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ArticleTitle	Independence Numbers of Johnson-Type Graphs	
Article Sub-Title		
Article CopyRight	The Author(s), under exclusive licence to Brazilian Mathematical Society (This will be the copyright line in the final PDF)	
Journal Name	Bulletin of the Brazilian Mathematical Society, New Series	
Corresponding Author	FamilyName	<b>Cherkashin</b>
	Particle	
	Given Name	<b>Danila</b>
	Suffix	
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Schedule	Received	5 Oct 2022
	Revised	
	Accepted	31 May 2023
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Footnote Information		



# Independence Numbers of Johnson-Type Graphs

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Received: 5 October 2022 / Accepted: 31 May 2023

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## Abstract

We consider a family of distance graphs in  $\mathbb{R}^n$  and find its independence numbers in some cases. Define the graph  $J_{\pm}(n, k, t)$  in the following way: the vertex set consists of all vectors from  $\{-1, 0, 1\}^n$  with exactly  $k$  nonzero coordinates; edges connect the pairs of vertices with scalar product  $t$ . We find the independence number of  $J_{\pm}(n, k, t)$  for an odd negative  $t$  and  $n > n_0(k, t)$ .

## 1 Introduction

We start with common definitions. Let  $G = (V, E)$  be a graph. A subset  $I$  of vertices of  $G$  is *independent* if no edge connects vertices of  $I$ . The *independence number* of a graph  $G$  is the maximal size of an independent set in  $G$ ; we denote it by  $\alpha(G)$ .

Generalized Johnson graphs are the graphs  $J(n, k, t)$  defined as follows: the vertex set consists of vectors from the hypercube  $\{0, 1\}^n$  with exactly  $k$  nonzero coordinates, edges connect vertices with scalar product  $t$  (so  $J(n, k, t)$  is nonempty if  $k < n$  and  $2k - n \leq t < k$ ). Generalized Kneser graphs  $K(n, k, t)$  have the same vertex set but the edges connect vertices with scalar product at most  $t$ .

Now we introduce the main hero of the paper. Define graphs  $J_{\pm}(n, k, t)$  as follows: the vertex set consists of vectors from  $\{-1, 0, 1\}^n$  with exactly  $k$  nonzero coordinates, edges connect vertices with scalar product  $t$ . The graph  $J_{\pm}(n, k, t)$  is nonempty if  $k < n$  and  $-k \leq t < k$ , and also if  $k = n$  and  $n - t$  is even. If  $t = -k$ , then the graph  $J_{\pm}(n, k, t)$  is a matching. Note that the edges connect vertices of the Euclidean distance  $\sqrt{2(k - t)}$ , which means that  $J_{\pm}(n, k, t)$  is a distance graph.

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22 Finally, define  $K_{\pm}(n, k, t)$  as the graph which shares the vertex set with  $J_{\pm}(n, k, t)$   
 23 but the edges connect vertices with scalar product at most  $t$ .

24 **1.1 Independence and Chromatic Numbers of  $J(n, k, t)$  and  $K(n, k, t)$**

25 Independent sets in these families of graphs are classical combinatorial objects. Indeed,  
 26 we have a natural bijection between the set of  $k$ -subsets of  $[n]$  and  $V[J(n, k, t)] =$   
 27  $V[K(n, k, t)]$ . The celebrated Erdős–Ko–Rado theorem (Erdős et al. 1961) determines  
 28 all maximal independent sets in  $J(n, k, 0) = K(n, k, 0)$ . A natural generaliza-  
 29 tion was done by Erdős and Sós, who introduce “forbidden intersection problem”,  
 30 which involves finding the independence numbers of graphs  $J(n, k, t)$ . Then the  
 31 Frankl–Wilson theorem (Frankl and Wilson 1981), the Frankl–Füredi theorem (Frankl  
 32 and Füredi 1985) and the Ahlswede–Khachatryan Complete Intersection Theorem  
 33 (Ahlswede and Khachatryan 1997) answered a lot of questions about the size and the  
 34 structure of maximal independent sets in the graphs  $J(n, k, t)$  and  $K(n, k, t)$ .

35 On the other hand a lot of questions in combinatorial geometry are related to embed-  
 36 dings of these graphs into  $\mathbb{R}^n$ . Frankl and Wilson (1981) used the graphs  $J(n, k, t)$   
 37 to get an exponential lower bound on the chromatic number of the Euclidean space  
 38 (Nelson–Hadwiger problem); Kahn and Kalai (1993) used them to disprove Borsuk’s  
 39 conjecture.

40 Let us describe the picture for some small  $k$  and  $t$ . Erdős et al. (1961) proved that  
 41  $n \geq 2k$  implies

42 
$$\alpha[J(n, k, 0)] = \binom{n-1}{k-1}.$$

43 Then Lovász (1978) proved Kneser’s conjecture, namely that  $\chi[J(n, k, 0)] = n -$   
 44  $2k + 2$  for  $n \geq 2k$ . The following result was introduced to get a constructive bound  
 45 on the Ramsey number.

46 **Proposition 1** (Nagy 1972) *Let  $n = 4s + t$ , where  $0 \leq t \leq 3$ . Then*

47 
$$\alpha[J(n, 3, 1)] = \begin{cases} n & \text{if } t = 0, \\ n - 1 & \text{if } t = 1, \\ n - 2 & \text{if } t = 2 \text{ or } 3. \end{cases}$$

48 Then Larman and Rogers (1972) used the bound  $\chi[J(n, 3, 1)] \geq \frac{|V[J(n, 3, 1)]|}{\alpha[J(n, 3, 1)]}$  to show  
 49 that the chromatic number of the Euclidean space is at least quadratic in the dimension  
 50 (initially it was proposed by Erdős and Sós). It turns out that the chromatic number of  
 51  $J(n, 3, 1)$  is very close to  $\frac{|V[J(n, 3, 1)]|}{\alpha[J(n, 3, 1)]}$  (and sometimes is equal to this ratio).

52 **Theorem 1** (Balogh–Kostochka–Raigorodskii 2013) *Consider  $l \geq 2$ . If  $n = 2^l$ , then*

53 
$$\chi[J(n, 3, 1)] \leq \frac{(n-1)(n-2)}{6}.$$

54 *If  $n = 2^l - 1$ , then*

55 
$$\chi[J(n, 3, 1)] \leq \frac{n(n-1)}{6}.$$

56 *Finally, for an arbitrary  $n$*

57 
$$\chi[J(n, 3, 1)] \leq \frac{(n-1)(n-2)}{6} + \frac{11}{2}n.$$

58 Tort (1983) proved that for  $n \geq 6$ ,

59 
$$\chi[K(n, 3, 1)] = \left\lfloor \frac{(n-1)^2}{4} \right\rfloor.$$

60 Zakharov (2020a) showed that the existence of Steiner systems (see Sect. 2.5) implies that

62 
$$\chi[J(n, k, t)] \leq (1 + o(1)) \frac{(k-t-1)!}{(2k-2t-1)!} n^{k-t}$$

63 for fixed  $k > t$ . In general  $\chi[J(n, k, t)] = \Theta(n^{t+1})$  for  $k > 2t + 1$  and  $\chi[J(n, k, t)] = \Theta(n^{k-t})$  for  $k \leq 2t + 1$ .

65 **1.2 Known Facts About the Graphs  $J_{\pm}(n, k, t)$  and  $K_{\pm}(n, k, t)$**

66 From a geometrical point of view  $J_{\pm}(n, k, t)$  is a natural generalization of  $J(n, k, t)$ . Raigorodskii (2000, 2001) used the graphs  $J_{\pm}(n, k, t)$  to significantly refine the asymptotic lower bounds in the Borsuk’s problem and the Nelson–Hadwiger problem.

69 Unfortunately, there is no general method to find the independence number of  $J_{\pm}(n, k, t)$  even asymptotically. One of the reasons is that the known answers have varied and sometimes rather complicated structures. For instance the proof of the following result analogous to Proposition 1 is relatively long and the answer is quite surprising.

74 **Theorem 2** (Cherkashin–Kulikov–Raigorodskii 2018) *For  $n \geq 1$  define  $c(n)$  as follows:*

76 
$$c(n) = \begin{cases} 0 & \text{if } n \equiv 0 \\ 1 & \text{if } n \equiv 1 \pmod{4}. \\ 2 & \text{if } n \equiv 2 \text{ or } 3 \end{cases}$$

77 *Then*

78 
$$\alpha[J_{\pm}(n, 3, 1)] = \max\{6n - 28, 4n - 4c(n)\}.$$

79

In recent papers (Frankl and Kupavskii 2018a, b, 2020) Frankl and Kupavskii generalized the Erdős–Ko–Rado theorem for some subgraphs of  $J_{\pm}(n, k, t)$ . We need additional definitions.

$$V_{k,l} := \{v \in \{-1, 0, 1\}^n \mid v \text{ has exactly } k \text{ '1's and exactly } l \text{ '-1's}\},$$

$$J(n, k, l, t) := (V_{k,l}, \{(v_1, v_2) \mid \langle v_1, v_2 \rangle = t\}).$$

**Theorem 3** (Frankl–Kupavskii 2018a) *For  $2k \leq n \leq k^2$  the equality*

$$\alpha[J(n, k, 1, -2)] = k \binom{n-1}{k}$$

*holds. In the case  $n > k^2$  the following equality holds*

$$\alpha[J(n, k, 1, -2)] = k \binom{k^2-1}{k} + \sum_{i=k^2}^{n-1} \binom{i}{k}.$$

Paper Frankl and Kupavskii (2018b) deals with a more generic problem.

**Theorem 4** (Frankl–Kupavskii 2018b) *For  $2k \leq n$  the following bounds hold*

$$\binom{n}{k+l} \binom{k+l-1}{l-1} \leq \alpha[J(n, k, l, -2l)] \leq \binom{n}{k+l} \binom{k+l-1}{l-1} + \binom{n}{2l} \binom{2l}{l} \binom{n-2l-1}{k-l-1}.$$

*In the case  $2k \leq n \leq 3k - l$  the following equality holds*

$$\alpha[J(n, k, l, -2l)] = \frac{k}{n} |V_{k,l}|.$$

To introduce the next result, we will need the following definition.

**Definition 1**

$$S(n, D) := \begin{cases} \sum_{j=0}^d \binom{n}{j} & \text{if } D = 2d, \\ \binom{n-1}{d} + \sum_{j=0}^d \binom{n}{j} & \text{if } D = 2d + 1. \end{cases}$$

In Frankl and Kupavskii (2017) (see Frankl and Kupavskii 2019 for a version with a fixed mistake) Frankl and Kupavskii determined the independence number of  $K_{\pm}(n, k, t)$  for  $n > n_0(k, t)$  and found the asymptotics of the independence number of  $J_{\pm}(n, k, t)$  if  $t < 0$  and  $n > n_0(k, t)$ .

**Theorem 5** (Frankl–Kupavskii 2019) *For any  $k \in \mathbb{N}$  and  $n \geq n(k_0)$  we have:*

- 103 1.  $\alpha[K_{\pm}(n, k, t)] = \binom{n-t-1}{k-t-1}$  for  $-1 \leq t \leq k-1$ ,
- 104 2.  $\alpha[K_{\pm}(n, k, t)] = S(k, |t| - 1) \binom{n}{k}$  for odd  $t$  such that  $-k-1 \leq$
- 105  $t < 0$ ,
- 106 3.  $\alpha[K_{\pm}(n, k, t)] = \alpha[J(n, k - \frac{|t|}{2}, \frac{|t|}{2}, t)] + S(k, |t| - 2) \binom{n}{k}$  for even  $t$  such that
- 107  $-k-1 \leq t < 0$ .

108 **Theorem 6** (Frankl–Kupavskii 2017) *For any  $k \in \mathbb{N}$ ,  $t < 0$  and  $n > n_0(k, t)$  we have*

109 
$$\alpha[J_{\pm}(n, k, t)] \leq S(k, |t| - 1) \binom{n}{k} + O(n^{k-1}).$$

110 The main technique in the Frankl–Kupavskii theorems is shifting. It turns out that  
 111 shifting can not increase a scalar product, so it preserves the independence property of  
 112 a set in a Kneser-type graph. Unfortunately, the latter does not hold for Johnson-type  
 113 graphs. Using additional arguments one can derive weaker results which are tight only  
 114 in asymptotics. But it looks impossible to find the independence number of  $J_{\pm}(n, k, t)$   
 115 for  $t > -k$  using shifting.

116 **1.3 Results**

117 Let  $J(n, k, even)$  be a graph with the vertex set  $\{0, 1\}^n$ , where edges connect ver-  
 118 tices with even scalar product (note that each vertex has a loop if  $k$  is even). Define  
 119  $J(n, k, odd)$  in a similar way. Let  $J_{\pm}(n, k, even)$  and  $J_{\pm}(n, k, odd)$  be defined  
 120 analogously to  $J(n, k, even)$  and  $J(n, k, odd)$ .

121 **Observation 1** *If  $n > n_0(k)$ , then*

122 
$$\alpha[J_{\pm}(n, k, even)] = 2^k \alpha[J(n, k, even)],$$
  
 123 
$$\alpha[J_{\pm}(n, k, odd)] = 2^k \alpha[J(n, k, odd)].$$

124  
 125 For  $n > n_0(k)$  the exact values of  $\alpha[J_{\pm}(n, k, even)]$  and  $\alpha[J_{\pm}(n, k, odd)]$  are  
 126 determined in Theorem 15.

127 **Proof of Observation 1** Let *parity* stand for *odd* or *even*.

128 To prove the lower bounds consider an arbitrary maximal independent set  $I$  in  
 129 the graph  $J(n, k, parity)$ . Then all the vertices on the supports from  $I$  form an  
 130 independent set  $I_{\pm}$  in  $J_{\pm}(n, k, parity)$ . So

131 
$$\alpha[J_{\pm}(n, k, parity)] \geq 2^k \alpha[J(n, k, parity)].$$

132 The upper bounds simply follow from Lemma 1, since  $J(n, k, parity)$  is a subgraph  
 133 of  $J_{\pm}(n, k, parity)$ . □

134 **Observation 2** *For every  $n \geq k$  we have*

135 
$$\alpha[J_{\pm}(n, k, k-1)] = 2^k \alpha[J(n, k, k-1)].$$

136 Note that  $\alpha[J(n, k, k - 1)]$  is the size of a largest partial Steiner  $(n, k, k - 1)$ -system.  
 137 In particular, if the divisibility conditions hold, then  $\alpha[J(n, k, k - 1)] = \binom{n}{k-1}/k$  (see  
 138 Sect. 2.5).

139 **Proof of Observation 2** Since  $J(n, k, k - 1)$  is a subset of  $J_{\pm}(n, k, k - 1)$ , by Lemma 1  
 140 we have

$$141 \quad \alpha[J_{\pm}(n, k, k - 1)] \leq 2^k \alpha[J(n, k, k - 1)].$$

142 To prove the lower bound consider an arbitrary maximal independent set  $I$  in the  
 143 graph  $J(n, k, k - 1)$ . Then all the vertices on the supports from  $I$  form an independent  
 144 set  $I_{\pm}$  in  $J_{\pm}(n, k, k - 1)$ . □

145 We use the Katona averaging method and Reed–Solomon codes to prove the  
 146 following theorem.

147 **Theorem 7** Suppose that  $n > k2^{k+1}$ . Then

$$148 \quad \alpha[J_{\pm}(n, k, -1)] = \binom{n}{k}.$$

149 Theorem 7 can be generalized as follows.

150 **Theorem 8** Suppose that  $t$  is a negative odd number,  $n > n_0(k)$ . Then

$$151 \quad \alpha[J_{\pm}(n, k, t)] = S(k, |t| - 1) \binom{n}{k},$$

152 where  $S$  is defined in Definition 1.

153 The next theorem is a consequence of Theorems 10 and 7.

154 **Theorem 9** Let  $n > \frac{9}{2}k^32^k$ . Then

$$155 \quad \alpha[J_{\pm}(n, k, 0)] = 2 \binom{n - 1}{k - 1}.$$

156 One can extract a stability version of the previous theorem from its proof.

157 The *support* of a vertex  $v$  is the set of nonzero coordinates of  $v$ ; we denote it by  
 158  $\text{supp } v$ . Let  $\mathcal{H}_k = (V_k, E_k)$  be a  $k$ -graph such that

$$159 \quad V_k := \bigcup_{u \in [n]} \{u^+, u^-\}, \quad E_k := \left\{ A \in \binom{V(\mathcal{H})}{k} \mid \{u^+, u^-\} \not\subset A \text{ for every } u \right\}.$$

160 There is a natural bijection between  $E_k$  and  $V(J_{\pm}(n, k, t))$ . Introduce notion *signplace*  
 161 for a vertex of  $\mathcal{H}_k$  and *place* for a pair of vertices  $\{u^+, u^-\}$ ,  $u \in [n]$ ; note that the  
 162 latter definition does not depend on  $k$ .

163 **Corollary 1** *Suppose that  $I$  is an independent set in  $J_{\pm}(n, k, 0)$  and no place intersects*  
 164 *all the vertices of  $I$ . Then*

165 
$$|I| \leq C(k) \binom{n}{k-2}.$$

166 **Structure of the paper.** In Sect. 2 we describe several classical definitions and  
 167 theorems, that are used in examples and proofs: Katona averaging methods, non-  
 168 trivial intersecting families, isodiametric inequality for the Hamming cube, simple  
 169 hypergraphs and Reed–Solomon codes, Steiner systems and finally families with  
 170 intersections of prescribed parity.

171 Section 3 contains examples, Sect. 4 provides proofs, Sect. 5 specifies the results in  
 172 the case  $k \leq 3$ . We finish with open questions in Sect. 6.

173 **2 Tools**

174 **2.1 Katona Averaging Method**

175 Properties of a graph with a rich group of automorphisms sometimes can be established  
 176 via consideration of a proper subgraph. We say that a graph  $G$  is *vertex-transitive* if for  
 177 every vertices  $v_1, v_2$ ,  $G$  has an automorphism  $f$  such that  $f(v_1) = v_2$ . The following  
 178 lemma is a special case of Lemma 1 from Katona (1975).

179 **Lemma 1** (Katona 1975) *Let  $G = (V, E)$  be a vertex-transitive graph. Let  $H$  be a*  
 180 *subgraph of  $G$ . Then*

181 
$$\frac{\alpha(G)}{|V(G)|} \leq \frac{\alpha(H)}{|V(H)|}.$$

182 For example Lemma 1 immediately implies that for every fixed  $k, t$  the following  
 183 decreasing sequences converge

184 
$$a_n := \frac{\alpha[J_{\pm}(n, k, t)]}{|V[J_{\pm}(n, k, t)]|} \quad \text{and} \quad b_n := \frac{\alpha[K_{\pm}(n, k, t)]}{|V[K_{\pm}(n, k, t)]|},$$

185 as  $J_{\pm}(n - 1, k, t)$  and  $K_{\pm}(n - 1, k, t)$  are isomorphic to subgraphs of  $J_{\pm}(n, k, t)$   
 186 and  $K_{\pm}(n, k, t)$ , respectively, and both  $J_{\pm}(n, k, t)$  and  $K_{\pm}(n, k, t)$  graphs are clearly  
 187 vertex-transitive.

188 Also since  $J(n, k, t)$  is a subgraph of  $J_{\pm}(n, k, t)$ , Lemma 1 implies

189 
$$\frac{\alpha[J_{\pm}(n, k, t)]}{|V[J_{\pm}(n, k, t)]|} \leq \frac{\alpha[J(n, k, t)]}{|V[J(n, k, t)]|},$$

190 which gives by  $|V[J_{\pm}(n, k, t)]| = 2^k \binom{n}{k} = 2^k |V[J(n, k, t)]|$  the following bound

191 
$$\alpha[J_{\pm}(n, k, t)] \leq 2^k \alpha[J(n, k, t)]. \tag{1}$$

192 It turns out that bound (1) is rarely close to the optimal. On the other hand sometimes  
 193 it is tight, for instance in Propositions 1 and 2.

### 194 2.2 Nontrivial Intersecting Families

195 A family of sets  $\mathcal{A}$  is *intersecting* if every  $a, b \in \mathcal{A}$  have nonempty intersection. A  
 196 *transversal* is a set that intersects each member of  $\mathcal{A}$ .

197 **Theorem 10** (Erdős–Lovász 1975) *Let  $\mathcal{A}$  be an intersecting family consisting of  $k$ -*  
 198 *element sets. Then at least one of the following statements is true:*

- 199 (i)  $\mathcal{A}$  has a transversal of size at most  $k - 1$ ;
- 200 (ii)  $|\mathcal{A}| \leq k^k$ .

201 One can find better bounds in the case (ii) (Arman and Retter 2017; Cherkashin 2011;  
 202 Frankl 2019; Zakharov 2020b). In particular, for  $k = 3$  it is known that  $3^3 = 27$  in (ii)  
 203 can be replaced with 10 and this result is sharp (Frankl et al. 1996).

204 **Theorem 11** (Deza 1974) *Let  $\mathcal{A}$  be a family of  $k$ -element sets such that  $|A \cap A'|$  is the*  
 205 *same for all distinct  $A, A' \in \mathcal{A}$ . Then at least one of the following statements is true:*

- 206 (i)  $A \cap A'$  is the same for all distinct  $A, A' \in \mathcal{A}$ ;
- 207 (ii)  $|\mathcal{A}| \leq k^2 - k + 1$ .

### 208 2.3 An Isodiametric Inequality

209 Define the *Hamming distance* between two subsets of  $[n]$  as the size of their symmetric  
 210 difference. The *Hamming distance* between two vectors  $v_1, v_2 \in \{-1, 0, 1\}^n$  is the  
 211 number of coordinates that differ between  $v_1$  and  $v_2$ . The *diameter* of a family  $\mathcal{A} \subset 2^{[n]}$   
 212 or  $\mathcal{A} \subset \{-1, 0, 1\}^n$  is the maximal distance between its members.

213 **Theorem 12** (Kleitman 1966) *Let  $\mathcal{A} \subset 2^{[n]}$  be a family with diameter at most  $D$  for*  
 214  *$n > D$ . Then*

$$215 \quad |\mathcal{A}| \leq S(n, D),$$

216 where  $S$  is defined in Definition 1.

217 Theorem 12 is sharp: in the case of even  $D$  the equality holds for the family  
 218  $\mathcal{K}(n, D) := \{A \subset [n] : |A| \leq \frac{D}{2}\}$  and in the case of odd  $D$  the equality holds for  
 219 the family  $\mathcal{K}_x(n, D) := \{A \subset [n] : |A \setminus \{x\}| \leq \frac{D}{2}\}$  for some fixed  $x \in [n]$ .

220 Moreover, in Frankl (2017) Frankl proved the following stability result. Let  $A \Delta B$   
 221 stand for the symmetric difference of the sets  $A$  and  $B$ . We say that a family  $\mathcal{A}'$  is a  
 222 *translate* of a family  $\mathcal{A}$  if  $\mathcal{A}' = \{A \Delta T : A \in \mathcal{K}(n, D)\}$  for some  $T \subset [n]$ .

223 **Theorem 13** (Frankl 2017) *Let  $\mathcal{A} \subset 2^{[n]}$  be a family with the diameter at most  $D$  and*  
 224  *$|\mathcal{A}| = S(n, D)$  for  $n \geq D + 2$ . Then in the case of even  $D$  family  $\mathcal{A}$  is a translate of*  
 225  *$\mathcal{K}(n, D)$  and in the case of odd  $D$  the family  $\mathcal{A}$  is a translate of  $\mathcal{K}_y(n, D)$ .*

226 **2.4 Simple Hypergraphs and Reed–Solomon Codes**

227 A hypergraph  $H = (V, E)$  is a collection of (*hyper*)edges  $E$  on a finite set of vertices  
 228  $V$ . A hypergraph is called *k-uniform* if every edge has size  $k$ . A hypergraph is *simple*  
 229 if every two edges share at most one vertex. The following construction is a special  
 230 case of Reed–Solomon codes (MacWilliams and Sloane 1977, Chapter 10); it is also  
 231 known as Kuzjurin’s construction (Kuzjurin 1995).

232 Fix a prime  $p > k$  and let the vertex set  $V$  be the union of  $k$  disjoint copies of a field  
 233 with  $p$  elements  $\mathbb{F} = GF(p)$ ; call them  $\mathbb{F}_1, \dots, \mathbb{F}_k$ . Consider the following system  
 234 of linear equations

235 
$$\sum_{i=1}^k i^j x_i = 0, \quad j = 0, 1, \dots, k - 3$$

236 over  $\mathbb{F}_p$ . The solutions  $\{x_1, \dots, x_k\} \in \mathbb{F}_1 \sqcup \dots \sqcup \mathbb{F}_k$ , where  $x_i \in \mathbb{F}_i$ , form the edge  
 237 set  $E$ . Fixing two arbitrary variables there is a unique solution over  $\mathbb{F}_p$ , because the  
 238 corresponding square matrix is a Vandermonde matrix with nonzero determinant. It  
 239 means that there are  $p^2$  different solutions and  $|e_1 \cap e_2| \leq 1$  for every distinct  $e_1, e_2 \in$   
 240  $E$ . Summing up,  $H_p(k) := (V, E)$  is a  $p$ -regular  $k$ -uniform simple hypergraph with  
 241  $|V| = pk$  and  $|E| = p^2$ .

242 A  $k$ -uniform hypergraph is *b-simple* if every two edges share at most  $b$  vertices.  
 243 The same construction with  $k - b - 1$  equations gives an example of a  $k$ -uniform  
 244  $b$ -simple hypergraph  $H(p, k, b)$ .

245 Further we use *regularity* of  $H = H(p, k, b)$  in the following sense. Consider an  
 246 arbitrary vertex subset  $A$  of size  $b$ . If  $A$  contains at most 1 vertex from every copy  
 247 of  $\mathbb{F}_p$ , then  $H$  has exactly  $p$  hyperedges containing  $A$ ; otherwise  $H$  contains no such  
 248 edges. Slightly abusing the notation we say that  $b$ -degree of  $H$  is  $p$ .

249 **2.5 Steiner Systems**

250 A *Steiner system* with parameters  $n, k$  and  $l$  is a collection of  $k$ -subsets of  $[n]$  such that  
 251 every  $l$ -subset of  $[n]$  is contained in exactly one set of the collection. There are some  
 252 obvious necessary ‘divisibility conditions’ for the existence of Steiner  $(n, k, l)$ -system:

253 
$$\binom{k-i}{l-i} \text{ divides } \binom{n-i}{k-i} \text{ for every } 0 \leq i \leq k-1.$$

254 In a breakthrough paper Keevash (2014) proved the existence of Steiner  $(n, k, l)$ -  
 255 systems for fixed  $k$  and  $l$  under the divisibility conditions and for  $n > n_0(k, l)$  (different  
 256 proofs can be found in Glock et al. 2023 and Keevash 2018).

257 **Partial Steiner system.** When the divisibility conditions do not hold we are still able  
 258 to construct a large *partial Steiner system*, that is, a collection of  $k$ -subsets of  $[n]$   
 259 such that every  $l$ -subset of  $[n]$  is contained in *at most* one set of the collection. Rödl  
 260 confirmed a conjecture of Erdős and Hanani and proved the following theorem.

261 **Theorem 14** (Rödl 1985) For every fixed  $k$  and  $l < k$ , and for every  $n$  there exists a  
 262 partial  $(n, k, l)$ -system with

$$263 \quad (1 - o(1)) \binom{n}{l} / \binom{k}{l}$$

264  $k$ -subsets.

265 Later the result was refined in Grable (1999), Kim (2001), Kostochka and Rödl (1998).  
 266 Also it follows from the mentioned results on Steiner systems.

267 **2.6 Families with Even or Odd Intersections**

268 Recall that  $J(n, k, \text{even})$  and  $J(n, k, \text{odd})$  were defined in Sect. 1.3. Frankl and  
 269 Tokushige determined the independence numbers of these graphs.

270 **Theorem 15** (Frankl–Tokushige 2016) Let  $n \geq n_0(k)$ . Then

$$271 \quad \alpha[J(n, k, \text{odd})] = \binom{\lfloor n/2 \rfloor}{k/2} \quad \text{for even } k,$$

$$272 \quad \alpha[J(n, k, \text{even})] = \binom{\lfloor (n-1)/2 \rfloor}{(k-1)/2} \quad \text{for odd } k.$$

273 In the case when  $k$  is even, the equality is achieved for the following family: we  
 274 split  $[n]$  into pairs and take all sets consisting of  $k/2$  pairs. In the case when  $k$  is odd  
 275 we also add a fixed point  $x \in [n]$  to each constructed set.

276 **3 Examples**

277 Let us start with a simple example which is rarely close to the independence number.

278 **Example 1** Let  $t < 0$ ,  $k > |t|$ . Then  $\alpha[J_{\pm}(n, k, t)] \geq 2^{|t|-1} \binom{n}{k}$ .

279 **Proof** Fix an ordering of the coordinates. Take all vertices of  $J_{\pm}(n, k, t)$  with the first  
 280  $k - |t| + 1$  nonzero coordinates equal to 1. Any two such vertices can have different signs  
 281 on at most  $|t| - 1$  positions, therefore their scalar product is at least  $-|t| + 1 = t + 1$ .  
 282 □

283 The following example is a part of Theorem 5.

284 **Example 2** For any  $t < 0$  and  $k > |t|$  we have

$$285 \quad \alpha[J_{\pm}(n, k, t)] \geq S(k, |t| - 1) \binom{n}{k},$$

286 and for even  $t$  we also have

$$287 \quad \alpha[J_{\pm}(n, k, t)] \geq S(k, |t| - 1) \binom{n}{k} + \binom{k-1}{\lfloor |t|/2 \rfloor}.$$

288 **Proof** We start with the first bound for the case of odd  $t$ . Let  $I_{odd}$  be the set of all  
 289 vertices of  $J_{\pm}(n, k, t)$  with at most  $(|t| - 1)/2$  negative entries. Each  $k$ -set is the  
 290 support of exactly

$$291 \sum_{j=0}^{(|t|-1)/2} \binom{k}{j} = S(k, |t| - 1)$$

292 vertices in  $I_{odd}$ . Any two vectors in  $I_{odd}$  may differ in at most  $2(|t| - 1)/2 = |t| - 1$   
 293 coordinates, so their scalar product is at least  $t + 1$ , and  $I_{odd}$  is an independent set of  
 294 the desired size.

295 Now we deal with the case of even  $t$ . Fix an ordering of the coordinates. For every  
 296  $k$ -set  $f$  add to  $I_{even}$  all the vertices with support  $f$  and with at most  $|t|/2 - 1$  negative  
 297 entries on  $f$  and all the vertices with  $-1$  on the last coordinate of  $f$  and exactly  
 298  $|t|/2 - 1$  other negative coordinates. Then each  $k$ -set is the support of exactly

$$299 \sum_{j=0}^{|t|/2-1} \binom{k}{j} + \binom{k-1}{|t|/2-1} = S(k, |t| - 1)$$

300 vertices in  $I_{even}$ . Assume that  $I_{even}$  is not independent, i.e. the scalar product of some  
 301  $v_1, v_2 \in I_{even}$  is equal to  $t$ . Then  $v_1$  and  $v_2$  together have at least  $|t|$  negative entries.  
 302 Hence both  $v_1$  and  $v_2$  have exactly  $|t|/2$  negative entries, so both  $v_1$  and  $v_2$  have  $-1$   
 303 at the last coordinates  $x_1$  and  $x_2$  of  $\text{supp } v_1$  and  $\text{supp } v_2$ , respectively. But then both  $v_1$   
 304 and  $v_2$  can not have  $+1$  at coordinates  $x_2$  and  $x_1$  respectively, so the scalar product is  
 305 at least  $t + 1$ . This contradiction shows that  $I_{even}$  is an independent set of the desired  
 306 size.

307 Now we proceed to the second bound. Let us add to  $I_{even}$  all the vertices on the  
 308 lexicographically first support  $\{1, \dots, k\}$  with exactly  $|t|/2$  negative entries and having  
 309  $+1$  at the  $k$ -th coordinate. Obviously the resulting set  $I$  has the claimed size. By  
 310 definition, no edge connects two vertices from  $I$  on the support  $\{1, \dots, k\}$ .

311 Consider a vertex  $v$  from  $I_{even}$  and a vertex  $u \in I \setminus I_{even}$ . Note that  $u$  and  $v$  together  
 312 have at most  $|t|$  negative entries. Since the largest coordinate of  $\text{supp } v$  is greater than  
 313  $k$  and  $v$  has  $-1$  in this coordinate, the scalar product of  $u$  and  $v$  is at least  $t + 1$ . Thus  
 314  $I$  is independent. □

315 **Example 3** For  $t \geq 0$  we have

$$316 \alpha[J_{\pm}(n, k, t)] \geq 2\alpha[J(n, k, t)].$$

317  
 318 **Proof** Let  $I \subset V[J(n, k, t)]$  be an independent set of size  $\alpha[J(n, k, t)]$ . Define  $I_{\pm}$  as  
 319 a subset of  $V[J_{\pm}(n, k, t)]$  consisting of vertices with all positive or all negative entries  
 320 on every support  $f = \text{supp } v, v \in I$ . It is easy to see that the subset  $I_{\pm}$  is independent  
 321 in  $J_{\pm}(n, k, t)$ . □

## 4 Proofs

### 4.1 Proof of Theorem 7

We start with the lower bound. One can take the vertices only with non-negative coordinates (so exactly one vertex on each support is taken); obviously the scalar product of such vertices is always non-negative, so

$$\alpha[J_{\pm}(n, k, -1)] \geq \binom{n}{k}.$$

Now we will show the upper bound. Denote  $G := J_{\pm}(n, k, -1)$ . Fix a prime  $p$ ,  $n/(2k) \leq p \leq n/k$  (so by the statement of the theorem  $p > 2^k$ ), and let  $H := H_p(k)$  (see Sect. 2.4) be a  $p$ -regular  $k$ -uniform simple hypergraph with  $V(H) \subset [n]$ . Define graph  $G[H]$  as a subgraph of  $G$ , consisting of the vertices with support on edges of  $H$ . So we have

$$|V(G[H])| = 2^k |E(H)|.$$

Fix an independent set  $I$  in  $G[H]$ ; consider the set  $X \subset [n]$  of coordinates on which the vertices from  $I$  have both signs. Denote by  $\text{supp } I$  the set of all supports of vertices from  $I$  ( $\text{supp } I \subset E(H)$ ) and for a given  $e \in E(H)$  put  $e_X := e \cap X$ .

Note that  $I$  has at most  $2^{|e_X|}$  vertices on the support  $e$  ( $|e_X|$  might be zero). Hence

$$\begin{aligned} |I| &\leq \sum_{e \in \text{supp } I} 2^{|e_X|} \leq \sum_{e \in E(H): |e_X|=0} 2^{|e_X|} + \sum_{e \in E(H): |e_X|>0} 2^{|e_X|} \\ &\leq |\{e \in E(H) : |e_X| = 0\}| + \sum_{e \in E(H): |e_X|>0} 2^{|e_X|}. \end{aligned} \tag{2}$$

Let us show that  $e_X$  form a disjoint cover of  $X$ . Suppose the contrary, i.e. there are  $e, f \in \text{supp } I$  such that  $e_X \cap f_X \neq \emptyset$ . Since the hypergraph  $H$  is simple, and  $e, f$  correspond to its hyperedges, we have  $|e_X \cap f_X| = |e \cap f| = 1$ . Put  $\{u\} := e \cap f$ . By the definition of  $X$  there are vertices  $v_1, v_2 \in I$  having different signs on  $u$ . Since  $I$  is independent and any two different supports intersect in at most 1 coordinate,  $v_1$  and  $v_2$  have the same support (say, not  $f$ ). So every vertex of  $G[H]$  with support  $f$  forms an edge in  $G[H]$  with one of  $v_1$  or  $v_2$ , thus  $I$  is not independent; contradiction.

So  $\sum |e_X| = |X|$ . Since the sequence  $2^k/k, k \geq 1$ , is non-decreasing and

$$\frac{a_1 + a_2 + \dots + a_t}{b_1 + b_2 + \dots + b_t} \leq \max \left( \frac{a_1}{b_1}, \frac{a_2}{b_2}, \dots, \frac{a_t}{b_t} \right),$$

we have

$$\sum_{e \in E(H): |e_X|>0} 2^{|e_X|} \leq \frac{|X|}{k} 2^k. \tag{3}$$

351 By definition, every  $e \in \{e \in E(H) : |e_X| = 0\}$  has empty intersection with  $X$ .  
 352 Since  $H$  is  $p$ -regular,  $X$  intersects at least  $\frac{p|X|}{k}$  edges of  $H$  (since every  $k$ -edge is  
 353 counted at most  $k$  times), so

$$354 \quad |\{e \in E(H) : |e_X| = 0\}| \leq |E(H)| - \frac{p|X|}{k}. \tag{4}$$

355 Summing up, by (2), (3), (4) and the choice of  $p$ , we have

$$356 \quad |I| \leq |E(H)| - \frac{|X|}{k}p + \frac{|X|}{k}2^k \leq |E(H)|,$$

357 which implies  $\alpha(G[H]) \leq |E(H)|$ , hence

$$358 \quad \frac{V(G[H])}{\alpha(G[H])} \geq 2^k.$$

359 By the definition  $G[H]$  is a subgraph of the graph  $G$ , so Lemma 1 finishes the proof.  
 360 For some  $k$  one can choose a smaller  $H$  and require a weaker inequality for  $n$ , for  
 361 instance in the case  $k = 3$  (see Sect. 5.2).

### 362 4.2 Proof of Theorem 8

363 This is a generalization of the proof of Theorem 7. The lower bound is provided in the  
 364 first part of Example 2.

365 Denote  $G = J_{\pm}(n, k, t)$  during the proof. The case  $t = -k$  is obvious, because  
 366  $J_{\pm}(n, k, -k)$  is a matching. From now  $|t| \leq k - 1$ . Fix  $n$  and a prime  $p \leq n/k$   
 367 to be large enough. Let  $H = H(p, k, |t|)$  (see Sect. 2.4) be a  $k$ -uniform  $|t|$ -simple  
 368 hypergraph with  $|t|$ -codegree  $p$ . Fix an embedding of  $V(H)$  into  $[n]$ .

369 Define  $G[H]$  as a subgraph of  $G$ , consisting of all the vertices with support on  
 370 edges of  $H$ . Fix an independent set  $I$  in  $G[H]$ .

371 Let an *object*  $O$  be a pair of opposite vectors  $\{o, -o\}$  with support of size  $|t|$   
 372 with  $\{0, \pm 1\}$  entries. Let  $\mathcal{X}$  be the set of objects  $O = \{o, -o\}$  such that  $(v_1, o) =$   
 373  $(v_2, -o) = |t|$  for some vertices  $v_1, v_2 \in I$  (this means that  $v_1$  and  $v_2$  coincide on  
 374  $\text{supp } o$  with  $o$  and  $-o$ , respectively).

375 Let  $E_{tight}$  be the set consisting of such edges  $e \in E(H)$  that  $\text{diam } I[e] < |t|$ , where  
 376  $I[e]$  stands for the set of vertices of  $I$  with the support  $e$ . Put  $E_{wide} := E(H) \setminus E_{tight}$ .  
 377 Let  $I_{tight}$  and  $I_{wide}$  stand for the sets of vertices of  $I$  with the support from  $E_{tight}$  and  
 378  $E_{wide}$  respectively. Then  $|I| = |I_{tight}| + |I_{wide}|$ .

379 Consider an arbitrary support  $e \in E_{wide}$ ; by the definition of  $E_{wide}$  there are an  
 380 object  $X = \{x, -x\} \in \mathcal{X}$  and vertices  $v_1, v_2 \in I[e]$ , such that  $(v_1, x) = (v_2, -x) =$   
 381  $|t|$ . Since  $I$  is independent and  $H$  is  $|t|$ -simple, distinct  $e_1$  and  $e_2 \in E_{wide}$  cannot lead  
 382 to the same  $X \in \mathcal{X}$ , so

$$383 \quad |\mathcal{X}| \geq |E_{wide}|. \tag{5}$$

384 For every  $e \in E_{tight}$  we have  $\text{diam } I[e] < |t|$ , thus Theorem 12 implies

$$385 \quad |I[e]| \leq S(k, |t| - 1). \tag{6}$$

386 Let us study the case of equality in (6). Fix a support  $f \subset [n]$ ,  $|f| = k$ , and consider  
 387 a family  $\mathcal{A} \subset \{-1, 1\}^f$  with diameter at most  $|t| - 1$  and size  $S(k, |t| - 1)$ . Also  
 388 consider an object  $O = \{o, -o\}$  such that  $\text{supp } O \subset f$  (recall that  $|\text{supp } O| = |t|$ ).  
 389 By the pigeon-hole principle and the oddity of  $t$ , one of  $o, -o$  has at most  $(|t| - 1)/2$   
 390 negative entries. Thus there is a vector  $v$  from  $\mathcal{K}(k, |t| - 1)$  such that  $(v, o) = |t|$   
 391 or  $(v, -o) = |t|$ . By Theorem 13  $\mathcal{A}$  is a translate of  $\mathcal{K}(k, |t| - 1)$ , so the previous  
 392 conclusion also holds for  $\mathcal{A}$ .

393 Fix an object  $X \in \mathcal{X}$  and consider an arbitrary support  $e \in E_{tight}$  containing  
 394  $\text{supp } X$ . Assume that  $(v, x) = \pm t$  for some  $v \in I[e]$ . Consider a support  $g \in E_{wide}$   
 395 such that there are  $u_1, u_2 \in I[g]$ , satisfying  $(u_1, x) = (u_2, -x) = t$  ( $g$  exists because  
 396  $X \in \mathcal{X}$ ). Since  $H$  is  $|t|$ -simple,  $(u_1, v) = t$  or  $(u_2, v) = t$ ; a contradiction. By  
 397 Theorem 13 we can refine the bound (6) in this case:

$$398 \quad |I[e]| \leq S(k, |t| - 1) - 1. \tag{7}$$

399 By the construction of  $H$  for every  $X \in \mathcal{X}$ ,  $\text{supp } X$  is contained in exactly  $p$  edges  
 400 of  $H$  (because it is contained in at least one edge). Every edge of  $H$  is the support of  
 401  $\binom{k}{|t|} 2^{|t|-1}$  objects, so is counted above at most  $\binom{k}{|t|} 2^{|t|-1}$  times. By (5) at most  $|\mathcal{X}|$  of  
 402 the edges are wide. So the refined bound (7) is applicable to at least

$$403 \quad \frac{p|\mathcal{X}|}{\binom{k}{|t|} 2^{|t|-1}} - |\mathcal{X}|$$

404 tight edges. Then

$$405 \quad |I_{tight}| \leq S(k, |t| - 1) |E_{tight}| - \frac{p|\mathcal{X}|}{\binom{k}{|t|} 2^{|t|-1}} + |\mathcal{X}|.$$

406 On the other hand there is a straightforward bound

$$407 \quad |I_{wide}| \leq 2^k |E_{wide}| \leq 2^k |\mathcal{X}|.$$

408 Putting it all together

$$409 \quad |I| = |I_{tight}| + |I_{wide}| \leq S(k, |t| - 1) |E_{tight}| - \frac{p|\mathcal{X}|}{\binom{k}{|t|} 2^{|t|-1}} + (2^k + 1) |\mathcal{X}|. \tag{8}$$

410 For a large  $n$  (then  $p$  is also large enough) the inequality (8) implies  $\alpha(G[H]) \leq$   
 411  $S(k, |t| - 1) |E(H)|$ . By the definition  $G[H]$  is a subgraph  $G$  and

$$412 \quad \frac{\alpha(G[H])}{V(G[H])} \leq \frac{S(k, |t| - 1)}{2^k},$$

413 so Lemma 1 finishes the proof.

414 **4.3 Proof of Theorem 9**

415 Consider an arbitrary independent set  $I$  in the graph  $J_{\pm}(n, k, 0)$ . Note that supports  
 416 of the vertices of  $I$  form an intersecting family; denote it by  $F$ . Let  $U$  be a minimal  
 417 (by inclusion) transversal of  $F$ . As  $U$  is minimal, for every coordinate  $a \in U$  there is  
 418 a vertex  $x_a \in I$ , such that  $\text{supp } x_a \cap U = \{a\}$ .

419 In the case  $|U| > 1$  we can consider the set

420 
$$C := U \cup \text{supp } x_a \cup \text{supp } x_b$$

421 for two different  $a, b \in U$ . Note that  $|C| \leq 3k$  and every  $f \in F$  intersects  $C$  in at  
 422 least two places (suppose that  $|f \cap U| = 1$ , then it should intersect either  $(\text{supp } x_a) \setminus U$   
 423 or  $(\text{supp } x_b) \setminus U$ ). Hence

424 
$$|I| \leq 2^k \binom{|C|}{2} \binom{n}{k-2} < 2^k \frac{9k^2}{2} \binom{n}{k-2}.$$

425 Recall that  $n > \frac{9}{2}k^3 2^k$ , so

426 
$$2^k \frac{9k^2}{2} \binom{n}{k-2} < 2^k \frac{9k^2}{2} \frac{n^{k-2}}{(k-2)!} < \frac{n}{k-1} \frac{n^{k-2}}{(k-2)!} < 2 \binom{n-1}{k-1}.$$

427 The remaining case is  $|U| = 1$ , say  $U = \{u\}$ . Consider only vertices containing  
 428  $u^+$ , by Theorem 7 we have at most  $\binom{n-1}{k-1}$  such vertices. The same bound for  $u^-$  gives  
 429 the desired bound.

430 Example 3 and Erdős–Ko–Rado theorem give a lower bound.

431 **4.4 Proof of Corollary 1**

432 Let us repeat the proof of Theorem 9. Let  $I$  be an arbitrary independent set in  
 433  $J_{\pm}(n, k, 0)$ . Then

434 
$$|I| < 2^k \frac{9k^2}{2} \binom{n}{k-2}$$

435 or the family of all supports of vertices from  $I$  has a transversal of size 1. The first  
 436 possibility implies

437 
$$|I| \leq C(k) \binom{n}{k-2};$$

438 the latter one contradicts the condition of the corollary.

### 5 The Case $k \leq 3$

We have implemented Östergård algorithm (Östergård 2002) to find independence numbers of several small graphs. All the calculations were done on a standard laptop in a few hours. The source can be found in Kiselev (2020).

#### 5.1 The Case $k = 2$

The case  $t = -1$ . By simple calculations we have

$$\alpha[J_{\pm}(2, 2, -1)] = \alpha[J_{\pm}(3, 2, -1)] = 4, \quad \alpha[J_{\pm}(4, 2, -1)] = 8, \quad \alpha[J_{\pm}(5, 2, -1)] = 10.$$

In Sect. 2.1 we show that the sequence

$$\frac{\alpha[J_{\pm}(n, 2, -1)]}{|V[J_{\pm}(n, 2, -1)]|}$$

is non-increasing, so

$$\alpha[J_{\pm}(n, 2, -1)] = \binom{n}{2}$$

for  $n \geq 5$ .

The case  $t = 0$ . It is straightforward to check that

$$\alpha[J_{\pm}(2, 2, 0)] = 2, \quad \alpha[J_{\pm}(3, 2, 0)] = \alpha[J_{\pm}(4, 2, 0)] = 6.$$

For the case  $n > 4$  we can repeat the proof of the Theorem 9 and show that

$$\alpha[J_{\pm}(n, 2, 0)] = 2(n - 1).$$

The case  $t = 1$ . From Proposition 2 we have

$$\begin{aligned} \alpha[J_{\pm}(n, 2, 1)] &= 2n && \text{for even } n, \\ \alpha[J_{\pm}(n, 2, 1)] &= 2(n - 1) && \text{for odd } n. \end{aligned}$$

#### 5.2 The Case $k = 3, t = -1$

**Proposition 2** Let  $n \geq 7$ . Then

$$\alpha[J_{\pm}(n, 3, -1)] = \binom{n}{3}.$$

**Proof** Fano plane is the projective plane over  $GF(2)$  i.e. the following simple 3-graph on 7 vertices

$$\{1, 2, 3\}, \{1, 4, 7\}, \{1, 5, 6\}, \{2, 4, 6\}, \{2, 5, 7\}, \{3, 4, 5\}, \{3, 6, 7\}.$$

465 Consider an arbitrary embedding  $F$  of the Fano plane into  $V[J_{\pm}(n, 3, -1)]$ . As usual  
 466 consider the subgraph  $G[F]$ ; it has  $7 \cdot 2^3 = 56$  vertices. One may check by hands or  
 467 via computer that  $\alpha(G[F]) = 7$ . By Lemma 1

$$468 \quad \alpha[J_{\pm}(n, 3, -1)] \leq \binom{n}{3}.$$

469 On the other hand, Example 1 implies  $\alpha[J_{\pm}(n, 3, -1)] = \binom{n}{3}$ . □

470 By the computer calculations we have

$$471 \quad \alpha[J_{\pm}(6, 3, -1)] = 21 > \binom{6}{3} = 20,$$

472 so Proposition 2 is sharp. Also

$$473 \quad \alpha[J_{\pm}(5, 3, -1)] = 14, \quad \alpha[J_{\pm}(4, 3, -1)] = 8, \quad \alpha[J_{\pm}(3, 3, -1)] = 2.$$

### 474 5.3 The Case $k = 3, t = 0$

475 By the computer calculations we have

$$476 \quad \alpha[J_{\pm}(3, 3, 0)] = \alpha[J_{\pm}(4, 3, 0)] = 8, \quad \alpha[J_{\pm}(5, 3, 0)] = 20, \quad \alpha[J_{\pm}(6, 3, 0)] = 32,$$

$$477 \quad \alpha[J_{\pm}(7, 3, 0)] = \alpha[J_{\pm}(8, 3, 0)] = \alpha[J_{\pm}(9, 3, 0)] = 56.$$

478 **Proposition 3** *Let  $n \geq 9$ . Then*

$$479 \quad \alpha[J_{\pm}(n, 3, 0)] = 2 \binom{n-1}{2}.$$

481 **Proof** The example is inherited from Theorem 9.

482 Let us proceed with the upper bound. For the case  $n = 9$  the computer calculations  
 483 give us the desired result. Let us repeat the proof of Theorem 9, updating it for small  
 484 values of  $n$ . Let  $I$  be a maximal independent set in  $G := J_{\pm}(n, 3, 0)$ .

485 Clearly supports of vertices of  $I$  form a 3-uniform intersecting family. Theorem 10  
 486 states that an intersecting family either contains at most 27 sets or has a 2-transversal.  
 487 It is known (Frankl et al. 1996) that the constant 27 can be refined to 10.

488 In the first case the family of supports has no 2-transversal. Then  $|I| \leq 8 \cdot 10$ , which  
 489 is enough for  $n > 10$ . Assume the contrary to the statement in the case  $n = 10$ , id  
 490 est  $|I| > 72$ . It implies that vertices in  $I$  have exactly 10 different supports. Suppose  
 491 that every pair of supports shares exactly one vertex. Then by Theorem 11 all the  
 492 supports have one common vertex, so at least  $1 + 2 \cdot 10 > 10$  coordinates are required.  
 493 Thus there are supports  $f_1, f_2$  such that  $|f_1 \cap f_2| = 2$ . The initial graph  $G$  has 16  
 494 vertices with supports  $f_1$  and  $f_2$ ; by the equality  $\alpha[J_{\pm}(4, 3, 0)] = 8$ ,  $I$  has at least

8 missing vertices on these supports. This refines the bound  $|I| \leq 80$  to the desired  $|I| \leq 72 = 2\binom{9}{2}$ .

In the second case we have a one-point transversal set, say  $U = \{u\}$ . Let  $I_{sign}$  be a set of vertices from  $I$  containing  $u^{sign}$ , where  $sign \in \{+, -\}$ . Clearly  $|I| = |I_+| + |I_-|$ . After removing coordinate  $u$  from every vertex,  $I_+$  becomes an independent set in  $J_{\pm}(n - 1, 2, -1)$ . By the Sect. 5.1  $|I_+| \leq \binom{n-1}{2}$ . The same bound for  $I_-$  finishes the proof in this case.

In the last case we have a transversal set of size 2, say  $\{a, b\}$ . Let  $I_a$  be the set of vertices of  $I$  containing  $a$  and not containing  $b$ ,  $I_b$  is defined analogously. Both  $I_a$  and  $I_b$  are nonempty, otherwise there is a one-point transversal set which is the previous case. Define  $I_{ab} = I \setminus I_a \setminus I_b$ . Computer calculations show that for  $n = 10$  we have at most 48 vertices in an independent set with such conditions.

Let  $n$  be greater than 10; for every set  $A \subset [n]$ , such that  $|A| = 10$  and  $a, b \in A$ , we have  $\alpha(G[A]) \leq 48$  (here  $G[A]$  stands for the subgraph of  $G$  containing all the vertices  $v$  such that  $\text{supp } v \subset A$ ). Define  $I[A]$  as the set of vertices  $i$  from  $I$  such that  $\text{supp } i \subset A$ ; note that  $I[A]$  is an independent set. Every vertex from  $I_{ab}$  belongs to  $\binom{n-3}{7}$  different  $A$ , every vertex from  $I_a \cup I_b$  belongs to  $\binom{n-4}{6}$  different  $A$ . Summing up inequalities  $|I[A]| \leq \alpha(G[A]) \leq 48$  over all choices of  $A$  we got

$$\binom{n-3}{7}|I_{ab}| + \binom{n-4}{6}(|I_a| + |I_b|) \leq 48\binom{n-2}{8}$$

which is equivalent to

$$\frac{n-3}{7}|I_{ab}| + (|I_a| + |I_b|) \leq \frac{48}{56}(n-2)(n-3).$$

Finally,

$$\begin{aligned} |I| &= |I_{ab}| + |I_a| + |I_b| \leq \frac{n-3}{7}|I_{ab}| + (|I_a| + |I_b|) \\ &\leq \frac{48}{56}(n-2)(n-3) < 2\binom{n-1}{2}. \end{aligned}$$

□

### 5.4 The Case $k = 3, t = -2$

Example 2 gives us a lower bound  $\alpha[J_{\pm}(n, 3, -2)] \geq 2\binom{n}{2} + 2$ . Note that the Katona averaging method does not give an exact result because of the additional term of a smaller order of growth.

First, note that Theorem 6 in this case gives the bound

$$\alpha[J_{\pm}(n, 3, -2)] \leq 2\binom{n}{3} + 8\binom{n}{2}.$$

527 Indeed, let  $I$  be an independent set in  $J_{\pm}(n, 3, -2)$ . We call a vertex  $v \in I$  *bad* if there  
 528 is another vertex with the same support which differs in exactly two places. Otherwise  
 529 we call a vertex *good*. From Theorem 12 there are at most  $2\binom{n}{3}$  good vertices.

530 Let us show that the number of bad vertices is at most  $8\binom{n}{2}$ . Indeed, each bad vertex  
 531 has a pair of signplaces such that antipodal pair of signplaces contained in another  
 532 vertex. But then all vertices containing one of these two pairs of signplaces must have  
 533 the same third place therefore there are at most  $8\binom{n}{2}$  bad vertices. □

534 Using more accurate double counting we can prove the following upper bound.

535 **Proposition 4** *For  $n \geq 6$  we have*

$$536 \quad \alpha[J_{\pm}(n, 3, -2)] \leq 2\binom{n}{3} + \frac{8}{3}\binom{n}{2}.$$

537 **Proof** A pair of vertices  $v, w \in I$  is called *tangled* if these vertices have the same  
 538 support and differ exactly at two places. Define the *weight*  $c_I(v, i, j)$ , where  $v \in I$   
 539 and  $i, j \in v$ , in the following way:

$$540 \quad c_I(v, i, j) = \begin{cases} 1, & \text{if } v \text{ does not have tangled vertices in } G, \\ 2, & \text{if } v \text{ has a tangled vertex in } G \text{ which differs at places } i, j, \\ 0.5, & \text{otherwise.} \end{cases}$$

541 Note that for a vertex  $v$  sum of corresponding weights is at least 3. Let  $d_{i,j}$  be the sum  
 542 of weight of vertices containing places  $i$  and  $j$  and let us estimate an upper bound for  
 543  $d_{i,j}$ . Then there are three cases which depend on whether there are tangled vertices  
 544 containing places  $i, j$  and whether these vertices have antipodal signs on places  $i, j$ .

545 In the first case there are no tangled vertices in  $I$  which differ in places  $i, j$ . Then  
 546 for any place  $l$  the total weight of vertices with support  $\{i, j, l\}$  is at most 2. Then  
 547  $d_{i,j} \leq 2(n - 2)$ . In the second case there are tangled vertices in  $I$  which contain all  
 548 four pairs of signplaces on places  $i, j$ . Then there are at most 8 vertices containing  
 549 these places and  $d_{i,j} \leq 16$ .

550 In the last case there are two vertices in  $I$  which are antipodal on places  $i, j$  and  
 551 there are no vertices in  $I$  which contain one of the pairs of signplaces on places  $i, j$ .  
 552 Then there are at most 4 vertices which differ in places  $i, j$  and their total weight is at  
 553 most 8. The rest of vertices containing places  $i, j$  have the same signs on these places  
 554 therefore their total weight is at most  $2(n - 2)$ .

555 Therefore,  $d_{i,j} \leq 2n + 4$  and

$$556 \quad 3|I| \leq \sum_{1 \leq i < j \leq n} d_{i,j} \leq \binom{n}{2}(2n + 4) = 6\binom{n}{3} + 8\binom{n}{2}.$$

557 □

## 6 Open Questions

It seems very challenging to find a general method providing the independence number of  $J_{\pm}(n, k, t)$ . Here we discuss questions that seem for us both interesting and relatively easy.

**Small values of the parameters.** The smallest interesting case is  $J_{\pm}(n, 3, -2)$ . We hope that for  $n > n_0$  Example 2 is the best possible, i.e.

$$\alpha[J_{\pm}(n, 3, -2)] = \alpha[K_{\pm}(n, 3, -2)] = 2 \binom{n}{3} + 2.$$

Recall that the last equality is established by Theorem 5.

Another small case leads to the following conjecture.

**Conjecture 1** *Let  $n > n_0$  be an even number. Then*

$$\alpha[J_{\pm}(n, 4, 1)] = 2n(n - 2).$$

Obviously  $\alpha[J_{\pm}(n, 4, 1)] \geq \alpha[J_{\pm}(n, 4, \text{odd})] = 2n(n - 2)$  (see Proposition 2).

**Chromatic numbers.** Usually finding or evaluating the chromatic number is a more complicated problem than finding or evaluating the independence number. In particular Lovász (1978) proved Kneser’s conjecture on the chromatic number of  $K(n, k, 0)$  17 year after Erdős, Ko and Rado determined the independence number of this graph.

In the setting of this paper we have

$$c(k, t)n \leq \frac{|V[J_{\pm}(n, k, t)]|}{\alpha[J_{\pm}(n, k, t)]} \leq \chi[J_{\pm}(n, k, t)] \leq \frac{|V[J_{\pm}(n, k, t)]|}{\alpha[J_{\pm}(n, k, t)]} \log |V[J_{\pm}(n, k, t)]| \leq C(k, t)n \log n$$

for some positive constants  $c(k, t)$ ,  $C(k, t)$ . The second inequality holds since  $J_{\pm}(n, k, t)$  is a vertex-transitive graph (see Lovász 1975).

Recently Cherkashin (2022) proved that  $\log \log n \leq \chi[J_{\pm}(n, 3, -2)] \leq 4 \log \log n + 6$ , which means that the chromatic number of a Johnson-type graph may not coincide with simple general bounds.

**Difference between  $J_{\pm}(n, k, t)$  and  $K_{\pm}(n, k, t)$ .** It turns out that for a negative odd  $t$  Theorems 5 and 8 give

$$\alpha[J_{\pm}(n, k, t)] = \alpha[K_{\pm}(n, k, t)].$$

Does it hold for all negative  $t$ ? Do we have

$$\chi[J_{\pm}(n, k, t)] = \chi[K_{\pm}(n, k, t)]$$

in this case?

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The general comparison of the behavior of independence numbers and chromatic numbers of these graphs is also of interest.

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**Acknowledgements** We thank A. Kupavskii for the patient explanation of his results. We thank G. Kabatiansky for pointing out the notion of Reed–Solomon codes. We are grateful to J. Balogh, G.O.H. Katona, F. Petrov and A. Raigorodskii for their attention to our work. We also thank the anonymous reviewer for a very careful reading of the manuscript. This research is supported by the Russian Science Foundation under grant 16-11-10039.

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