

ON A CLASS OF WEIGHTED FUNCTION SPACES

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1. Pseudo-differential operators. As usual we say that a  $C^\infty$ -function  $p(x, \xi)$  defined on  $R^{2n} = R_x^n \times R_\xi^n$  is a symbol of class  $S_{\mathcal{S}, \mathcal{G}}^m$  ( $-\infty < m < \infty$ ,  $0 \leq \mathcal{S} \leq \mathcal{G} \leq 1$ ,  $\mathcal{S} < 1$ ) if for any multi-indices  $\alpha, \beta$  there exists a constant  $c_{\alpha\beta}$  such that

$$(1) \quad |p^{(\alpha)}_{(\beta)}(x, \xi)| \leq c_{\alpha\beta} \langle \xi \rangle^{m - \mathcal{S}|\alpha| + \mathcal{S}|\beta|}$$

holds in  $R^{2n}$ , where  $p^{(\alpha)}_{(\beta)}(x, \xi) = \partial_\xi^\alpha D_x^\beta p(x, \xi)$ ,  $\langle \xi \rangle = (1 + |\xi|^2)^{1/2}$  and  $|\alpha| = \alpha_1 + \dots + \alpha_n$ .

The pseudo-differential operator  $P(x, D_x)$  with the symbol  $p(x, \xi)$  is defined by

$$P(x, D_x) u(x) = (2\pi)^{-n} \int e^{ix\xi} p(x, \xi) \hat{u}(\xi) d\xi \quad \text{for } u \in S(R^n)$$

where  $\hat{u}(\xi) = \int e^{-iy\xi} u(y) dy$  denotes the Fourier transform of  $u$ . This operator maps  $S(R^n)$  continuously into itself and we can extend it to a continuous operator from  $S'(R^n)$  into  $S'(R^n)$ .

For  $p \in S_{\mathcal{S}, \mathcal{G}}^m$  we define the semi-norms  $|p|_1^{(m)}$  by

$$(2) \quad |p|_1^{(m)} = \max_{|\alpha| + |\beta| \leq 1} \sup_{R^{2n}} \{ |p^{(\alpha)}_{(\beta)}(x, \xi)| \langle \xi \rangle^{-m + \mathcal{S}|\alpha| - \mathcal{S}|\beta|} \}.$$

Then  $S_{\mathcal{S}, \mathcal{G}}^m$  is a Frechét space with these semi-norms.

There are several results concerning the boundedness of pseudo-differential operators in function spaces - some references may be found in [3]. We consider here function spaces of Besov-Hardy-Sobolev type  $B_{p,q}^s(R^n)$  and  $F_{p,q}^s(R^n)$ . These spaces are a general scale of function spaces containing a lot of classical spaces, for example the Bessel-potential-spaces, Besov-spaces, local Hardy-spaces and others. For the definition and properties of these spaces see [6].

We note the following important theorem which we shall use in the sequel. The proof may be found in [3].

**Theorem:** Let  $P(x, D_x) \in S_{1, \delta}^0$  and  $\delta < 1$ . Then for all  $p, q$  and  $s$  with  $0 < p < \infty$ ,  $0 < q \leq \infty$  and  $-\infty < s < \infty$

$$P(x, D_x) : F_{p, q}^s(\mathbb{R}^n) \longrightarrow F_{p, q}^s(\mathbb{R}^n)$$

is a linear and continuous operator. Moreover, there exist two real numbers  $l$  and  $c > 0$ , both independent of  $P(x, D_x)$ , such that

$$(3) \quad \|P(x, D_x) u\|_{F_{p, q}^s} \leq c |p|_1^{(0)} \|u\|_{F_{p, q}^s}$$

holds for all functions  $u \in F_{p, q}^s(\mathbb{R}^n)$ .

**Remark:** In the case of Besov-spaces  $B_{p, q}^s(\mathbb{R}^n)$  such a result also is true. It holds

$$\|P(x, D_x) u\|_{L(B_{p, q}^s, B_{p, q}^s)} \leq c |p|_1^{(0)}$$

if  $0 < p, q \leq \infty$  and  $-\infty < s < \infty$ .

In the following we consider a special class of hypoelliptic pseudo-differential operators.

**Definition:** A symbol  $a(x, \xi)$  belongs to the class  $S(1, \delta; m', m)$  if there exist a constant  $R > 0$  and real numbers  $\delta, m', m$  with  $0 \leq \delta < 1$ ,  $0 \leq m' \leq m$ , such that holds:

- i)  $a \in S_{1, \delta}^m$
- ii)  $|a_{(\alpha, \beta)}^{(\omega)}(x, \xi)| \leq c_{\alpha, \beta} |a(x, \xi)| \langle \xi \rangle^{-|\alpha| + \delta |\beta|}$   
for any multi-indices  $\alpha, \beta$ , all  $x \in \mathbb{R}_x^n$  and all  $\xi \in \mathbb{R}_\xi^n$  with  $|\xi| \geq R$ .
- iii)  $c' \langle \xi \rangle^{m'} \leq |a(x, \xi)| \leq c \langle \xi \rangle^m$   
with constants  $c' > 0, c > 0$ , all  $x \in \mathbb{R}_x^n$  and all  $\xi \in \mathbb{R}_\xi^n$  with  $|\xi| \geq R$ .
- iv)  $\arg a(x, \xi)$  is a continuous and uniformly bounded function on  $\mathbb{R}_x^n \times \{\xi: \xi \in \mathbb{R}_\xi^n, |\xi| \geq R\}$ .

If  $a \in S(1, \delta; m', m)$ , then the conditions i) - iv) allow to define a family of complex powers  $\{A^z\}_{z \in \mathbb{C}}$  of the pseudo-differential operator  $A(x, D_x)$ . As for properties of this complex powers see [2, section 8]. There is also an expansion formula for the symbol of  $A^z(x, D_x)$ .

Now let us give some simple examples of symbols belonging to a class  $S(1, \delta; m', m)$ :

1. At first a trivial example,

$$a_1(x, \xi) = \langle \xi \rangle .$$

This symbol belongs to  $S(1, 0; 1, 1)$  and it is well-known that the complex powers of  $A_1(x, D_x)$  exist.

2. Let  $b_0 \in S_{1,0}^{m'}$  with  $c' \langle \xi \rangle^{m'} \leq b_0(x, \xi) \leq c \langle \xi \rangle^{m'}$ , and  $b_1 \in S_{1,0}^m$  with  $c' \langle \xi \rangle^m \leq b_1(x, \xi) \leq c \langle \xi \rangle^m$ ,  $k$  be a natural number and  $\varrho(x)$  be a real-valued function with  $\sup_x |D_x^\alpha \varrho(x)| \leq c_\alpha$  for all  $\alpha$ .

If  $0 \leq m' \leq m$  and  $\delta = \frac{m-m'}{2k} < 1$ , then

$$a_2(x, \xi) = b_0(x, \xi) + \varrho^{2k}(x) b_1(x, \xi)$$

belongs to  $S(1, \delta; m', m)$ .

3. Let  $\varrho(x) = s + \sigma(x)$  be a real-valued function, let  $s$  be a constant and let  $\sigma(x)$  be an element of  $S(\mathbb{R}^n)$ . Then

$$a_3(x, \xi) = \langle \xi \rangle^{\varrho(x)}$$

belongs to  $S(1, \delta; \inf \varrho(x), \sup \varrho(x))$  for any  $\delta$  with  $0 < \delta < 1$ .

4. Let again  $\varrho(x) = s + \sigma(x)$  and  $k'$  be an arbitrary real number. Then

$$a_4(x, \xi) = \langle \xi \rangle^{\varrho(x)} (1 + \log \langle \xi \rangle^2)^{k'/2}$$

belongs to  $S(1, \delta; m', m)$  with  $0 < \delta < 1$ ,  $m' < \inf \varrho(x)$  and  $m > \sup \varrho(x)$ .

2. Function spaces. The basic spaces for the following definition are the spaces  $B_{p,q}^s$  and  $F_{p,q}^s$  from [6]. Here we restrict ourselves to the case  $F_{p,q}^s$ , but all results are also true in the case of  $B_{p,q}^s$ .

Definition: Let  $0 < p < \infty$ ,  $0 < q \leq \infty$ ,  $s, t, r$  be arbitrary real numbers and  $a(x, \xi)$  a symbol belonging to  $S(1, \delta; m', m)$ .

$$F_{p,q}^{s,t,r}(\mathbb{R}^n, a) = \{ u : u \in S'(\mathbb{R}^n) \text{ and } \|u\|_{F_{p,q}^{s,t,r}(\mathbb{R}^n, a)} < \infty \}$$

$$(4) \quad \|u\|_{F_{p,q}^{s,t,r}(\mathbb{R}^n, a)} = \|A^s(x, D_x) u\|_{F_{p,q}^t} + \|u\|_{F_{p,q}^r} .$$

Remarks: 1. The definition makes sense because the pseudo-differential operator  $A^s(x, D_x)$  belongs to  $S_{1,\delta}^{sm'}$  if  $s \geq 0$  ( $S_{1,\delta}^{sm'}$  if  $s < 0$ ) and therefore it is a linear and continuous operator from  $S'(\mathbb{R}^n)$

into  $S'(R^n)$ . The second term in (4) is necessary in the proof that  $F_{p,q}^{s,t,r}(R^n, a)$  is a quasi-Banach space.

2. If we have  $s \in \mathbb{C}$ , the definition is also possible. Let  $s \in \mathbb{C}$  then holds

$$F_{p,q}^{s,t,r}(R^n, a) = F_{p,q}^{\operatorname{Re} s, t, r}(R^n, a)$$

that means, that both the operators  $A^s(x, D_x)$  and  $A^{\operatorname{Re} s}(x, D_x)$  define equivalent quasi-norms in (4).

3. In the trivial case  $a_1(x, \xi) = \langle \xi \rangle$  we get by the definition the usual scale of spaces  $F_{p,q}^{s'}(R^n)$  with  $s' = \max(s+t, r)$ .

4. If we have the spaces  $B_{p,q}^t$  instead of  $F_{p,q}^t$  with  $1 < p < \infty$ ,  $1 \leq q \leq \infty$  and the symbol  $a_3(x, \xi) = \langle \xi \rangle^{s(x)}$  - see example 3 - then we can get spaces of Besov-type with variable order of differentiation  $B_{p,q}^{p,q}(R^n)$  - introduced by Beauzamy [1].

5. For the symbol  $a_4(x, \xi) = \langle \xi \rangle^{s(x)} (1 + \log \langle \xi \rangle^2)^{k'/2}$  - see example 4 - the space  $F_{2,2}^{1,0,m'-1}(R^n, a_4)$  coincides with the space  $H^{s,k'}$  introduced by Unterberg [7].

In these cases we can find equivalent norms in [6], [1] and [7].

Now we describe some properties of the spaces  $F_{p,q}^{s,t,r}(R^n, a)$ . As for proofs and details see [4].

$F_{p,q}^{s,t,r}(R^n, a)$  is a quasi-Banach space if  $-\infty < s, t, r < \infty$ ,  $0 < p < \infty$  and  $0 < q \leq \infty$  (Banach space if  $1 \leq p < \infty$ ,  $1 \leq q \leq \infty$ ).

If  $-\infty < s, t, r < \infty$ ,  $0 < p < \infty$  and  $0 < q < \infty$ , then  $S(R^n)$  is dense in  $F_{p,q}^{s,t,r}(R^n, a)$ .

If  $s \geq 0$  and  $sm+t \leq r$  (respectively  $sm'+t \leq r$  if  $s < 0$ ).

Then

$$F_{p,q}^{s,t,r}(R^n, a) = F_{p,q}^r(R^n) .$$

On the other hand, let  $s \geq 0$  and  $r, r' \leq sm'+t$  ( $r, r' \leq sm+t$  if  $s < 0$ ), then we have

$$F_{p,q}^{s,t,r}(R^n, a) = F_{p,q}^{s,t,r'}(R^n, a) .$$

In the first case we may say, that  $r$  is dominating and we get the usual scale of function spaces  $F_{p,q}^r$ . In the second case the exact values of the parameters  $r$  and  $r'$  are not essential; without loss of generality we may assume that  $r=sm'+t$  (if  $s \geq 0$ ). This will be the most interesting case for us, because here the pseudo-differential operator dominates. And at last, if  $sm'+t < r < sm+t$  ( $s \geq 0$ ) the space  $F_{p,q}^{s,t,r}(R^n, a)$  is the intersection of a space with dominating parameter  $r$  and of a space where the pseudo-differential operator dominates.

It is possible to introduce a partial ordering relation in the symbol class  $S(1, \delta; m', m)$  in a natural way. This partial ordering has such properties, that we can get from it imbedding properties of the spaces  $F_{p,q}^{s,t,r}(R^n, a)$ . For example, two symbols which are equivalent in the sense of this partial ordering relation define the same spaces.

In [6, section 2.4] Triebel described a method of complex interpolation which is available for some special quasi-Banach spaces of functions. By this method it is also possible to get interpolation theorems for the spaces  $F_{p,q}^{s,t,r}(R^n, a)$ . But by the existence of three parameters  $s, t$  and  $r$  we must consider several different cases and cannot give the results here as one theorem. We only remark, that in all cases the interpolation space is either again a space of the type  $F_{p,q}^{s,t,r}(R^n, a)$  or the intersection of two spaces of this type.

3. Weighted function spaces. We consider symbols of type  $a_2(x, \xi)$  - see example 2. Let

$$(5) \quad a'_2(x, \xi) = \langle \xi \rangle^{m'} + \varrho^{2k}(x) \langle \xi \rangle^m$$

where  $\varrho(x)$  is a real-valued function as in example 2 and  $m', m, k$  are positive integers with  $0 \leq m - m' < 2k$ .

We recall that

$$F_{p,2}^l(R^n) = W_p^l(R^n) \quad \text{if } l = 0, 1, 2, \dots \text{ and } 1 < p < \infty.$$

Theorem: Let  $a'_2(x, \xi)$  be defined by (5) and  $t = r = 0$ ,  $s$  be a natural number,  $s$  or  $m$  are even and  $1 < p < \infty$ ,  $q = 2$ .

Then

$$\|u\|_{W_p^{sm'}} + \sum_{|\nu|=sm} \|\varrho^{2ks}(x) D_x^\nu u\|_{L_p}$$

is an equivalent norm in the space  $F_{p,2}^{s,0,0}(R^n, a'_2)$ .

Remarks: 1. The theorem is also true if we take the symbol  $a_2(x, \xi)$  from example 2, where again  $m', m, k, s$  must be natural numbers and  $s$  or  $m$  is a even number. For the same parameters  $m', m$  and  $k$ ,  $a'_2(x, \xi)$  and  $a_2(x, \xi)$  are equivalent in the sense of the partial ordering relation in  $S(1, \delta; m', m)$  and define the same spaces.

2. In this special case we can characterize the space  $F_{p,2}^{s,0,0}(R^n, a_2)$  by weighted derivatives in the usual sense. This is also possible, if  $t, r$  are arbitrary real numbers and  $0 < p < \infty$ ,  $0 < q \leq \infty$ . These parameters were fixed in the theorem only for simplicity.

3. The proof of the theorem contains estimates for the "mixed" derivatives; for example

$$\|g^j(x) D_x^\alpha u\|_{L_p} \leq c (\|u\|_{W_p^{s,m'}} + \sum_{|\gamma| = s-m} \|g^{2ks}(x) D_x^\gamma u\|_{L_p})$$

if  $1 < p < \infty$  and  $1 \leq j \leq 2ks$ ,  $j$  is a real number and  $|\alpha| \leq s-m' + \frac{m-m'}{2k} \cdot j$ .

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