamma_R) \leq R_2^{-2}$, then there exists a function $f \in \mathcal{H}(E_R)$ so that R_n doesn't converge to $f, n = 1, 2, \dots$, on the set E .

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