

## ON SPACES OF FUNCTIONS AND DISTRIBUTIONS WITH DOMINATING MIXED SMOOTHNESS PROPERTIES OF BESOV-TRIEBEL-LIZORKIN TYPE

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**Summary.** The paper deals with function spaces, which appear in the theory of integral operators to describe the smoothness of the related kernel such that result mappings between Besov or Triebel-Lizorkin spaces. A survey on the main properties such as characterization of Fourier multipliers and imbeddings, which can be derived by means of Fourier analysis, is given. We have relations to mixed  $L_p$ -spaces and spaces of functions with a dominating mixed derivative.

**1. Introduction and Definitions.** In this paper we want to present some new results concerning spaces of functions and distributions with dominating mixed smoothness properties. The definition of spaces of such a type goes back to Nikol'skij [7], Amanov [1] and Lizorkin, Nikol'skij [6]. A comprehensive treatment can be found in Amanov [2]. In latter time the theory was forced by the papers of Triebel [14] (see also [15, Chapter 2]), where a general approach, basing on methods of Fourier analysis, is given. A new point of view came in in connection with integral operators  $(\mathfrak{R}f)(y) = \int_{R_n} K(y, x)f(x)dy$ .

The question was to determine smoothness properties of the kernel  $K(y, x)$ ,  $y \in R_n$ ,  $x \in R_m$ , such that the related operator  $\mathfrak{R}$  maps between Besov spaces. We refer to Pietsch [8]. Here  $m, n$  are natural numbers.  $R_n$  denotes the Euclidean  $n$ -space. Suitable spaces were found by Triebel [18]. But before we turn to the definition of these spaces let us agree some notations. If  $n$  is a natural number, we denote by  $\Phi_n$  the class of all systems of infinitely differentiable test functions, satisfying the properties:

- (i)  $\text{supp } \psi_0(y) \subset \{y \in R_n \mid |y| \leq 2\}$ ;  
 $\text{supp } \psi_l(y) \subset \{y \in R_n \mid 2^{l-1} \leq |y| \leq 2^{l+1}\}$ ,  $l = 1, 2, \dots$ ,
- (ii)  $\text{supp } |D^\alpha \psi_l(y)| \leq c_\alpha 2^{-l|\alpha|}$

for all multi-indices  $\alpha = (\alpha_1, \dots, \alpha_n)$  of nonnegative integers,  $|\alpha| = \sum_{j=1}^n \alpha_j$ ,

- (iii)  $\sum_{l=0}^{\infty} \psi_l(y) \equiv 1$ .

As usually  $S(R_n)$  and  $S'(R_n)$  denote the spaces of all rapidly decreasing functions and their topological dual the space of all tempered distributions, respectively. In the following by  $F$  and  $F^{-1}$  we shall denote the Fourier transform in  $S'(R_{n+m})$  and its inverse, respectively. Let  $0 < p, q, u, v \leq \infty$ ,  $-\infty < s, t < \infty$ . Then we want to investigate the spaces

$$(1) \quad B_{p,q}^s(R_m, B_{u,v}^t(R_n)) = \{f \in S'(R_{n+m}) \mid \exists \psi \in \Phi_n, \varphi \in \Phi_m \text{ such that} \\ \|f \mid B_{p,q}^s(B_{u,v}^t)\|^{(\psi, \varphi)} = \|2^{sk+tl}(F^{-1}\varphi_k(\xi)\psi_l(\eta)Ff)(y, x) \mid l_v(L_u) \mid l_q(L_p)\| < \infty\}$$

and if additionally  $p, u < \infty$

$$(2) \quad F_{p,q}^s(R_m, F_{u,v}^t(R_n)) = \{f \in S'(R_{n+m}) \mid \exists \psi \in \Phi_n, \varphi \in \Phi_m \text{ such that} \\ \|f \mid F_{p,q}^s(F_{u,v}^t)\|^{(\psi, \varphi)} = \|2^{sk+tl}(F^{-1}\varphi_k(\xi)\psi_l(\eta)Ff)(y, x) \mid L_u(l_v) \mid L_p(l_q)\| < \infty\}.$$

Here  $l_v(L_u)$  and  $L_u(l_v)$  mean the  $L_u$ -valued  $l_v$ -space and the  $l_v$ -valued  $L_u$ -space. At first we have to take the quasinorms in  $l_v(L_u)$  (or  $L_u(l_v)$ ) with respect to integration over  $y \in R_n$  and summation over  $l=0, 1, 2, \dots$  and afterwards the quasinorms in  $l_q(L_p)$  (or  $L_p(l_q)$ ) with respect to integration over  $x \in R_m$  and summation over  $k=0, 1, 2, \dots$ .

Triebel [18] showed that

$$\mathfrak{R}: B_{u',v'}^{-t}(R_n) \longrightarrow B_{p,q}^s(R_m)$$

(linear bounded mapping), if  $K \in B_{p,q}^s(R_m, B_{u,v}^t(R_n))$ ,  $1 < u, v < \infty$ ,  $1/u + 1/u' = 1$ ,  $1/v + 1/v' = 1$ . Here  $B_{p,q}^s(R_m)$  denotes the isotropic Besov space. An analogous result is valid in the case of the  $F$ -spaces. Then we have a mapping property between the Triebel-Lizorkin spaces. See [9]. For the definition of the Besov and Triebel-Lizorkin spaces we refer to [17]. The problem, which appeared, was to give a detailed study of the spaces, defined in (1) and (2) in the spirit of the modern theory of function spaces (cf. [16, 17]), or, in other words, a theory of these spaces from the standpoint of Fourier analysis. This was done in the papers [9 – 12] and [14] of the author in a somewhat more general case (There functions of  $n$  variables were considered.). The aim of the following sections is to give a survey on the main results applied to the spaces of (1) and (2).

**2. Basic Properties of  $B_{p,q}^s(R_m, B_{u,v}^t(R_n))$  and  $F_{p,q}^s(R_m, F_{u,v}^t(R_n))$ .** If we look at the definitions (1) and (2) it becomes clear that we have to deal with systems  $\{f_{l,k}(y, x)\}$  of certain entire analytic functions of exponential type, belonging to the space  $L_p(R_m, L_u(R_n))$ , consisting of all measurable functions defined on  $R_{n+m}$ , such that

$$(3) \quad \|g(y, x) \mid L_p(L_u)\| = \left( \int_{R_m} \left( \int_{R_n} |g(y, x)|^u dy \right)^{p/u} dx \right)^{1/p} < \infty,$$

$0 < p, u \leq \infty$  (modification if  $u = \infty$  or  $p = \infty$ ). This is a space with mixed quasinorm in the sense of Benedek, Panzone [4]. Moreover, we have

$$\text{supp } Ff_{l,k} \subset \{y \mid 2^{l-1} \leq |y| \leq 2^{l+1}\} \times \{x \mid 2^{k-1} \leq |x| \leq 2^{k+1}\}$$

(modification if  $k=0$  or  $l=0$ ) and

$$\|f_{l,k}(y, x) \mid l_v(L_u) \mid l_q(L_p)\| < \infty$$

in the case of the  $B$ -spaces or

$$\|f_{l,k}(y, x) | L_u(l_v) | L_p(l_q)\| < \infty$$

in the case of the  $F$ -spaces. The basic tools in the theory are Fourier multiplier theorems for spaces of systems with these properties, analogous to those ones for  $L_p(l_q)$  in the theory of Triebel-Lizorkin spaces in [17]. They can be derived, using Bagby's extended maximal inequality and the method of Triebel [17, Proposition 2.2.3]. For details we refer to [11, 14]. As a consequence one obtains the following theorems.

**Theorem 1** ([9, 14]). (i) *If  $f$  belongs to  $B_{p,q}^s(R_m, (B_{u,v}^t(R_n)))$  (or  $F_{p,q}^s(R_m, F_{u,v}^t(R_n))$ ), then it holds  $\|f| \dots \|^{(\psi, \varphi)} < \infty$  for all  $\psi \in \Phi_n$  and  $\varphi \in \Phi_m$ . All quasinorms  $\|f| \dots \|^{(\psi, \varphi)}$  (norms if  $1 \leq p, q, u, v \leq \infty$ ) are equivalent to each other on the corresponding spaces. Equipped with the quasinorms  $\|f| \dots \|^{(\psi, \varphi)}$  all spaces are quasi-Banach spaces (Banach spaces, if  $1 \leq p, q, u, v \leq \infty$ ).*

(ii) *We have the topological imbeddings  $S(R_{n+m}) \subset B_{p,q}^s(R_m, B_{u,v}^t(R_n))$ ,  $F_{p,q}^s(R_m, F_{u,v}^t(R_n)) \subset S'(R_{n+m})$ . If  $p, q, u, v < \infty$ , then  $S(R_{n+m})$  is dense in both spaces.*

It is shown in [11] and [14] that we can weaken the assumptions concerning the systems  $\{\psi_l(y)\}_l$  and  $\{\varphi_k(x)\}_k$ . Furthermore in the case of the  $B$ -spaces the complicated technique of maximal functions can be avoided. See [10, 14]. In particular this implies the following criterion on Fourier multipliers.

**Theorem 2** ([10, 14]). *Let  $0 < p, q, u, v \leq \infty$  ( $p, u < \infty$  in the case of  $F$ -spaces) and  $-\infty < s, t < \infty$ . We put*

$$(4) \quad \alpha_n^B = n \left( \frac{1}{u} + \frac{1}{2} \right), \quad \alpha_m^B = m \left( \frac{1}{\min(u, v, p)} + \frac{1}{2} \right);$$

$$(5) \quad \alpha_n^F = n \left( \frac{1}{\min(u, v)} + \frac{1}{2} \right), \quad \alpha_m^F = m \left( \frac{1}{\min(u, v, p, q)} + \frac{1}{2} \right);$$

$$(6) \quad \alpha_n^* = n \left( \frac{1}{\min(u, 1)} - \frac{1}{2} \right), \quad \alpha_m^* = m \left( \frac{1}{\min(u, v, p, 1)} - \frac{1}{2} \right).$$

*Further let  $\mu(y, x)$  be an infinitely differentiable function on  $R_{n+m}$  and let  $L_n, L_m$  be natural numbers. If  $L_n > \alpha_n^B$  and  $L_m > \alpha_m^B$ , then there exists a positive constant  $c$  such that*

$$(7) \quad \|F^{-1}(\mu(\eta, \xi) Ff) | B_{p,q}^s(B_{u,v}^t)\| \leq c M_{L_n, L_m} \|f | B_{p,q}^s(B_{u,v}^t)\|$$

*for all  $f \in B_{p,q}^s(R_m, B_{u,v}^t(R_n))$ , where*

$$M_{L_n, L_m} = \sup_{|\beta| \leq L_n, |\alpha| \leq L_m} \sup_{(y, x) \in R_{n+m}} (1 + |y|^2)^{|\beta|/2} (1 + |x|^2)^{|\alpha|/2} |D^{(\beta, \alpha)} \mu(y, x)|.$$

*An analogous result is true for the  $F$ -spaces, if we replace  $\alpha_n^B$  by  $\alpha_n^F$  and  $\alpha_m^B$  by  $\alpha_m^F$ . If we consider functions  $\mu(y, x) = \mu_1(y)\mu_2(x)$ , (7) is valid with  $\alpha_n^*$  and  $\alpha_m^*$  instead of  $\alpha_n^B$  and  $\alpha_m^B$ , respectively.*

**3. Spaces with a Dominating Mixed Derivative.** Next we want to make clear the relations to the spaces of functions with dominating mixed derivatives, mentioned at the beginning of Section 1.

**Theorem 3** ([11, 14]). (i) *Let  $1 < p, u < \infty$ . Then it holds*

$$F_{p, \min(u, 2)}^0(R_m, F_{u, 2}^0(R_n)) \subset L_p(R_m, L_u(R_n)) \subset F_{p, \max(u, 2)}^0(R_m, F_{u, 2}^0(R_n)).$$

(ii) *Let  $1 < p, u < \infty, -\infty < t, s < \infty$ . Then we have*

$$F_{p, \min(u, 2)}^s(R_m, F_{u, 2}^t(R_n)) \subset H_p^s(R_m, H_u^t(R_n)) \subset F_{p, \max(u, 2)}^s(R_m, F_{u, 2}^t(R_n)),$$

where

$$H_p^s(R_m, H_u^t(R_n)) = \{f \in S'(R_{n+m}) \mid F^{-1}[(1 + |\eta|^2)^{t/2}(1 + |\xi|^2)^{s/2}Ff] \in L_p(R_m, L_u(R_n))\}.$$

The theorem shows the relations to the well-known Sobolev-Lebesgue spaces with a dominating mixed derivative [6] as well as to the  $L_p$ -spaces with a mixed norm [4]. Note also that  $B_{p,p}^s(R_1, B_{p,p}^t(R_1))$ , where  $1 \leq p \leq \infty, 0 \leq s, t < \infty$ , coincide with the spaces  $S_{p,p}^{(t,s)}B(R_2)$  of Nikolskij [7] ( $p = \infty$ ) and Amanov [1, 2] (cf. [10]). Moreover, we have representations of Nikolskij type, if  $0 < p, q, u, v \leq \infty$ ,

$$t > n\left(\frac{1}{\min(u, 1)} - 1\right), \quad s > m\left(\frac{1}{\min(u, v, p, 1)} - 1\right)$$

for the  $B$ -spaces and in the case of  $F$ -spaces, if  $0 < p, u < \infty, 0 < q, v \leq \infty$  and

$$t > n \frac{1}{\min(u, v)}, \quad s > m \frac{1}{\min(u, v, p, q)}.$$

**4. Imbeddings and Traces.** On the basis of inequalities of Nikolskij type for the systems  $\{f_{l,k}(y, x)\}$ , described in Section 2, it is possible to establish imbedding theorems for different metrics and different dimensions.

**Theorem 4** ([12, 14]). *Let  $0 < p_0 \leq p_1 \leq \infty, 0 < u_0 \leq u_1 \leq \infty, 0 < v, q \leq \infty, -\infty < s_1 \leq s_0 < \infty, -\infty < t_1 \leq t_0 < \infty$ . Further we suppose  $s_0 - m/p_0 = s_1 - m/p_1, t_0 - n/u_0 = t_1 - n/u_1$ . Then it holds*

$$B_{p_0, q}^{s_0}(R_m, B_{u_0, v}^{t_0}(R_n)) \subset B_{p_1, q}^{s_1}(R_m, B_{u_1, v}^{t_1}(R_n)).$$

If additionally  $p_1, u_1 < \infty$  and  $0 < q_0, v_0, q_1, v_1 \leq \infty$ , then it holds  $F_{p_0, q_0}^{s_0}(R_m, F_{u_0, v_0}^{t_0}(R_n)) \subset F_{p_1, q_1}^{s_1}(R_m, F_{u_1, v_1}^{t_1}(R_n))$ .

Combining Theorem 3 and Theorem 4, we get imbeddings into mixed  $L_p$ -spaces and Sobolev-Lebesgue spaces with a dominating mixed derivative.

Next we define the operators  $\mathfrak{R}_m f = f(y, 0)$ ,  $\mathfrak{R}_n f = f(0, x)$ , if  $f$  belongs to  $S'(R_{n+m})$  such that  $\text{supp } Ff$  is compact. Note that we can find dense subsets of such functions in our spaces.

**Theorem 5** ([12, 14]). *Let  $0 < p, u, q, v \leq \infty, -\infty < s, t < \infty$ .*

(i) *If  $s > m/p$ , then (after extension)  $\mathfrak{R}_m$  is a retraction from  $B_{p,q}^s(R_m, B_{u,v}^t(R_n))$  onto  $B_{u,v}^t(R_n)$  and from  $F_{p,q}^s(R_m, F_{u,v}^t(R_n))$  onto  $F_{u,v}^t(R_n)$ .*

(ii) *If  $t > n/u$ , then (after extension)  $\mathfrak{R}_n$  is a retraction from  $B_{p,q}^s(R_m, B_{u,v}^t(R_n))$  onto  $B_{p,q}^s(R_m)$  and from  $F_{p,q}^s(R_m, F_{u,v}^t(R_n))$  onto  $F_{p,q}^s(R_m)$ .*

Here  $B_{p,q}^s(R_m)$ ,  $F_{p,q}^s(R_m)$  denote the isotropic Besov and Triebel-Lizorkin spaces of [17].

**5. Generalizations.** The definitions (1) and (2) can obviously be generalized to functions of more than two variables. All results can be carried over without serious difficulties (see [10, 11, 12, 14]).

We have another possibilities to describe dominating mixed smoothness properties. For example, we can change the order of taking the quasinorms in  $l_q$ ,  $l_v$ ,  $L_p$ , and  $L_u$  in (1) or (2). Taking first the quasinorm  $\|\cdot\|_{L_p(L_u)}$  and afterwards the quasinorm  $\|\cdot\|_{l_q(l_v)}$ , we obtain the generalization of the spaces of Nikolskij [7] and Amanov [1, 2] to mixed quasinorms and to values  $p, u$  less than one. These spaces are investigated in [13]. For a recent work we refer also to the paper of Fernandez [5], where a similar approach is given in the case  $1 \leq p, u \leq \infty$ .

On the other hand, we could take at first the quasinorm  $\|\cdot\|_{l_q(l_v)}$  and then  $\|\cdot\|_{L_p(L_u)}$ . The resulting space generalize the spaces  $H_p^s(R_m)$ ,  $H_u^t(R_n)$  from Theorem 3 [11, 14].

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