

LIMITS OF ALGEBRAIC SUBSETS OF \mathbb{C}^n

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1. Topology of local uniform convergence. Let X be a metric space. Let \mathcal{F}_X be the family of all closed subsets of X . We endow \mathcal{F}_X with the topology \mathcal{T}_X generated by the sets

$$\mathcal{U}(S, K) = \{F \in \mathcal{F}_X \mid F \cap K = \emptyset \text{ and } F \cap U \neq \emptyset \text{ for } U \in S\}$$

corresponding to all compact subsets $K \subset X$ and all finite families S of open subsets of X . We call this topology the topology of local uniform convergence. We will write $F_\nu \longrightarrow F$ if F is the limit set of the sequence $\{F_\nu\}$ in the topology of local uniform convergence.

Now, we recall one simple lemma (for a complete discussion of this topology see [4]).

LEMMA 1. If $F_\nu, F \in \mathcal{F}_X, \nu = 1, 2, \dots$ then the following statements are equivalent

(a) $F_\nu \longrightarrow F$.

(b) Every point $x \in F$ is a limit point of a sequence $\{x_\nu\}$ such that $x_\nu \in F_\nu$ for $\nu = 1, 2, \dots$ and for every $x \in X \setminus F$ there exists a neighborhood U of x such that $F_\nu \cap U = \emptyset$ for almost all indices ν .

Let N_1, N_2 be two finite dimensional, complex vector spaces. Let Ω be an open subset of N_1 . Let $f : \Omega \longrightarrow N_2$ be a mapping. Throughout this note we will identify f with its graph. Thus, if f is continuous then f can be regarded as an element of $\mathcal{F}_{\Omega \times N_2}$.

Lemma 2. Let $f_\nu, f : \Omega \longrightarrow N_2$ be continuous mappings for $\nu = 1, 2, \dots$. Then the sequence $\{f_\nu\}$ converges uniformly to f on compact subsets of Ω if and only if $f_\nu \longrightarrow f$ in the topology $\mathcal{T}_{\Omega \times N_2}$.

Proof. If the sequence $\{f_\nu\}$ converges to f uniformly on compact subsets of Ω then by virtue of Lemma 1 the sequence $\{f_\nu\}$ converges to f in the topology $\mathcal{T}_{\Omega \times N_2}$.

Conversely, let us suppose that $f_\nu \rightarrow f$ in the topology $\mathcal{T}_{\Omega \times \mathbb{N}_2}$. With $x_0 \in \Omega$ let us associate an open, connected neighborhood U of x_0 such that \bar{U} is compact and $\bar{U} \subset \Omega$. For every $\varepsilon > 0$ we define a neighbourhood \mathcal{U} of f by

$$\mathcal{U} = \mathcal{U}(\{(x, y) \in U \times \mathbb{N}_2 \mid |f(x) - y| < \varepsilon\}, \{(x, y) \in \bar{U} \times \mathbb{N}_2 \mid |f(x) - y| = \varepsilon\}).$$

Since U is connected, we conclude that if $f_\nu \in \mathcal{U}$ then $|f_\nu(x) - f(x)| < \varepsilon$. Thus convergence of $\{f_\nu\}$ in the topology $\mathcal{T}_{\Omega \times \mathbb{N}_2}$ implies uniform convergence of $\{f_\nu\}$ on compact subsets of Ω .

Let Ω be an open subset of \mathbb{C}^N . By $A_p(\Omega)$ we will denote the subspace of \mathcal{F}_Ω consisting of all purely p -dimensional analytic subsets of Ω , the empty set included for $p=0, \dots, n$.

THEOREM 1. (see [3], Th. 3.) Let $V_0 \in A_p(\Omega)$, $W_0 \in A_q(\Omega)$ and $p+q \geq N$. If $V_0 \cap W_0 \in A_{p+q-N}(\Omega)$ then the mapping

$$\cap : A_p(\Omega) \times A_q(\Omega) \ni (V, W) \longrightarrow V \cap W \in \mathcal{F}_\Omega$$

is continuous at the point (V_0, W_0) .

We end this section with a simple corollary of Theorem 1.

COROLLARY (maximum principle, cp. [5], p. 55-56) Let U be an open, connected subset of \mathbb{C}^n . Let $f : U \rightarrow \mathbb{C}^m$ be a holomorphic mapping. If there exist affine hyperplanes $H, H_\nu, \nu = 1, 2, \dots$ in \mathbb{C}^m such that $f(U) \cap H \neq \emptyset$, $f(U) \cap H_\nu = \emptyset$ for $\nu = 1, 2, \dots$ and $H_\nu \rightarrow H$ then $f(U) \subset H$.

Proof. Let a be a point of U such that $f(a) \in H$. If for every affine complex line l through a we have $f(l) \cap H \subset H$. From this it follows that we may assume U to be a bounded, connected, open subset of \mathbb{C} . Then f is a local, irreducible subset of $\mathbb{C} \times \mathbb{C}^m$ of dimension one such that $(a, f(a)) \in f \cap (\mathbb{C} \times H)$. Hence $(a, f(a))$ is an isolated point of $f \cap (\mathbb{C} \times H)$ or $f \cap (\mathbb{C} \times H) = f$. If $(a, f(a))$ is an isolated point of $f \cap (\mathbb{C} \times H)$ then in virtue of Theorem 1 there exists a neighbourhood \mathcal{U} of H such that $f \cap (\mathbb{C} \times H') \neq \emptyset$ for $H' \in \mathcal{U}$ and this contradicts our assumption.

2. Limits of algebraic sets. E. Bishop ([1], see also [2]) has proved the following theorem.

THEOREM 2 (Bishop) Let $\{V_\nu\}$ be a sequence of purely k -dimensional analytic subsets of an open subset D of \mathbb{C}^n which \mathcal{T}_D -converges to a (non-empty) limit set V . If for every compact set $K \subset D$, $2k$ -volumes of $V_\nu \cap K$ are uniformly bounded then V is again a purely k -dimensional analytic subset of D .

Let $V_{k,d}$ be the subset of $\mathcal{F}_{\mathbb{C}^n}$ consisting of all purely k -dimensional algebraic subsets of \mathbb{C}^n of degree $\leq d$. It has proved ([4], Th.2) that $V_{k,d}$ is a compact subset of $\mathcal{F}_{\mathbb{C}^n}$. We see at once that this theorem covers the well-known theorem stating that the limit of a sequence of polynomials of degree $\leq d$ is again a polynomial of degree $\leq d$.

As it is well-known for every entire function f there exists a sequence of polynomials with the limit f (in topology of local uniform convergence). Therefore the following question arises : does for every analytic variety $X \subset \mathbb{C}^n$ there exists a sequence of algebraic sets (or algebraic varieties) convergent to X ?

Now, we shall prove the following

THEOREM 3. Let $F_j : \mathbb{C}^n \rightarrow \mathbb{C}$ be an entire function for $j = 1, \dots, n-k$, $n > 1, 1 \leq k < n$. If X is a pure k -dimensional subset of \mathbb{C}^n defined by

$$X = \{x \in \mathbb{C}^n \mid F_1(x) = \dots = F_{n-k}(x) = 0\}$$

then there exists a sequence $\{V_\nu\}$ of algebraic subsets of \mathbb{C}^n of pure dimensions k , convergent to X .

Proof. We shall identify $F = (F_1, \dots, F_{n-k} : \mathbb{C}^n \rightarrow \mathbb{C}^{n-k}$ with the graph of F .

Let us observe that $X \times \{0\} = F \cap (\mathbb{C}^n \times \{0\})$.

Since F is an entire mapping there exists a sequence $\{F_\nu\}$ of polynomial mappings which converges (locally uniformly) to F . Following Theorem 1 on continuity of the intersection we see that the sequence

$$X_\nu = \{x \in \mathbb{C}^n \mid F_\nu(x) = 0\}, \nu = 1, 2, \dots$$

tend, locally uniformly, to X .

Therefore I only know the answer to this question in case of complete intersections. It is an open problem whether Theorem 3 is true without the assumption of complete intersection ?

References

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