

On L_p -approximants and meromorphic continuation of functions

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Abstract

Sufficient and necessary conditions for the meromorphic continuation of functions, holomorphic inside and continuous on a compact in \mathbb{C} are given. The results are proved in terms of best L_p -approximants, which belong to $\mathcal{R}_{n,m}^D$ -the set of all rational functions of order (n, m) with poles in $D \subset \mathbb{C}$.

Introduction

Let E be a regular compact set in \mathbb{C} , which means: if D is unbounded component of $\overline{\mathbb{C}} \setminus E$, then D is regular with respect to Diriclet problem. Let $g_D(z, \infty)$ denote Green's function for D with pole at infinity. Set

$$E_R := \{z \in D : g_D(z, \infty) < \ln R\} \cup \{\overline{\mathbb{C}} \setminus D\} \text{ for } R > 1 \text{ and } E_R := \overline{\mathbb{C}} \setminus D, \text{ if } R = 1.$$

For each set B in \mathbb{C} denote by B^0 the set of inner points of B and by \overline{B} the closure of B . Denote by \mathbb{N} the set of all positive integers.

We shall suppose everywhere in this paper, that there exists a sequence $\{K_n\}_{n \in \mathbb{N}}$ of compact subsets of E , such that

- (*) $K_n \subset E^0$ and K_n is regular for every $n \in \mathbb{N}$,
- (**) if $g_n(z, \infty)$ denotes Green's function for unbounded component of $\overline{\mathbb{C}} \setminus K_n$ then $g_n(z, \infty) \rightarrow g_D(z, \infty)$ as $n \rightarrow \infty$ uniformly on compact subsets of D .

Walsh [1] has noticed that under some conditions on E there always exists a sequence $\{K_n\}_{n \in \mathbb{N}}$ of compact subset of E , satisfying (*) and (**).

Let $\mathcal{A}(E)$ denote the space of all functions which are continuous in E and holomorphic in E^0 . As usual $C(E)$ is the space of all continuous functions in E . Let $L_p(E)$, $p > 0$, be the space of all measurable (with respect to Lebeque's measure μ in E) functions $g(z)$ which satisfy $\|g\|_{L_p(E)} := (\int_E |g(z)|^p d\mu)^{1/p} < \infty$. Let $f(z) \in C(E)$ and m be a fixed non negative integer. Denote by E_{R_m} the largest set E_R in which $f(z)$ admits a continuation as a function, meromorphic in E_{R_m} and has there no more than m poles (the poles are counted with regard to their multiplicities).

We shall investigate the connections between the meromorphic continuation of $f(z)$ and the sequence of best rational $L_p(E)$ approximants $R_{n,m}(z) \in \mathcal{R}_{n,m}^{\overline{\mathbb{C}} \setminus E}$ to $f(z)$

$$\|R_{n,m} - f\|_{L_p(E)} = \inf_{r \in \mathcal{R}_{n,m}^{\overline{\mathbb{C}} \setminus E}} \|f - r\|_{L_p(E)}.$$

In the sequel the set E always will satisfy conditions which leads after results of Walsh [1] the existence of $R_{n,m}(z)$.

The results of this paper are natural generalizations of results from [2], [3], [4] and [5].

Before we formulate our main results we define as it is done in [8] the m_α -convergence, $\alpha > 0$ and convergence in capacity: Let $e \subset \mathbb{C}$, $\alpha > 0$. Set $m_\alpha(e) := \inf \sum_\nu |U_\nu|^\alpha$, where the infimum is taken over all systems of disks U_ν , such that $\cup_\nu U_\nu \supseteq e$, $|U_\nu|$ denotes the radius of U_ν . Let $\text{cap}(e)$ denote the outer logarithmic capacity of e (if e is a compact set, then $\text{cap}(e)$ is equal to capacity of e). Let Ω be a domain in \mathbb{C} and $\varphi : \Omega \rightarrow \overline{\mathbb{C}}$. Let $\{\varphi_n\}_{n \in \mathbb{N}}$ be a sequence of meromorphic in Ω functions. The sequence $\{\varphi_n\}_{n \in \mathbb{N}}$ m_α -converges to φ on compact subsets of Ω if for every compact set $K \subset \Omega$ and for every $\varepsilon > 0$ $m_\alpha\{z \in K : |(\varphi_n - \varphi)(z)| \geq \varepsilon\} \rightarrow 0$ as $n \rightarrow \infty$. The sequence $\{\varphi_n\}_{n \in \mathbb{N}}$ converges in capacity on compact subsets of Ω if for every compact set $K \subset \Omega$ and for every $\varepsilon > 0$ $\text{cap}\{z \in K : |(\varphi_n - \varphi)(z)| \geq \varepsilon\} \rightarrow 0$ as $n \rightarrow \infty$.

Main results

Theorem 1. Let $\overline{E^0} = E$, $f(z) \in \mathcal{A}(E)$, $\sigma > 1$, $R_{n,m}^*(z) \in \mathcal{R}_{n,m}^{\overline{\mathbb{C}} \setminus E}$ and m be a fixed non negative integer. If $\limsup_{n \in \mathbb{N}} \|f - R_{n,m}^*\|_{L_p(E)}^{1/n} \leq 1/\sigma$ then $E_\sigma \subseteq E_{R_m}$.

Theorem 2. Let $\overline{E^0} = E$, $f(z) \in \mathcal{A}(E)$, $1 < R_m < \infty$ and m be a fixed non negative integer. Then $\{R_{n,m}(z)\}_{n \in \mathbb{N}}$ does not converge in capacity on compact subsets of $\overline{\mathbb{C}} \setminus \overline{E_{R_m}}$.

Theorem 1 is a generalization of well known theorem of Saff-Gonchar [2]. Theorem 2 is a generalization of one Vavilov's result [3], concerning Pade approximants.

As a consequence of Theorem 1 and Theorem 2 we can prove the following corollaries:

Corollary 1. Let $f(z)$, E and $R_{n,m}(z)$ be as in Theorem 1 and let $R_{n,m}(z) = \frac{a_n z^{n+\dots}}{Q_{n,m}(z)}$, where $Q_{n,m}(z)$ is normalized in the following way:

$$(1) \quad Q_{n,m}(z) = \prod_{k=1}^{l_n} (z - \beta_{n,k}) \prod_{k=l_n+1}^{m_n} (z/\beta_{n,k} - 1), \quad m_n := \deg Q_{n,m} \quad \text{and}$$

$$|\beta_{n,1}| \leq \dots \leq |\beta_{n,l_n}| < A \leq |\beta_{n,l_n+1}| \leq \dots \leq |\beta_{n,m_n}|, \quad A > 2\text{diam}(E).$$

If for some $R > 1$ we have $\limsup_{n \in \mathbb{N}} |a_n|^{1/n} \leq \frac{1}{R \operatorname{cap}(E)}$ then $R < R_m$.

Corollary 2. Let $f(z)$ admit a continuation as a function, which has no more than m -meromorphic in E_R , $R > 1$ and let E , $R_{n,m}(z)$ be as in Corollary 1. Then

$$\limsup_{n \in \mathbb{N}} |a_n|^{1/n} \leq \frac{1}{R \operatorname{cap}(E)}.$$

Corollary 3. Let $f(z)$, E and $R_{n,m}(z)$ be as in Corollary 1. Then the following conditions are equivalent

- (i) $f(z)$ cannot be continued as m -meromorphic in $\mathbb{C} \setminus D$,
- (ii) $\limsup_{n \in \mathbb{N}} |a_n|^{1/n} = 1/\operatorname{cap}(E)$.

Corollary 1, Corollary 2 and Corollary 3 are generalizations of some results in [4].

Corollary 4. Let $D = \overline{\mathbb{C}} \setminus E$, $f(z) \in \mathcal{A}(E)$, $m = n$ and U be domain in \mathbb{C} such that the following conditions are valid:

- (i) $E \subset U$,
- (ii) $R_{n,m}(z)$ are holomorphic in U ,
- (iii) $\tau_n(K) = o(n)$, $n \rightarrow \infty$, where K is any compact subset of U and $\tau_n(K)$ denotes the number of zeros of $R_{n,m}(z)$ on K .

Then $f(z)$ is holomorphic in U and $R_{n,m}(z) \rightarrow f(z)$ as $n \rightarrow \infty$ in the sense of Chebyshev on compact subsets of U .

Corollary 5 is a generalization of Theorem 1[5].

Preliminary results

Theorem 4[2]. Let $\|\cdot\|$ denote the sup-norm on E , E be a regular compact set in \mathbb{C} and let $f(z) \in C(E)$. We set $\rho_{n,m} := \inf_{r \in \mathcal{R}_{n,m}^{\overline{\mathbb{C}}}} \|f - r\|_E$. If m is a fixed non negative integer and $\limsup_{n \in \mathbb{N}} (\rho_{n,m})^{1/n} \leq 1/\sigma < 1$ then $E_\sigma \subseteq E_{R_m}$.

Theorem 5[1]. Let $f(z)$ be holomorphic on C , where C is a compact Jordan domain in \mathbb{C} . Let $\pi_n(z)$ be a best $L_p(E)$, $p > 0$ polynomial approximant of order n to $f(z)$. Then $\limsup_{n \in \mathbb{N}} \|f - \pi_n\|_E^{1/n} \leq 1/R$ for every R provided $1 < R < R_0$.

Theorem 6[5]. Let E be a regular compact set in \mathbb{C} with connected complement $\overline{\mathbb{C}} \setminus E$ and let $f(z) \in \mathcal{A}(E)$. Let $R_{n,n}^\#(z) \in \mathcal{R}_{n,n}^{\overline{\mathbb{C}}}$ denotes the best Chebyshev approximant to $f(z)$ on E . Assume that there is a domain W , $E \subset W$ such that $R_{n,n}^\#(z)$ is holomorphic in W for each $n \in \mathbb{N}$ and $\tau_n(K) = o(n)$ as $n \rightarrow \infty$ for each compact subset K of W . Then $f(z)$ is a holomorphic in W and $R_{n,n}^\#(z)$ converges to $f(z)$ as $n \rightarrow \infty$ uniformly on compact subsets of W .

Lemma 1[1]. Let $g(z)$ be an analytic function in bounded domain C and let $g(z) \in L_p(\overline{C})$, $p > 0$. Then for every compact subset $K \subset C$ $\|g\|_K \leq \frac{1}{(\pi^2 \rho_K)^{1/p}} \|g\|_{L_p(\overline{C})}$, where ρ_K denotes the distance between K and ∂C .

Proofs

Proof of Theorem 1. Let $1 < R < R_1 \leq \sigma$ and R, R_1 be fixed. Let $\Gamma_{n,\delta} := \{z : g_n(z, \infty) = \ln \frac{R_1 \exp(\delta)}{R}\}$, where $\varepsilon < 0$ and $1 < \frac{R_1 \exp(\varepsilon)}{R} < R_1/R$. From the conditions on E we get $g_n(z, \infty) \rightarrow g_D(z, \infty)$ as $n \rightarrow \infty$ uniformly in $\overline{E}_{\rho_-} \setminus E_{\rho_+}$, where $\rho_+ = \frac{R_1 \exp(\varepsilon)}{R}$ and $\rho_- = \frac{R_1 \exp(-\varepsilon)}{R}$.

Clearly for all sufficiently large $n \in \mathbb{N}$ $E \subset K_{n,\varepsilon/2}$, where $K_{n,\varepsilon/2} := \{z : g_n(z, \infty) < \ln \frac{R_1 \exp(\varepsilon/2)}{R}\}$, and by Lemma 1 and the assumptions of the theorem we get

$$(2) \quad \|f - R_{n,m}^*\|_{K_i} \leq \left(\frac{1}{\pi^2 \rho_{K_i}}\right)^{1/p} \|f - R_{n,m}^*\|_{L_p(E)}$$

for all sufficiently large $i \in \mathbb{N}$.

Fix an integer $i \in \mathbb{N}$ such that (2) is valid. Since $1/R_1 \geq 1/\sigma$, from (2) and from the assumptions of the theorem we get

$$(3) \quad \limsup_{n \in \mathbb{N}} \|f - R_{n,m}^*\|_{K_i}^{1/n} \leq 1/R_1.$$

Let $\delta > 0$. Following the considerations in [2] one can see that there exists a set Ω_δ such that $\Omega_\delta \subset K_{i,\varepsilon/2}$, $m_1(\Omega_\delta) < \delta$, all poles of $R_{n,m}^*$, $n \in \mathbb{N}$ belong to Ω_δ and

$$\limsup_{n \in \mathbb{N}} \|f - R_{n,m}^*\|_{K_{i,\varepsilon/2} \setminus \Omega_\delta}^{1/n} \leq 1/R.$$

From this inequality we get (remember that $E \subset K_{i,\varepsilon/2}$)

$$\limsup_{n \in \mathbb{N}} \|f - R_{n,m}^*\|_{E \setminus \Omega_\delta}^{1/n} \leq 1/R.$$

But $R_{n,m}^*(z) \in \mathcal{R}_{n,m}^{\overline{C} \setminus E}$ and from the last inequality we get $\limsup_{n \in \mathbb{N}} \|f - R_{n,m}^*\|_E^{1/n} \leq 1/R$. Consequently, if $R \rightarrow \sigma$, then

$$(4) \quad \limsup_{n \in \mathbb{N}} \|f - R_{n,m}^*\|_E^{1/n} \leq 1/\sigma.$$

Now from (4) and from Theorem 4 we get the statement of the theorem.

Proof of Theorem 2. The proof of this theorem is based on the following two lemmas.

Lemma 2. Let $\overline{E^0} = E$, $f(z) \in \mathcal{A}(E)$, m be a fixed non negative integer and $R_m > 1$. If $1 < R < R_m$ then $\limsup_{n \in \mathbb{N}} \|f - R_{n,m}\|_{L_p(E)}^{1/n} \leq 1/R$.

Proof of Lemma 2. Since $R_m > 1$, then $f(z)$ admits a continuation as a function which is no more than m -meromorphic in E_{R_m} . Denote by $\beta_1, \dots, \beta_{m_1}$, $m_1 \leq m$ the poles of $f(z)$ in E_{R_m} . One can prove (using the conditions on E) as it has been done in Theorem 5 that $\limsup_{n \in \mathbb{N}} \|F - \pi_n\|_{L_p(E)}^{1/n} \leq 1/R$, where $F(z) := f(z) \prod_{i=1}^{m_1} (z - \beta_i)$ and $\pi_n(z) \in \mathcal{R}_{n,0}$ is the best $L_p(E)$ approximant of $F(z)$. Consequently $\limsup_{n \in \mathbb{N}} \|f - R_{n,m}\|_{L_p(E)}^{1/n} \leq 1/R$.

Lemma 3. Let E be a regular compact in \mathbb{C} , $f(z) \in C(E)$, m be a fixed non negative integer and $1 < R_m < \infty$. Then each sequence $\{r_{n,m}^*\}_{n \in \mathbb{N}}$, $r_{n,m}^*(z) \in \mathcal{R}_{n,m}^{\bar{\mathbb{C}}}$ and $\limsup_{n \in \mathbb{N}} \|f - r_{n,m}^*\|_E^{1/n} \leq 1/R_m$ does not converge in capacity on compact subsets of $\bar{\mathbb{C}} \setminus \bar{E}_{R_m}$.

The proof of Lemma 3 is similar to the proof of one Vavilov's theorem [3]. We give only scheme of the proof. Suppose that there is a closed disc γ_1 such that $\gamma \cap \bar{E}_{R_m} = \emptyset$ and $\{r_{n,m}^*\}_{n \in \mathbb{N}}$ converges in capacity in γ_1 . One can find, that for some closed disc $\gamma \subset \gamma_1$, there is valid

$$(5) \quad |J_n(z)| \leq C_1, n \text{ is sufficiently large, } C_1 \text{ is independent on } n,$$

$$\text{where } \begin{cases} J_n(z) := \prod_{\nu} \rho(z - z_{n,\nu}) \prod_{\nu} \rho(z - z_{n+1,\nu}) \{r_{n+1,m}^*(z) - r_{n,m}^*(z)\}, \\ \rho := \sup_{z \in \gamma_1} \{\exp\{g_D(z, \infty)\}\}, \\ \prod_{\nu} \rho \text{ is product over these } \nu \in N, \text{ such that } z_{n,\nu} \in \bar{E}_{\rho}, \\ z_{n,\nu} \text{ are the poles of } r_{n,m}^*(z) \text{ in } \mathbb{C}. \end{cases}$$

For each $R < R_m$ one can easily find using [2] that

$$(6) \quad |J_n(z)| \leq C_2, z \in E_R, n\text{-sufficiently large, } C_2 \text{ depends only on } m \text{ and } R.$$

Denote by $\zeta_{n,\nu}$ the poles of $J_n(z)$ in \mathbb{C} and by μ_n the order of the pole of $J_n(z)$ at infinity. It is clear that $\mu_n \rightarrow \infty$ as $n \rightarrow \infty$ in the case when $f(z)$ does not belong to $\mathcal{R}_{n,m}^{\bar{\mathbb{C}}}$. Define for each $r < R_m$ $G_r := \bar{\mathbb{C}} \setminus \{\bar{E}_r \cup \gamma\}$ and let $g_r(z, \zeta_{n,\nu})$ be its Green's functions with pole at $\zeta_{n,\nu}$. Set

$$H_{n,r}(z) := \frac{1}{\mu_n} \ln |J_n(z)| - \ln \frac{\exp\{g_D(z, \infty)\}}{R_m} - \frac{1}{\mu_n} \sum_{\nu} g_r(z, \zeta_{n,\nu}).$$

If $R_0 \in (R_m, \inf_{z \in \gamma} \{\exp\{g_D(z, \infty)\}\})$ one can find, using (5), (6) and the fact that $H_{n,r}(z)$ is subharmonic in G_r , that there exists $r_0 < R_m$ such that $H_{n,r_0}(z) \leq -\lambda$, $z \in \delta E_{R_0}$, $\lambda > 0$, n is sufficiently large. Using this inequality and the consideration in [2] one can find $R_1 \in (R_m, R_0)$ and $q < 1$ such that $|J_n(z)| < C_3$ for $z \in \bar{E}_{R_1}$ and all sufficiently large $n \in \mathbb{N}$, where C_3 is a constant that is not depending on $n \in \mathbb{N}$.

Following [2] one can prove that $\{r_{n,m}^*\}_{n \in \mathbb{N}}$ m_1 -converges on compact subsets of E_{R_1} , that means that $f(z)$ admits continuation as m -meromorphic in E_{R_1} . But this contradicts the definition of R_m .

We now continue the proof of Theorem 2. From Lemma 2 and Theorem 1 we get

$$\limsup_{n \in \mathbb{N}} \|f - R_{n,m}\|_E^{1/n} = R_m$$

that, in view of Lemma 3, implies the statement of Theorem 2.

Proof of Corollary 1. Let $T_n(z)$ be the Chebyshev polynomial for E with zeros on E ($\deg T_n = n$). One can easily find that

$$\|T_n\|_{L_p(E)}^{1/n} \rightarrow \text{cap}(E) \text{ as } n \rightarrow \infty.$$

Now using Theorem 1 and following the proof of Theorem 1[4], where $\|\cdot\|_E$ is replaced by $\|\cdot\|_{L_p(E)}$ we get the statement of Corollary 1.

Proof of Corollary 2. From Lemma 2 and from the proof of Theorem 1 we find

$$\limsup_{n \in \mathbb{N}} \|f - R_{n,m}\|_E^{1/n} \leq 1/R.$$

Using this inequality and following the proof of Theorem 2[4] we get the statement of Corollary 2.

Proof of Corollary 3. One can easily find, that

$$\|R_{n,m}\|_{L_p(E)} \leq \|f\|_{L_p(E)} + \|f - R_{n,m}\|_{L_p(E)} \leq C(E, f),$$

where $C(E, f)$ is a constant, which depends only on E and $f(z)$. Let U be a closed disc such that $U \subset E^0$. From Lemma 1 we get $\|R_{n,m}\|_U \leq C_4/\rho_U^{2/p}$, where C_4 does not depend on $n \in \mathbb{N}$, $\rho_U^{2/p} > 0$. Following the arguments in [4] and using (1) we get $|a_n| \leq C_5 r^n \exp(n\theta)/\rho_U^{2/p}$, where $\theta > 0$ is arbitrary chosen, C_5 is independent on $n \in \mathbb{N}$ and r denotes the radius of U .

Since $\text{cap}(U)/\text{cap}(E) \rightarrow 0$ as $r \rightarrow 0$ and $\rho_U \rightarrow \rho(z_U, \delta E)$ as $r \rightarrow 0$, where z_U denotes the center of U and $\rho(z_U, \delta E)$ denotes the distance between z_U and δE , (recall that θ is arbitrary) we get $\limsup_{n \in \mathbb{N}} |a_n|^{1/n} \leq \text{cap}(E)$. From this, Corollary 1 and Corollary 2 we get the statement of Corollary 3.

Proof of Corollary 4. Let U be a closed disc such that $U \subset E^0$. Since $D = \overline{\mathbb{C}} \setminus E$, Mergelian's theorem implies $\rho_{n,0} \rightarrow 0$ as $n \rightarrow \infty$. From this and Lemma 1 we get

$$\|R_{n,n} - f\|_U \leq C(p, U) \|R_{n,n} - f\|_{L_p(E)} \leq C_6 \rho_{n,0},$$

where $C(p, U)$ and C_6 do not depend on $n \in \mathbb{N}$. Consequently $\|R_{n,n} - f\|_U \rightarrow 0$ as $n \rightarrow \infty$. Now applying Theorem 6 to $f(z)$, $R_{n,n}^\#(z) := R_{n,n}(z)$ and $W := U$ we get the statement of Corollary 4.

We can make some further remarks concerning Theorem 1 and Theorem 2. From [2] and the proof of Theorem 1 one can see, that conditions of $f(z)$ and $R_{n,m}^*(z)$ in Theorem 1 are not necessary. It's sufficient to assume that $f(z)$ is meromorphic on E and $R_{n,m}^*(z) \in \mathcal{R}_{n,m}^{\overline{\mathbb{C}}}$. Theorem 2 is a valid not only for $\{R_{n,m}(z)\}_{n \in \mathbb{N}}$, but also for every sequence $\{\hat{R}_{n,m}(z)\}_{n \in \mathbb{N}}$, of functions, such that

$$\hat{R}_{n,m}(z) \in \mathcal{R}_{n,m}^{\overline{\mathbb{C}} \setminus E} \quad \text{and} \quad \limsup_{n \in \mathbb{N}} \|f - \hat{R}_{n,m}\|_{L_p(E)}^{1/n} \leq 1/R_m.$$

References

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Triangular Algorithms

It is well known that some types of interpolating or approximating functions can be easily computed by recursive algorithms that have form of a triangular array. Classical examples are de Casteljau's algorithm for Bernstein polynomials, Aitken's table recursion for Lagrange interpolants and De Boor algorithm for B-spline functions. Typically, such algorithms construct the values of some basic functions

$$\begin{aligned} \psi_{i,j}^k &= \psi_{i,j}^{k-1} \psi_{i,j}^{k-1}, \\ \psi_{i,j}^k &= (1 - \lambda) \psi_{i,j}^{k-1} + \lambda \psi_{i,j}^{k-1}, \\ \psi_{i,j}^k &= 0, \quad i < j, \quad k = 1, \dots, n, \end{aligned}$$

starting with $\psi_{i,i}^0 = \delta_{i,i}$ and $\psi_{i,i}^0 = 1$ and are supplied recursively

to three classical cases of blending function M is an affine function of t which starts at origin and ends at 1. Using a general form of an affine function suggested in [1] or [2] (where [2], [3] introduced other bases and got a class of properties of P -blends, P -curves, P -tra curves and so on). Further generalizations are introduced and studied in [4], [5] and [6]. It is easy to see that if $p(t)$ is a given

$$p(t) = \sum_{i=0}^n \lambda_i P_i(t) \quad t \in T,$$

then $P_{i,j}^k$ are the basic data values, the recursive algorithm takes place. The algorithm begins with the set of data $P = \{P_0, \dots, P_n\}$, and using the recurrence

$$\begin{aligned} P_{i,j}^k &= P_i + \lambda (P_j - P_i), \\ P_{i,j}^k &= (1 - \lambda) P_{i,j}^{k-1} + \lambda P_{i,j}^{k-1}, \\ P_{i,j}^k &= 0, \quad i < j, \quad k = 1, \dots, n. \end{aligned}$$