

# Functional differential model of an anaerobic biodegradation process<sup>\*</sup>

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**Abstract.** In this paper we study a nonlinear functional differential model of a biological digestion process, involving two microbial populations and two substrates. We establish the global asymptotic stability of the model solutions towards a previously chosen equilibrium point and in the presence of two different discrete delays. Numerical simulation results are also included.

## 1 Introduction

We consider a well-known anaerobic digestion model for biological treatment of wastewater in a continuously stirred tank bioreactor (cf. for example [2], [3]). Here we include discrete time delays in the equations to model the delay in the conversion of nutrient consumed by the viable biomass. For more detailed motivation see [13], [14] and the references therein. The model is described by the following nonlinear differential equations:

$$\begin{aligned}\frac{d}{dt}s_1(t) &= (s_1^i - s_1(t))u - k_1\mu_1(s_1(t))x_1(t) \\ \frac{d}{dt}x_1(t) &= \mu_1(s_1(t - \tau_1))x_1(t - \tau_1) - \alpha ux_1(t) \\ \frac{d}{dt}s_2(t) &= (s_2^i - s_2(t))u + k_2\mu_1(s_1(t))x_1(t) - k_3\mu_2(s_2(t))x_2(t) \\ \frac{d}{dt}x_2(t) &= \mu_2(s_2(t - \tau_2))x_2(t - \tau_2) - \alpha ux_2(t).\end{aligned}\tag{1}$$

The state variables  $s_1$ ,  $s_2$  and  $x_1$ ,  $x_2$  denote substrate and biomass concentrations, respectively:  $s_1$  is the organic substrate, characterized by its chemical oxygen demand (COD),  $s_2$  denotes the volatile fatty acids (VFA),  $x_1$  and  $x_2$  are the acidogenic and methanogenic bacteria respectively;  $s_1^i$  and  $s_2^i$  are the input substrate concentrations. The constants  $\tau_i \geq 0$ ,  $i = 1, 2$ , stand for the time delay

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in conversion of the corresponding substrate to viable biomass for the  $i$ th bacterial population. The parameter  $\alpha \in (0, 1)$  represents the proportion of bacteria that are affected by the dilution rate  $u$ . The constants  $k_1$ ,  $k_2$  and  $k_3$  are yield coefficients related to COD degradation, VFA production and VFA consumption respectively. For biological evidence,  $s_1^i$  and  $s_2^i$  as well as all parameters in (1) are assumed to be positive.

The functions  $\mu_1(s_1)$  and  $\mu_2(s_2)$  model the specific growth rates of the bacteria. Following [9] we impose the following assumption on  $\mu_1$  and  $\mu_2$ :

**Assumption A1:** For each  $j = 1, 2$  the function  $\mu_j(s_j)$  is defined for  $s_j \in [0, +\infty)$ ,  $\mu_j(0) = 0$ , and  $\mu_j(s_j) > 0$  for each  $s_j > 0$ ; the function  $\mu_j(s_j)$  is bounded and Lipschitz continuous for all  $s_j \in [0, +\infty)$ .

The equations (1) with  $\tau_1 = \tau_2 = 0$  have been already investigated by the authors; thereby, global stabilizability via feedback control is proposed in [4], whereas [5] considers the case of global stabilization of the solutions using constant dilution rate  $u$ . This second approach is now extended to model (1) involving discrete delays  $\tau_j > 0$ ,  $j = 1, 2$ . More precisely, in this paper we define a suitable positive constant  $u_b$  and prove that for any (admissible) value of the dilution rate  $u \in (0, u_b)$  there exists an equilibrium point which is globally asymptotically stable for system (1). To our knowledge, such investigations have not been carried out for this model.

## 2 Global asymptotic stabilizability of the model

We set  $u_b = \frac{1}{\alpha} \min \{ \mu_1(s_1^i), \mu_2(s_2^i) \}$  and make the following

**Assumption A2.** For each point  $\bar{u} \in (0, u_b)$  there exist points  $s_1(\bar{u}) = \bar{s}_1 \in (0, s_1^i)$  and  $s_2(\bar{u}) = \bar{s}_2 \in (0, s_2^i)$ , such that the following equalities hold true

$$\bar{u} = \frac{1}{\alpha} \mu_1(\bar{s}_1) = \frac{1}{\alpha} \mu_2(\bar{s}_2).$$

Assumption A2 is called in [7] regulability of the system.

Let  $\bar{s}_1$  and  $\bar{s}_2$  be determined according to Assumption A2. Compute further

$$x_1(\bar{u}) = \bar{x}_1 = \frac{s_1^i - \bar{s}_1}{\alpha k_1}, \quad x_2(\bar{u}) = \bar{x}_2 = \frac{s_2^i - \bar{s}_2 + \alpha k_2 \bar{x}_1}{\alpha k_3}. \quad (2)$$

Then the point  $p(\bar{u}) = \bar{p} = (\bar{s}_1, \bar{x}_1, \bar{s}_2, \bar{x}_2)$  is a nontrivial (positive) equilibrium point for system (1).

**Assumption A3.** There exist positive numbers  $\nu_1$  and  $\nu_2$  such that the following inequalities hold true

$$\mu_1(s_1^-) < \mu_1(\bar{s}_1) < \mu_1(s_1^+), \quad \mu_2(s_2^-) < \mu_2(\bar{s}_2) < \mu_2(s_2^+)$$

for each

$$s_1^- \in (0, \bar{s}_1), s_1^+ \in (\bar{s}_1, s_1^i + \nu_1], s_2^- \in (0, \bar{s}_2) \text{ and } s_2^+ \in (\bar{s}_2, s_2^i + \nu_2].$$

Assumption A3 is always fulfilled when the functions  $\mu_j(\cdot)$ ,  $j = 1, 2$ , are monotone increasing (like the Monod specific growth rate). If at least one function  $\mu_j(\cdot)$  is not monotone increasing (like the Haldane law) then the points  $\bar{s}_j$  have to be chosen sufficiently small in order to satisfy Assumption A3.

Denote by  $R^+$  the set of all positive real numbers and by  $C_\tau^+$  – the nonnegative cone of continuous functions  $\varphi : [-\tau, 0] \rightarrow R^+$ , where  $\tau = \max\{\tau_1, \tau_2\}$ , and set  $C_\tau^4 := \{\varphi = (\varphi_{s_1}, \varphi_{x_1}, \varphi_{s_2}, \varphi_{x_2}) \in C_\tau^+ \times C_\tau^+ \times C_\tau^+ \times C_\tau^+\}$ .

Let  $\bar{u} \in (0, u_b)$  be chosen in such a way that Assumptions A2 and A3 are satisfied. Denote by  $\Sigma$  the system obtained from (1) by substituting the parameter  $u$  by  $\bar{u}$ . Using the Schauder fixed-point theorem it is easy to prove that for each  $\varphi \in C_\tau^4$  there exists  $\varrho > 0$  and a unique solution  $\Phi(t, \varphi) = (s_1(t, \varphi), x_1(t, \varphi), s_2(t, \varphi), x_2(t, \varphi))$  of (1) defined on  $[-\tau, \varrho]$  such that  $\Phi(t, \varphi) = \varphi(t)$  for each  $t \in [-\tau, 0]$  (cf. Theorem 2.1 in [8]).

We shall prove below that the equilibrium point  $\bar{p}$  is globally asymptotically stable for system  $\Sigma$ .

**Theorem 1.** *Let the Assumptions A1, A2 and A3 be fulfilled and let  $\varphi_0$  be an arbitrary element of  $C_\tau^4$ . Then the corresponding solution  $\Phi(t, \varphi_0)$  is well defined on  $[-\tau, +\infty)$  and converges asymptotically towards  $\bar{p}$ .*

*Proof.* We fix an arbitrary  $\varphi_0 \in C_\tau^4$ . Then there exists  $\varrho > 0$  such that the corresponding solution  $\Phi(t, \varphi_0)$  of  $\Sigma$  (denoted by  $\Phi(t) := (s_1(t), x_1(t), s_2(t), x_2(t))$  for simplicity) is defined on  $[-\tau, \varrho]$ . The proof uses some ideas from [13] and [14]. For the reader's convenience we subdivide the proof in five claims.

**Claim 1.** The components of  $\Phi(t)$  take positive values for each  $t \in [-\tau, \varrho]$ .

**Proof of Claim 1.** If  $s_1(t) = 0$  for some  $t \in [0, \varrho]$ , then  $\dot{s}_1(t) > 0$ . This implies that  $s_1(t) > 0$  for each  $t \in [-\tau, \varrho]$ . Analogously one can obtain that  $s_2(t) > 0$  for each  $t \in [-\tau, \varrho]$ . Since

$$x_j(t) = \varphi_{x_j}(0)e^{-\alpha \bar{u} t} + \int_0^t e^{-\alpha \bar{u}(t-\sigma)} \mu_j(s_j(\sigma - \tau_j)) x_j(\sigma - \tau_j) d\sigma, \quad j = 1, 2,$$

then  $x_j(t) > 0$  for each  $t \in [-\tau, \varrho]$ . This completes the proof of Claim 1.  $\diamond$

**Claim 2.** The solution  $\Phi(t)$  of  $\Sigma$  is defined for each  $t \in [-\tau, +\infty)$  and is bounded.

**Proof of Claim 2.** Denote  $s(t) := k_2 s_1(t) + k_1 s_2(t)$  and  $s^i = k_2 s_1^i + k_1 s_2^i$ . Then  $s(t)$  satisfies the differential equation  $\dot{s}(t) = \bar{u}(s^i - s(t)) - k_1 k_3 \mu_2(s_2(t)) x_2(t)$ . We set  $q_1(t) := s(t) + k_1 k_3 x_2(t + \tau_2) - s^i / \alpha$  and  $q_2(t) := s(t) + k_1 k_3 x_2(t + \tau_2) - s^i$ . Then

$$\dot{q}_1(t) = \bar{u}[s^i - s(t) - \alpha k_1 k_3 x_2(t + \tau_2)] \leq \bar{u}[s^i - \alpha(s(t) + k_1 k_3 x_2(t + \tau_2))] = -\alpha \bar{u} q_1(t),$$

and hence

$$q_1(t) \leq q_1(0) \cdot e^{-\alpha t \bar{u}}. \quad (3)$$

The latter inequality shows that  $q_1(t)$  is bounded. Using the fact that the values of  $s_1(t)$ ,  $s_2(t)$  and  $x_2(t)$  are positive, it follows that  $s_1(t)$ ,  $s_2(t)$  and  $x_2(t)$  are bounded as well. Analogously one can obtain that

$$q_2(t) \geq q_2(0) \cdot e^{-t \bar{u}}. \quad (4)$$

The estimates (3), (4) and the definition of  $s(\cdot)$  imply that for each  $\varepsilon > 0$  there exists  $T_\varepsilon > 0$  such that for each  $t \geq T_\varepsilon$  the following inequalities hold true

$$s^i - \varepsilon < k_2 s_1(t) + k_1 s_2(t) + k_1 k_3 x_2(t + \tau_2) < \frac{s^i}{\alpha} + \varepsilon. \quad (5)$$

It is easy to see (in the same way as the estimates (5)) that for each  $\varepsilon > 0$  there exists a finite time  $T_\varepsilon > 0$  such that for all  $t \geq T_\varepsilon$  the following inequalities hold

$$s_1^i - \varepsilon < s_1(t) + k_1 x_1(t + \tau_1) < \frac{s_1^i}{\alpha} + \varepsilon. \quad (6)$$

The inequalities (6) imply that  $x_1(t)$  is also bounded. Thus the trajectory  $\Phi(t)$  of  $\Sigma$  is well defined and bounded for all  $t \geq -\tau$  (cf. also Theorem 3.1 of [8]). This completes the proof of Claim 2.  $\diamond$

**Claim 3.** There exists  $T_0 > 0$  such that  $s_1(t) < s_1^i$  and  $s_2(t) < s_2^i + k_2 s_1^i / k_1$  for each  $t \geq T_0$ .

**Proof of Claim 3.** First let us assume that there exists  $\bar{t} > 0$  such that  $s_1(t) \geq s_1^i$  for all  $t \geq \bar{t}$ . Then we have

$$\dot{s}_1(t) = \bar{u}(s_1^i - s_1(t)) - k_1 \mu_1(s_1(t)) x_1(t) < 0.$$

Since  $s_1(\cdot)$  and  $x_1(\cdot)$  are bounded differentiable functions defined on  $[-\tau, +\infty)$ , then  $\dot{s}_1(\cdot)$  is an uniformly continuous function. Barbălat's Lemma (cf. [6]) leads to

$$0 = \lim_{t \rightarrow \infty} \dot{s}_1(t) = \lim_{t \rightarrow \infty} [\bar{u}(s_1^i - s_1(t)) - k_1 \mu_1(s_1(t)) x_1(t)].$$

Because  $s_1^i - s_1(t) \leq 0$  and  $x_1(t) > 0$ , the above equalities imply that  $s_1(t) \downarrow s_1^i$  and  $x_1(t) \downarrow 0$  as  $t \uparrow \infty$ . On the other hand, if we set (cf. Lemma 2.2 of [14])

$$z_1(t) := x_1(t) + \int_{t-\tau_1}^t \mu_1(s_1(\sigma)) x_1(\sigma) d\sigma,$$

we obtain according to Assumption 3 that  $\dot{z}_1(t) = x_1(t)(\mu_1(s_1(t)) - \alpha \bar{u}) > 0$  for all  $t \geq \bar{t}$ , and so  $z_1(t) \uparrow z_1^* > 0$  as  $t \uparrow \infty$ . But this is impossible according to the definition of  $z_1(\cdot)$  and because we have already shown that  $x_1(t) \downarrow 0$  as  $t \uparrow \infty$ .

Hence, there exists a sufficiently large  $T_0 > 0$  with  $s_1(T_0) \leq s_1^i$ . Moreover, if the equality  $s_1(\bar{t}) = s_1^i$  holds true for some  $\bar{t} \geq T_0$ , then we have

$$\dot{s}_1(\bar{t}) = \bar{u}(s_1^i - s_1(\bar{t})) - k_1 \mu_1(s_1(\bar{t})) x_1(\bar{t}) = -k_1 \mu_1(s_1(\bar{t})) x_1(\bar{t}) < 0.$$

The last inequality shows that  $s_1(t) < s_1^i$  for each  $t > T_0$ .

Further with  $s(t) = k_2 s_1(t) + k_1 s_2(t)$  and  $s^i = k_2 s_1^i + k_1 s_2^i$  we obtain

$$\dot{s}(t) = \bar{u}(s^i - s(t)) - k_1 k_3 \mu_2(s_2(t)) x_2(t).$$

One can show in the same way as above that  $s(t) < s^i$  for each  $t \geq T_0$  (if necessary  $T_0$  can be enlarged). This establishes Claim 3.  $\diamond$

**Claim 4.** Denote

$$\begin{aligned}\gamma_j &:= \limsup_{t \uparrow \infty} x_j(t), \quad \delta_j := \liminf_{t \uparrow \infty} x_j(t), \quad j = 1, 2 \\ v_1(t) &:= s_1(t) + k_1 x_1(t + \tau_1), \quad v_2(t) := k_2 s_1(t) + k_1 s_2(t) + k_1 k_3 x_2(t + \tau_2), \\ \alpha_j &:= \limsup_{t \uparrow \infty} v_j(t), \quad \beta_j := \liminf_{t \uparrow \infty} v_j(t), \quad j = 1, 2.\end{aligned}$$

Then the following relations hold true:  $\delta_1 > 0$ ,  $\alpha_1 = \beta_1$  and  $\gamma_1 = \delta_1$ ,  $\alpha_2 = \beta_2$  and  $\gamma_2 = \delta_2$ .

**Proof of Claim 4.** Let us assume that  $\delta_1 = 0$ . Choose an arbitrary  $\varepsilon \in (0, (s_1^i - \bar{s}_1)/(1 + k_1))$ . According to Claim 2 (see (6)) there exists  $T_\varepsilon > 0$  such that for all  $t \geq T_\varepsilon$  the following inequalities hold true

$$s_1^i - \varepsilon < s_1(t - \tau_1) + k_1 x_1(t) < \frac{s_1^i}{\alpha} + \varepsilon. \quad (7)$$

Since  $\delta_1 = 0$  there exists  $t_0 > \max(T_\varepsilon, T_0)$  such that  $x_1(t_0) < \varepsilon$ . We set (cf. Lemma 3.5 of [14])

$$\begin{aligned}\sigma &:= \min\{x_1(t) : t \in [t_0 - \tau_1, t_0]\} \\ \bar{t} &:= \sup\{t \geq t_0 - \tau_1 : x_1(\tau) \geq \sigma \text{ for all } \tau \in [t_0 - \tau_1, t]\}.\end{aligned}$$

Clearly  $\sigma \in (0, \varepsilon]$ ,  $\bar{t} \in [t_0 - \tau_1, +\infty)$ ,  $x_1(t) \geq \sigma$  for all  $t \in [t_0 - \tau_1, \bar{t}]$  and

$$x_1(\bar{t}) = \sigma, \quad \dot{x}_1(\bar{t}) \leq 0. \quad (8)$$

Taking into account (7) and the choice of  $\varepsilon$ , we obtain consecutively

$$\begin{aligned}s_1^i &> s_1(\bar{t} - \tau_1) \geq s_1^i - k_1 x_1(\bar{t}) - \varepsilon \geq s_1^i - (1 + k_1)\varepsilon > \bar{s}_1, \\ \dot{x}_1(\bar{t}) &= \mu_1(s_1(\bar{t} - \tau_1))x_1(\bar{t} - \tau_1) - \alpha \bar{u} x_1(\bar{t}) > \alpha \bar{u} \sigma - \alpha \bar{u} \sigma = 0.\end{aligned}$$

The last inequality contradicts (8), which means that  $\delta_1 > 0$ .

The proof of the equalities  $\alpha_j = \beta_j$  and  $\gamma_j = \delta_j$ ,  $j = 1, 2$ , is based on similar ideas used in the proofs of Lemma 4.3 of [14] and Theorem 3.1 of [13], so we omit it here due to the limited paper length.  $\diamond$

**Claim 5.** The equilibrium point  $\bar{p}$  is locally asymptotically stable for all values of the delays  $\tau_1 \geq 0$  and  $\tau_2 \geq 0$ .

**Proof of Claim 5.** Denote for simplicity  $a = k_1 \mu_1'(\bar{s}_1) \bar{x}_1$  and  $b = k_3 \mu_2'(\bar{s}_2) \bar{x}_2$ . It follows from Assumption A3 that  $a > 0$  and  $b > 0$  hold true. The characteristic equation of  $\Sigma$  corresponding to the equilibrium point  $\bar{p}$  has the form

$$0 = P(\lambda; \tau_1, \tau_2) = P_1(\lambda; \tau_1) \times P_2(\lambda; \tau_2),$$

where  $\lambda$  is a complex number and

$$\begin{aligned}P_1(\lambda; \tau_1) &= \lambda^2 + (\bar{u} + a + \alpha \bar{u})\lambda + \alpha \bar{u}(\bar{u} + a) - \alpha \bar{u}(\bar{u} + \lambda)e^{-\lambda \tau_1}, \\ P_2(\lambda; \tau_2) &= \lambda^2 + (\bar{u} + b + \alpha \bar{u})\lambda + \alpha \bar{u}(\bar{u} + b) - \alpha \bar{u}(\bar{u} + \lambda)e^{-\lambda \tau_2}.\end{aligned}$$

First it is straightforward to see that if  $\tau_1 = \tau_2 = 0$  then there exist no roots  $\lambda$  of  $P(\lambda; \tau_1, \tau_2) = 0$  with  $Re(\lambda) \geq 0$ . Let  $\tau_1 > 0$  and  $\tau_2 > 0$ . We are looking for purely imaginary roots  $\lambda = i\omega$  of  $P_j(\lambda; \tau_j) = 0$  with  $\omega > 0$ ,  $j = 1, 2$ . For  $P_1(i\omega; \tau_1) = 0$  we obtain

$$\begin{aligned} -\omega^2 + (\bar{u} + a + \alpha\bar{u})i\omega + \alpha\bar{u}(\bar{u} + a) - \alpha\bar{u}(\bar{u} + i\omega)e^{-i\omega\tau_1} &= 0, \\ -\omega^2 + (\bar{u} + a + \alpha\bar{u})i\omega + \alpha\bar{u}(\bar{u} + a) - \alpha\bar{u}(\bar{u} + i\omega)(\cos(\tau_1\omega) - i\sin(\tau_1\omega)) &= 0. \end{aligned}$$

Separating the real and the imaginary parts of the last equation implies

$$\begin{aligned} -\omega^2 + \alpha\bar{u}(\bar{u} + a) &= \alpha\bar{u}^2 \cos(\tau_1\omega) + \alpha\bar{u}\omega \sin(\omega\tau_1) \\ (\bar{u} + a + \alpha\bar{u})\omega &= -\alpha\bar{u}^2 \sin(\tau_1\omega) + \alpha\bar{u}\omega \cos(\omega\tau_1). \end{aligned} \tag{9}$$

Squaring both sides of the equations (9) and adding leads to

$$\omega^4 + (\bar{u} + a)^2\omega^2 + \alpha^2\bar{u}^2a(2\bar{u} + a) = 0.$$

Obviously, the latter equation does not possess positive real roots since  $a > 0$ . The same conclusion holds true for  $P_2(i\omega; \tau_2) = 0$ . Therefore,  $P(\lambda; \tau_1, \tau_2) = 0$  does not have purely imaginary roots for any  $\tau_1 > 0$  and  $\tau_2 > 0$ . Applying Theorem 3 and Corollary 4 from [10] (see also [11], [12] for similar results) to the exponential polynomial  $P(\lambda; \tau_1, \tau_2)$  we obtain that the characteristic equation does not have roots with nonnegative real parts. This means that for any  $\tau_1 \geq 0$  and  $\tau_2 \geq 0$  the equilibrium  $\bar{p}$  is locally asymptotically stable.  $\diamond$

The local asymptotic stability of the equilibrium  $\bar{p}$  together with the convergence of the solution  $\Phi(t)$  and the attractivity of  $\bar{p}$ , proved above throughout Claims 1 to 4, imply that  $\bar{p}$  is globally asymptotically stable.

The proof of Theorem 1 is completed.  $\blacklozenge$

### 3 Computer simulation

Consider the following specific growth rate functions in the model (1), taken from [1], [2] and [3]:

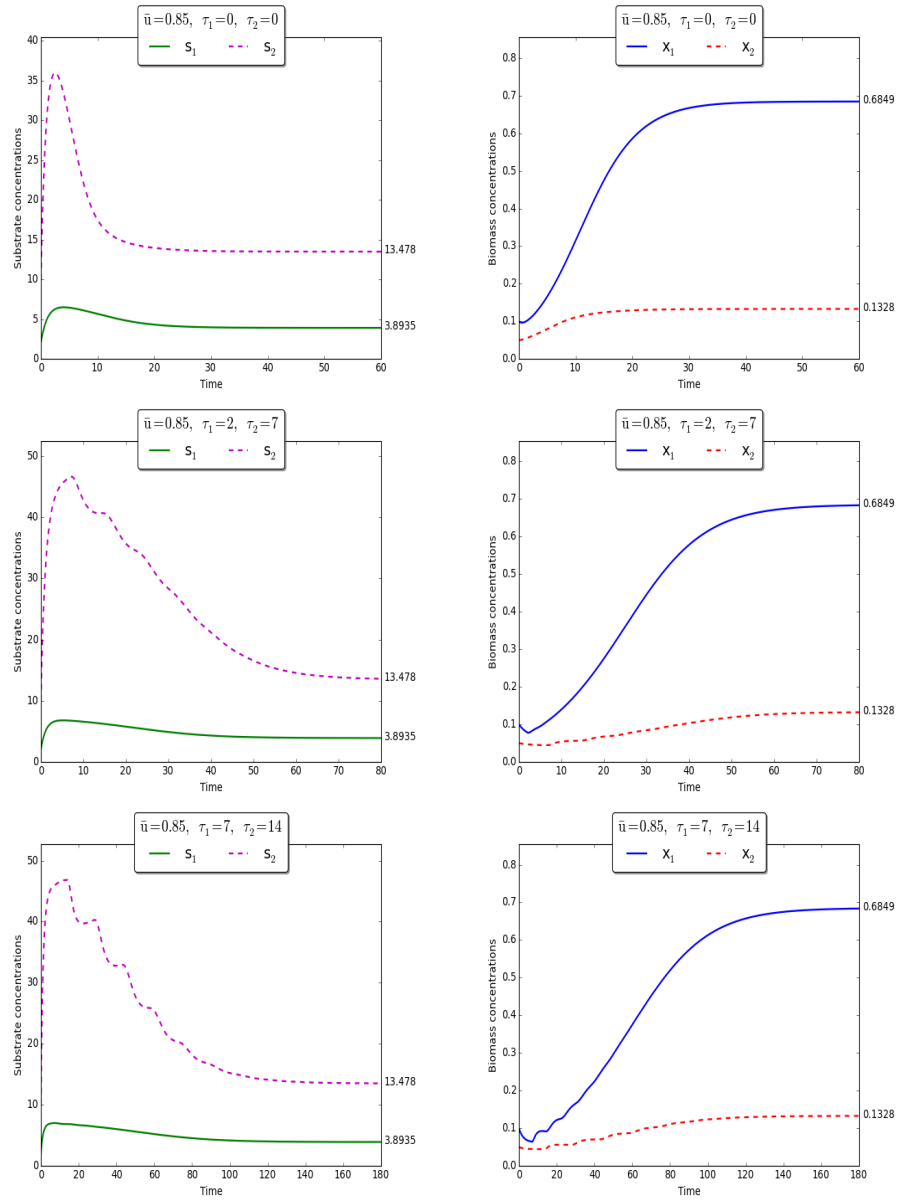
$$\mu_1(s_1) = \frac{m_1 s_1}{k_{s_1} + s_1} \text{ (Monod law)}, \quad \mu_2(s_2) = \frac{m_2 s_2}{k_{s_2} + s_2 + (s_2/k_I)^2} \text{ (Haldane law)}.$$

In the simulation process we shall use the following numerical values for the model coefficients, which are obtained by real experiments and given in [1]:

$$\begin{array}{llllll} k_1 = 10.53 & k_2 = 28.6 & k_3 = 1074 & s_1^i = 7.5 & s_2^i = 75 & \alpha = 0.5 \\ m_1 = 1.2 & k_{s_1} = 7.1 & m_2 = 0.74 & k_{s_2} = 9.28 & k_I = 16 & \end{array}$$

Within the above coefficient values we compute the admissible upper bound  $u_b = 1.044$  for  $u$ , thus  $u \in (0, 1.044)$ .

As an example let us take  $\bar{u} = 0.85$ . Then the corresponding internal equilibrium is  $\bar{p} = (3.893548387, 0.6849860613, 13.47803015, 0.1328068353)$ . Using the initial conditions  $\varphi_{s_1}(t) = 2$ ,  $\varphi_{x_1}(t) = 0.1$  for  $t \in [-\tau_1, 0]$ , and  $\varphi_{s_2}(t) = 10$ ,  $\varphi_{x_2}(t) = 0.05$  for  $t \in [-\tau_2, 0]$ , we consider different values for the delays  $\tau_1 \geq 0$  and  $\tau_2 \geq 0$ . The numerical outputs are visualized in the next Figure 1.



**Fig. 1.** Time evolution of  $s_1(t)$ ,  $s_2(t)$  (left) and  $x_1(t)$ ,  $x_2(t)$  (right)

## 4 Conclusion

In this paper we investigate a bioreactor model for wastewater treatment by anaerobic digestion. The model equations (1) involve discrete delays, describing the time delay in nutrient conversion to viable biomass. Using a properly

chosen admissible value for the dilution rate  $\bar{u}$  we prove the global convergence of the solutions towards an equilibrium point, corresponding to  $\bar{u}$ . To authors' knowledge, such kind of investigations have not been yet fulfilled for this delay bioreactor model. Numerical simulation is included to confirm the theoretical results.

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