

ON A CLASS OF APPROXIMATION OPERATORS
OVER LOCAL FIELDS

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1. Introduction. Let \mathbb{K} be a local field, \mathfrak{p} its prime element, \mathfrak{P} its prime ideal and \mathcal{O} the ring of integers in \mathbb{K} . Then $\mathcal{O}/\mathfrak{p} \simeq \text{GF}(q)$ where $q = p^c$ for some prime p and $c \in \mathbb{N}$. Denote by $|\cdot|$ the nonarchimedean norm in \mathbb{K} , then the Haar measure of the sphere $\mathfrak{p}^{-k} = \{x: |x| \leq q^{-k}\}$ is q^{-k} , $k \in \mathbb{Z}$. Let $\{e_0 = 0, e_1, \dots, e_{q-1}\}$ be the full set of coset representatives of \mathfrak{p} in \mathcal{O} , and meanwhile let us consider an additive character $\chi \in \widehat{\mathbb{K}}$ that is trivial on \mathcal{O} but is not on \mathfrak{p}^{-1} such that the relations

$$\chi(e_l, x) = \chi(x)^l, \text{ for } x \in \mathfrak{p}^{-1}, l \in \{0, 1, \dots, q-1\}$$

hold.

As is in [5], by using the norm $|\cdot|$, we define the mapping $\lambda: \mathbb{K} \rightarrow \mathbb{R}^+$ as $\lambda(x) = |x|$, and the order ' \leq ' in \mathbb{K} . Thus, the interval $[\alpha, \beta)$ means the set $\{x: \alpha \leq x < \beta\}$, $[0, \mathfrak{p}^{-1}) = \mathcal{O}$, $[0, \mathfrak{p}^{-k-1}) = \mathfrak{p}^{-k}$, etc. Let $\{z^s \in \mathcal{O}: s \in \mathfrak{P}\}$ with $\lambda(z^s) \in \mathfrak{p}$ be the full set of coset representatives of \mathcal{O} in \mathbb{K} , where $z^s z^t = z^{s+t}$. Denote by $\chi(t, s) = \chi(z^s t)$, $s \in \mathfrak{P}$, the complete system of characters over \mathcal{O} . Note that we can write $\chi(t, s) = \chi(\lambda^{-1}(s)t)$ for $s \in \mathfrak{P}$. For simplicity in what follows we will write $\{0, 1, \dots, q-1\}$ in place of $\{e_0, \dots, e_{q-1}\}$ if no ambiguity occurs. For $t \in \mathbb{K}$ we often write $t = T + t'$ in which $\lambda(T) \in \mathfrak{p}$ and say that T is the main part of t .

Let $\omega \in \mathbb{K}$ be a nonzero parameter, $\psi_\omega(x)$ a function in $L(\mathbb{K})$ which vanishes outside $[0, \omega)$. Denote by $\psi_\omega^{(k)}(x) = \psi_\omega(x + \lambda^{-1}(k))$, $k = 0, 1, \dots, \lambda(\Omega)$. For $f \in L(\mathcal{O})$, let $a_j = a_j(f)$ be the Fourier coefficients of f with respect to the system $\chi(\cdot, j)$, $j \in \mathfrak{P}$. Consider the kernel $K_\omega(t) \equiv \int_{[0, \omega)} \psi_\omega(x) \bar{\chi}_{\lambda(x)}(T) \chi(xt) dx$. We have proved in [5],

$$K_\omega(t) = \begin{cases} \sum_{k=0}^{\lambda(\Omega)} a_0^{(k)} \chi(t, k), & t \in \mathcal{O}, \\ \sum_{k=0}^{\lambda(\Omega)} a_{\lambda^{-1}(k)}^{(k)} \chi(t, k), & t \notin \mathcal{O}, \end{cases} \quad (1)$$

where $a_j^{(s)} = a_j(\psi_\omega^{(s)})$.

Taking $q' > q$ and setting

$$\begin{cases} a_0^{(s)} = 1 - |\omega|_r^{-1} (k + c^{-1}), & k \in \mathbb{P}, \\ a_l^{(s)} = |\omega|_r^{-1} A_l q'^{-s-1}, \\ a_j^{(s)} = 0, & \text{for } j \neq 0, l q^s; \quad l = 1, \dots, l-1, \quad \nu \in \{0, 1, \dots, \lambda(\Omega)\}, \quad s \in \mathbb{P}, \end{cases} \quad (2)$$

where $c = 2(q-1)(q-1)^{-1}$ and $A = (e^{-2\pi i} - 1)^{-1}$, we will study the type of the maximal operator associated f^*K .

2. The case \mathcal{O} , the ring of integers in K .

Let $D(t, k) = \sum_{\nu=0}^{k-1} \chi(t, \nu)$, and $K(t, k) = k^{-1} \sum_{\nu=1}^k D(t, \nu)$, $t \in \mathcal{O}$, $k \in \mathbb{N}$.

By induction we can prove

Lemma 2.1 For any $\nu \in \mathbb{P}$, $x \in \mathcal{O}$, we have the following formula

$$K(t, q^{\nu+1}) = q^{-\nu+1} D(t, q^{\nu+1}) + \sum_{j=0}^{\nu} q^{j-\nu-1} D(t, q^j) D(q^{j-1}t, q^{\nu-j}) (q-1) K(q^j t, q-1). \quad (3)$$

Lemma 2.2 Let

$$\mathcal{K}_1 f(x) = \sup_{\nu \in \mathbb{P}} \left| \int_{\mathcal{O}} f(x-t) K(t, q^{\nu}) dt \right|, \quad (4)$$

then $\mathcal{K}_1 f$ is of type (r, r) for $1 < r < \infty$ and is of weak type $(1, 1)$ (See Lemma 4 of [6], p.28).

Proof (cf. [2]) Apply the Caldéron-Zygmund decomposition ([3], p.148).

The Half-Hardy-Littlewood maximal function of f is defined by

$$\mathcal{M}_1 f(x) = \sup_{k \in \mathbb{P}} \int_{\rho^k} q^k |f(x-t)| dt. \quad (5)$$

Lemma 2.3 There is a constant $c = c_q$ such that $\mathcal{M}_1 f(x) \leq c \mathcal{K}_1 f(x)$.

And consequently, if $\mathcal{K}_1 f \in L^r(\mathcal{O})$ for some r , $1 < r < \infty$, then so does $\mathcal{M}_1 f$.

Proof It is easy to check the recurrence formula

$$q^k D(t, q^k) [qK(q^k t, q) - D(q^k t, q)] = q^{k+1} K(t, q^{k+1}) - q^k K(t, q^k) D(q^k t, q), \quad (6)$$

$k \in P$, $t \in O$, hence

$$\sup_{k \in P} \int_{O^k} q^k |f(x-t)| \cdot | \{qK(\bar{p}^k t, q) - D(\bar{p}^k t, q)\} | dt \leq 2q \mathcal{K}_1 f(x). \quad (7)$$

We conclude that

$$\begin{aligned} \min_{z \in O} | -D(z, q) + qK(z, q) | &= \min \{ q (\max_{z \in (I, I^q)} | 1 - \chi(\bar{p}^1 z) |)^{-1}, 2^{-1} q(q-1) \} \\ &= c > 0. \end{aligned} \quad (8)$$

Applying (8) to (7) we see that

$$\mathcal{M}_1 f(x) \leq 2qc^{-1} \mathcal{K}_1 f(x). \quad (9)$$

Theorem 2.4 Let

$$\mathcal{K}_2 f(x) = \sup_{n \in \mathbb{N}} \int_0^n |f(x-t) K(t, n)| dt, \quad (10)$$

then $\mathcal{K}_2 f$ is of weak type (1,1) and of type (r,r) for $1 < r \leq \infty$.

Proof Let $n = sq^\nu + n'$, where s is in $\{1, \dots, q-1\}$, $\nu \in P$ and $0 \leq n' < q^\nu$, then

$$\begin{aligned} & \int_0^n |nK(t, n)f(x-t)| dt \\ & \leq (2^{-1}s(s-1)q^\nu + sn') \mathcal{M}_1 f(x) + sq^\nu \int_0^q |K(t, q^\nu)f(x-t)| dt \\ & \quad + n' \int_0^q |K(t, n')f(x-t)| dt. \end{aligned} \quad (11)$$

Applying the inequality (11) successively we obtain

$$\begin{aligned} & \int_0^n |nK(t, n)f(x-t)| dt \\ & \leq nq^2 \mathcal{M}_1 f(x) + (q-1) \int_0^q |q^\nu K(t, q^\nu)f(x-t)| dt + \dots + \int_0^q |qK(t, q)f(x-t)| dt \}, \end{aligned}$$

hence

$$\mathcal{K}_2 f(x) \leq q^2 \mathcal{M}_1 f(x) + q \mathcal{K}_1 f(x). \quad (12)$$

this shows $\mathcal{K}_2 f$ is of weak type (1,1) and of type (r,r) for $1 < r \leq \infty$

together with $M_1 f$ and $\mathcal{K}_1 f$.

3. The general case.

In this section we consider the maximal operator associated with $f * K_\omega(t)$:

$$\mathcal{K}f(x) = \sup_{\omega \geq \omega_0} \left| \int_{\mathbb{K}} f(x-t) K_\omega(t) dt \right|, \quad (13)$$

where ω_0 is any fixed nonzero element in \mathbb{K} .

The following lemma will be applied several times.

Lemma 3.1 Let

$$\begin{aligned} \mathcal{A}f(x) = & \sum_{s=0}^{\infty} q^{-s-1} \left\{ \sum_{l=1}^{q-1} M_l f(x-h_{10}) + q^{-1} \sum_{l=1}^{q-1} M_l f(x-h_{11}^{(q)}) \right. \\ & \left. + q^{-2} \sum_{j=0}^{q-1} M_j f(x-h_{20}^{(q)}) + \dots + q^{-s} \sum_{j=1}^{q^{s-1}(q-1)} M_j f(x-h_{js}^{(q)}) \right\}, \end{aligned}$$

then $\mathcal{A}f$ is of weak type $(1,1)$.

Theorem 3.2 The operator $\mathcal{K}f(x)$ is of weak type $(1,1)$ and of type (r,r) for $1 < r \leq \infty$.

Proof We break the proof into three steps.

Step 1 Let $t \in \mathcal{O}$. By (1) we have for $\omega \geq p^{-1}$,

$$\left| \int_{\mathcal{O}} K_\omega(t) f(x-t) dt \right| \leq c_1 \mathcal{K}_1 f(x) + c_2 M_2 f(x). \quad (14)$$

Step 2 For $t \notin \mathcal{O}$, we have

$$K_\omega(t) = \sum_{\nu=0}^{\lambda(t)-1} a_{\lambda(t-\nu)}^{(\nu)} \chi(t', \nu) + \chi(t', \lambda(t)) \int_{[0, \omega)} \psi_\omega(\omega+x) \chi(x, \lambda(t)) dx.$$

Applying Abel's transform twice we deduce

$$\begin{aligned} K_\omega(t) &= D(t, \lambda(t)) a_{\lambda(t-\tau)}^{(\lambda(t)-1)} + \chi(t', \lambda(t)) \int_{[0, \omega)} \psi_\omega(\omega+x) \chi(x, \lambda(t)) dx \\ &= K_{\omega_1}(t) + K_{\omega_2}(t), \text{ say.} \end{aligned} \quad (15)$$

Step 2.1 For $K_{\omega_1}(t)$, $t \in \mathcal{O}$, we have

$$\left| \int_{\mathcal{O}} K_{\omega_1}(t) f(x-t) dt \right| \leq A \frac{\lambda(t)}{|\omega|} \sum_{s=0}^{\infty} q^{-s-1} \sum_{l=1}^{q-1} M_l f(x-h_{s1}), \quad (16)$$

where A is a constant and $h_{s1} \in \mathbb{K}$.

Step 2.2 For $K_{\omega_2}(t)$, $t \in \mathcal{G}_0$, we have

$$K_{\omega_2}(t) = \chi(t, \lambda(\Omega)) \left\{ \sum_{j=0}^{q^s-1} a_j^{(q)} \int_{[0, \omega]} \chi((T+\lambda^{-1}(j))x) dx + \sum_{j=q^s}^{q^{s+1}-1} + \sum_{j=q^{s+1}}^{\infty} \right\},$$

hence

$$\begin{aligned} \left| \int_{\mathcal{G}_0} K_{\omega_2}(t) f(x-t) dt \right| &\leq AM(|\omega|, q(q-1))^{-1} \sum_{s=0}^{\infty} q^{-s-1} \{ M_1 f(x-p^{s-1}) + \dots + M_1 f(x-e_{q-1} p^{s-1}) \\ &+ AM q^{-1} |\omega|^{-1} \sum_{j=1}^{\infty} q^{-j-1} \{ M_1 f(x-p^{j-1}) + \dots + M_1 f(x-e_{q-1} p^{j-1}) \} \\ &+ AM |\omega|^{-1} \sum_{s=0}^{\infty} q^{-s-1} \{ M_1 f(x-h_{q-1,0}^{(s)}) + \dots + M_1 f(x-h_{1,0}^{(s)}) + \dots \\ &+ q^{-s} [M_1 f(x-h_{q-1,1}^{(s)}) + \dots + M_1 f(x-h_{1,1}^{(s)})] \} \}. \end{aligned} \quad (17)$$

Step 3 Let c be a constant depending only on ω_0, q, q' , from (14), (16) and (17) we get

$$\begin{aligned} \mathcal{K}f(x) &\leq c_1 \mathcal{K}f(x) + c_2 Mf(x) + c_3 \sum_{s=0}^{\infty} q^{-s-1} \sum_{l=1}^{q-1} M_1 f(x-h_{s,l}) + \\ &c_3 \sum_{s=0}^{\infty} q^{-s-1} \{ M_1 f(x-h_{1,0}^{(s)}) + \dots + M_1 f(x-h_{q-1,0}^{(s)}) + \\ &q^{-1} [M_1 f(x-h_{1,1}^{(s)}) + \dots + M_1 f(x-h_{q-1,1}^{(s)})] + \dots + \\ &q^{-s} [M_1 f(x-h_{1,s}^{(s)}) + \dots + M_1 f(x-h_{q-1,s}^{(s)})] \} \}. \end{aligned} \quad (18)$$

By Lemma 3.2 we see readily from (18) that $\mathcal{K}f$ is of weak type $(1,1)$.

4. A comparison between $\mathcal{K}f$ and Mf .

We will provide a comparison between $\mathcal{K}f$ and Mf .

Lemma 4.1 The operator

$$\mathcal{K}_3 f(x) = \sup_{k \in \mathcal{P}} \left| \int_{\mathcal{K}} K_{p^{k+1}}(t) f(x-t) dt \right| \quad (19)$$

is of weak type $(1,1)$.

Proof For $k \in \mathcal{P}$, we have

$$\begin{aligned} K_{p^{k+1}}(t) &= \int_{[0, p^{k+1}]} \psi_{p^{k+1}}(y) \bar{\chi}(YT) \chi(yt) dy \\ &= \sum_{j=0}^{p^{k+1}-1} a_j^{(p)} q^{-k} \xi_{p^k}(t) + \sum_{j=p^k}^{\infty} a_j^{(p)} \int_{p^k}^{(j+T)} \chi((\lambda^{-1}(j)+T)y) dy (1 - \xi_{p^k}(t)); \end{aligned} \quad (20)$$

hence

$$\left| \int_{\mathbb{K}} K_{p,k+1}(t) f(x-t) dt \right| \leq \sum_{j=0}^{q^k-1} |A_j^{(k)}| \mathcal{M}_2 f(x) + \sum_{j=q^k}^{\infty} |A_j^{(k)}| \mathcal{M}_2 f(x-h_{jk}), \quad (21)$$

where

$$\mathcal{M}_2 f(x) = \sup_{k \in \mathbb{N}} \left| q^{-k} \int_{\mathbb{R}^k} f(x-t) dt \right|. \quad (22)$$

Thus,

$$\mathcal{K}_3 f(x) \leq \mathcal{M}_2 f(x) + \sum_{k=0}^{\infty} \sum_{s=k}^{\infty} \sum_{l=1}^{q-1} |A_l| q^{k-1} q^{-s-1} \mathcal{M}_2 f(x-h_{kl}^{(s)}). \quad (23)$$

Applying the same idea as the proof in Theorem 3.2 we conclude from (23) that $\mathcal{K}_3 f(x)$ is of weak type $(1,1)$.

Lemma 4.2 There is a constant $A_0 > 0$ such that the inequality

$$A_0 \mathcal{M}_2 f(x) \leq \mathcal{K}_3 f(x) + \sum_{k=0}^{\infty} \sum_{s=k}^{\infty} \sum_{l=1}^{q-1} |A_l| q^{k-1} q^{-s-1} \mathcal{M}_2 f(x-h_{kl}^{(s)}) \quad (24)$$

holds.

Lemma 4.3 Let S be the class of functions being in $L(\mathbb{K})$ with compact support. Then to every $\lambda > 0$ and every $f \in S$, the measure $|\{ \mathcal{M}_2 f(x) > \lambda \}|$ is always finite.

Proof In fact, if $f \in S$ with $\text{supp}(f) \subset \rho^{-N}$ for some $N \in \mathbb{N}$, we can prove

$$|\{ \mathcal{M}_2 f(x) > \lambda \}| \leq q^N + \|f\| \lambda^{-1}.$$

Lemma 4.4 There exists a $k_0 \in \mathbb{N}$ such that the operator

$$\mathcal{M}_3 f(x) = \sup_{j \geq k_0} q^{-j} \int_{\mathbb{R}^j} |f(x-t)| dt, \quad f \in S \quad (25)$$

is of weak type $(1,1)$.

Proof We can choose $k_0 \in \mathbb{N}$ such that

$$\frac{A q'}{q'-1} + \frac{q-1}{2} \left(\frac{q}{q'} \right)^{k_0} < 1 \quad (26)$$

then from (20)

$$(1 - \varepsilon(k_0)) \mathcal{M}_3 f(x) \leq \mathcal{K}_3 f(x) + A \sum_{k=k_0}^{\infty} \sum_{j=k}^{\infty} q^{k-1} q^{-j-1} \mathcal{M}_3 f(x-h_{jk}^{(j)}), \quad (27)$$

where $\varepsilon(k_0) = (q-1)(2q(q'-1))^{-1} q^{k_0} q^{-k_0}$, $A = (q-1) \max |A_l|$.

Assume now that $\mathcal{M}_3 f$ were not of weak type $(1,1)$ for such k_0 , we would have by virtue of the fact that $|\{\mathcal{M}_3 f(x) > \lambda\}| = 0$ provided $\lambda \geq \|f\|_1 q^{-1}$,

$$\sup_{\substack{0 < \lambda \leq \|f\|_1 q^{-1} \\ f \in S, \|f\|_1 \neq 0}} |\{\mathcal{M}_3 f(x) > \lambda\}| \lambda \|f\|_1^{-1} = \infty. \quad (28)$$

It follows from (27) that for any given $N > 0$, there is an $f = f_N \in S$ with $\|f\|_1 \neq 0$, such that

$$\sup_{0 < \lambda \leq \|f\|_1 q^{-1}} |\{\mathcal{M}_3 f(x) > \lambda\}| \cdot \lambda \|f\|_1^{-1} > N. \quad (29)$$

Now (27) should read as

$$\mathcal{M}_3 f(x) (1 - \varepsilon(k_0)) \leq \mathcal{K}_3 f(x) + \frac{A}{q} \left(\frac{q}{q'}\right)^{k_0} \sum_{i=1}^{q-1} \sum_{k,j=0}^{\infty} \left(\frac{q}{q'}\right)^k q^{-ij} \mathcal{M}_3 f(x - h_{jk}^i) \quad (30)$$

Taking a positive number sequence $\{c_{jk}\}$ such that

$$\begin{cases} c_0 + \frac{A(q-1)}{q} \sum_{k,j=0}^{\infty} \left(\frac{q}{q'}\right)^{k_0-j} q^{-j} c_{jk} \leq 1 - \varepsilon(k_0), \\ c_{jk} > 0, \quad j, k = 0, 1, \dots \\ \sum_{j,k} c_{jk}^{-1} (q-1)^{-1}, \end{cases} \quad (31)$$

we get from (30)

$$N(1 - (q-1) \sum_{k,j} c_{jk}^{-1}) \leq \frac{M}{C}. \quad (32)$$

Since N can be made arbitrary large, (32) leads to a contradiction. Therefore (28) is false.

Theorem 4.1 That the weak type $(1,1)$ of $\mathcal{K}f$ implies that of $\mathcal{M}f$.

Proof Choose $k_0 \in \mathbb{N}$ as in Lemma 4.4, and let

$$\mathcal{M}_4 f(x) = \sup_{1 \leq k \leq k_0} q^{-k} \int_{\rho^k} |f(x-t)| dt. \quad (33)$$

It is easy to see that $\mathcal{M}_4 f$ is of weak type $(1,1)$.

Obviously, we have

$$\mathcal{M}f(x) = \sup_{k \in \mathbb{Z}} q^{-k} \int_{\rho^k} |f(x-t)| dt.$$

$$\leq \sup_{k > k_0} q^{-k} \int_{p^{-k}} |f(x-t)| dt + \sup_{1 \leq k < k_0} q^{-k} \int_{p^k} |f(x-t)| dt + \sup_{k < 1} q^{-k} \int_{p^k} |f(x-t)| dt \quad (34)$$

By Lemma 4.4, the first term of the right side of (34) is of weak type (1,1), so is the third term, since $M_1 f(x) \leq c_1 \mathcal{K}_1 f(x)$ by Lemma 2.3 and $\mathcal{K}_1 f(x)$ is of weak type (1,1), by Lemma 2.2.

Thus from (34) we see that the weak type (1,1) of $\mathcal{K}f$ implies that of $M_1 f$.

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