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ON LINEAR OPERATORS ACTING IN SPACES OF ANALYTIC FUNCTIONS AND COMMUTING WITH EULER'S OPERATOR

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In memory of our teacher Y. A. Tagamlitzki

1. Preliminary notes. Let G be a bounded domain in the complex plane \mathbb{C} and $A(G)$ denote the space of functions $f(z)$ which are analytic in G . Let us denote the space of polynomials in \mathbb{C} by S and assume that $A(G)$ is endowed with the topology of uniform convergence on the compacts of G .

In paper [1] the general form of the operators $L: S \rightarrow S$ commuting with the operator of differentiation $\mathcal{D} = d/dz$ was found, and in [2] A. V. Bratishchev and Yu. F. Korobeinik proved that it is the same as for the linear operators $L: A(G) \rightarrow A(G)$ continuous in some weak sense and commuting with the operator \mathcal{D} . (They suppose that the domain G is simply-connected.)

In the present paper a similar result is obtained for operators in $A(G)$ commuting with the Euler operator $E = a_0 z \mathcal{D} + a_1 I$, where $a_0 \neq 0$ and a_1 are complex constants and I is the identity in $A(G)$. This result generalizes the results of [3] in the same sense in which Bratishchev and Korobeinik generalized the results of [1]. With its help the question of the minimal commutativity of the Euler operator in the algebra of the linear operators $L: A(G) \rightarrow A(G)$ is settled.

The results of the present paper were announced in [4]. Here the same results are given in detail and complete proofs.

2. Description of the structures and two definitions. Let M be a \mathbb{C} -linear set (for instance in $A(G)$) and A and B be linear operators acting from M to M . We denote by $F(M)$ the algebra whose elements are all linear operators $L: M \rightarrow M$. The algebraic operations in $F(M)$ are the usual ones with operators $(AB)y := A(By)$ and so on. Let a convergence h^* be introduced in a subalgebra $Z \subseteq F(M)$ in such a way that $B_n \xrightarrow{h^*} B$ implies $PB_n \xrightarrow{h^*} PB$ and $B_n Q \xrightarrow{h^*} BQ$ for arbitrary operators P and Q of the algebra Z . Obviously, in such a case, if the operators B_n commute with a given operator A , i. e. $B_n A = AB_n$ and $B_n \xrightarrow{h^*} B$, then the limit operator B commutes with A too, i. e. $BA = AB$. In addition, in this case every operator of the type

$$(1) \quad B = (h^*) \sum_{k=0}^{\infty} r_k(A),$$

where $A \in Z$ and $r_k(A)$, $k=0, 1, 2, \dots$, are polynomials of A , commutes with the operator A . Indeed, every operator B of type (1) is h^* -limit of the partial sums $S_n = \sum_{k=0}^n r_k(A)$, i. e. $S_n \xrightarrow{h^*} B$ and $BA = AB$ follows immediately from the obvious relation $S_n A = A S_n$.

The operators of type (1) are polynomially generated by A . The operators of a given algebra Z whose commutants are composed by their corresponding

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polynomially-generated operators only are of a special interest. We introduce the following

Definition 1. An operator $A \in Z$ is called a *minimally commuting element of the algebra Z* , if its commutant in Z includes operators of type (1) only.

Before giving the next definition, let us denote by h the convergence generated by the topology of the space $A(G)$; we will write $y = (h\text{-}\lim) y_n$ or $y_n \xrightarrow{h} y$, if the sequence $\{y_n\}_{n=1}^{\infty}$, $y_n \in A(G)$ is h -convergent to the function $y \in A(G)$, i. e. if this sequence is uniformly convergent to y on every compact $K \subseteq G$. We will denote by $[S]_{A(G)}$ the set of functions $y \in A(G)$, which are h -limits of sequences of polynomials in $A(G)$. According to the Runge approximation theorem (c. f. [5]), if G is a simply connected domain in \mathbb{C} , $[S]_{A(G)} = A(G)$ holds. This circumstance explains the great interest in the space $[S]_{A(G)}$.

Definition 2. An operator $L \in F(M)$, $M \supseteq S$ is called *continuous in the sense of Bratishchev and Korobeinik* or *m -continuous operator*, if the equality

$$(2) \quad (Ly)(z) = \lim_{n \rightarrow \infty} (Ly_n)(z), \quad z \in G,$$

holds for every function $y \in [S]_{A(G)}$ and for every sequence $\{y_n\}_{n=1}^{\infty}$, $y_n \in S$ such that $y = (h\text{-}\lim) y_n$.

3. A property of the operators $L: S \rightarrow S$ commuting with the Euler operator and having m -continuous extension in the space $A(G)$. We have proved in [3] that an operator $L: S \rightarrow S$ commutes with the Euler operator, if it admits a representation of the type

$$(3) \quad (Ly)(z) = \sum_{k=0}^{\infty} b_k z^k y^{(k)}(z), \quad \forall z \in \mathbb{C}, \forall y \in S,$$

where $\{b_k\}_{k=0}^{\infty}$ is a sequence of complex constants.

We shall establish here that if an operator of type (3) admits a m -continuous extension in the space $A(G)$, then its corresponding sequence is convergent of some order to zero.

Theorem 1. Let G be a bounded domain in \mathbb{C} and $0 \notin \overline{\text{conv}}(G)$. If $L: A(G) \rightarrow A(G)$ is a m -continuous linear operator, which acts in S according to the formula

$$(4) \quad (Ly)(t) = \sum_{k=0}^{\infty} d_k t^k y^{(k)}(t), \quad \forall t \in G, \forall y \in S,$$

where $\{d_k\}_{k=0}^{\infty}$ is a sequence of complex constants, then the asymptotic equality

$$(5) \quad |d_k|^{1/k} = o(k^{-1}), \quad k \rightarrow \infty,$$

holds ($\overline{\text{conv}}(G)$ is the closed convex hull of G).

Lemma 1. Let G be a bounded domain in \mathbb{C} and $0 \notin \overline{\text{conv}}(G)$. Then for every complex number $c \neq 0$ there exists a point t^c such that $t^c \in G$ and $(c+1)t^c \notin \overline{\text{conv}}(G)$.

Proof. Suppose the opposite holds: there exists a number $c = c_0 \neq 0$ such that $(c_0+1)G \subseteq \overline{\text{conv}}(G)$. Then $\overline{\text{conv}}[(c_0+1)G] \subseteq \overline{\text{conv}}[\overline{\text{conv}}(G)]$, i. e.

$$(6) \quad (c_0+1)\overline{\text{conv}}(G) \subseteq \overline{\text{conv}}(G).$$

Applying (6) n -times, we obtain the inclusion

$$(7) \quad (c_0 + 1)^n \overline{\text{conv}}(G) \subseteq \overline{\text{conv}}(G), \quad n \in \mathbb{N}.$$

Now, because of (7), for $x \in \overline{\text{conv}}(G)$ is fulfilled $(c_0 + 1)^n x \in \overline{\text{conv}}(G)$. If $|c_0 + 1| < 1$, letting $n \rightarrow \infty$, we obtain the contradiction $0 \in \overline{\text{conv}}(G)$. Similarly, if $|c_0 + 1| > 1$, letting $n \rightarrow \infty$, we find that G is not bounded, which is another contradiction. If $|c_0 + 1| = 1$, by using the assumption $0 \notin \overline{\text{conv}}(G)$, we obtain the contradiction $c_0 = 0$. Thus Lemma 1 is proved.

Proof of Theorem 1. We denote by $U(p; q)$ the disc of centre p and radius q . Now, if $z_0 \in G$ ($z_0 \neq 0$), let us consider the disc $U(z_0; \theta|z_0|)$, where the positive number θ is such that $G \subseteq U(z_0; \theta|z_0|)$. Then $|z/z_0 - 1| < \theta, \forall z \in G$ and the series $\bar{y}(z) = \sum_{k=0}^{\infty} 1/(z_0^k \theta^k)(z - z_0)^k$ is h -convergent in the disc $U(z_0; \theta|z_0|)$, i. e.

$$\bar{y}(z) = (h\text{-}\lim_{n \rightarrow \infty}) P_n(z), \quad P_n(z) = \sum_{k=0}^n 1/(\theta^k z_0^k)(z - z_0)^k \in S.$$

Hence, since the operator L is m -continuous, it follows

$$(8) \quad (L\bar{y})(z) = \lim_{n \rightarrow \infty} (LP_n)(z), \quad \forall z \in G.$$

From (8), according to (4), we have

$$\begin{aligned} (L\bar{y})(z_0) &= \lim_{n \rightarrow \infty} \sum_{k=0}^{\infty} d_k z_0^k P_n^{(k)}(z_0) \\ &= \lim_{n \rightarrow \infty} \sum_{k=0}^n d_k z_0^k k! / (\theta^k z_0^k) = \lim_{n \rightarrow \infty} \sum_{k=0}^n d_k k! / \theta^k. \end{aligned}$$

Consequently, the series $\sum_{k=0}^{\infty} d_k k! / \theta^k$ converges to $(L\bar{y})(z_0)$ and the inequality

$$(9) \quad \lim_{k \rightarrow \infty} |d_k k!|^{1/k} \leq \theta$$

holds. Because of the inequality (9), the series $\sum_{k=0}^{\infty} d_k k! / z^{k+1}$ determines a function

$$(10) \quad B(z) = \sum_{k=0}^{\infty} d_k k! / z^{k+1},$$

which is analytic in the domain $\{z: \theta < |z| \leq \infty\}$. We shall prove that it is possible to extend this function analytically in the domain $\{z: 0 < |z| \leq \infty\}$. It is sufficient to establish that for every $c \in \mathbb{C}, 0 < |c| \leq \theta$ there exist numbers α and r and a function $T_c(Z)$ such that the following propositions hold:

- a) $T_c(z)$ is analytic in the domain $\{z: |z - \alpha| > r\}$;
- b) $|c - \alpha| > r$;
- c) $T_c(z) = B(z)$, if $|z|$ is sufficiently large.

Indeed, let c be a fixed number such that $0 < |c| \leq \theta$. According to Lemma 1, there exists a point t^c such that $t^c \in G$ and $(c + 1)t^c \notin \overline{\text{conv}}(G)$. Let us consider a disc $U(a; \lambda)$ such that

$$(11) \quad G \subseteq U(a; \lambda), \quad \overline{\text{conv}}(G) \subseteq \overline{U(a; \lambda)}, \quad (c + 1)t^c \notin U(a; \lambda).$$

Now we put $a = a/t^c - 1, r = \lambda/|t^c|$,

$$T_c(z) = \sum_{k=0}^{\infty} b_k / (z - \alpha)^{k+1},$$

where the right-hand side is Laurent's series of the function $B(z)$ in the domain $\{z: \theta + |\alpha| < |z - \alpha| < \infty\}$ (it is not difficult to prove that this series doesn't contain non-negative powers of $z - \alpha$). The proposition c) is obvious, whereas the proposition b) is equivalent to the inequality $|(c+1)t^c - a| > \lambda$, which is true according to (11).

In order to prove a), let us take $R > |\alpha| + \theta$ and calculate

$$b_k = 1/(2\pi i) \int_{|z-\alpha|=R} B(z)(z-\alpha)^k dz.$$

According to (10), we obtain

$$\begin{aligned} b_k &= 1/(2\pi i) \int_{|z-\alpha|=R} \left(\sum_{v=0}^{\infty} v! d_v / z^{v+1} \right) \left(\sum_{s=0}^k \binom{k}{s} z^s (-\alpha)^{k-s} \right) dz \\ &= \sum_{v=0}^{\infty} \sum_{s=0}^k v! d_v \binom{k}{s} (-\alpha)^{k-s} 1/(2\pi i) \int_{|z-\alpha|=R} z^s / z^{v+1} dz. \end{aligned}$$

Thus, because of

$$\int_{|z-\alpha|=R} z^s / z^{v+1} dz = \begin{cases} 2\pi i, & v = s, \\ 0, & v \neq s, \end{cases}$$

we obtain the equality

$$(12) \quad b_k = \sum_{v=0}^k v! d_v (-\alpha)^{k-v} \binom{k}{v}.$$

On the other hand, because of (4)

$$\begin{aligned} (L [\sum_{s=0}^k (z-t)^s / t^s \binom{k}{s} (-\alpha)^{k-s}])(t) &= \sum_{v=0}^{\infty} d_v t^v [\sum_{s=0}^k (z-t)^s / t^s \binom{k}{s} (-\alpha)^{k-s}]_{z=t}^{(v)} \\ &= \sum_{v=0}^k d_v t^v (v! / t^v) \binom{k}{v} (-\alpha)^{k-v} = \sum_{v=0}^k d_v v! \binom{k}{v} (-\alpha)^{k-v}. \end{aligned}$$

From this and (12) for $t = t^c$ we obtain

$$(13) \quad \begin{aligned} b_k &= (L [((z - t^c) / t^c - \alpha)^k])(t^c) = (L [(z / t^c - 1 - (\alpha / t^c - 1))^k])(t^c). \\ &= (L [((z - \alpha) / t^c)^k])(t^c). \end{aligned}$$

Now having (13) and the fact that L is m -continuous, we prove that the series

$$(14) \quad \sum_{k=0}^{\infty} b_k / r^{k+1} = 1/r \sum_{k=0}^{\infty} (L [((z - \alpha) / (rt^c))^k])(t^c)$$

is convergent. In fact, the n -th partial sum of the series (14) is

$$\sum_{k=0}^n (L [((z - \alpha) / (rt^c))^k])(t^c) = \left(\sum_{k=0}^n L [((z - \alpha) / (rt^c))^k] \right)(t^c) = (L [\sum_{k=0}^n ((z - \alpha) / (rt^c))^k])(t^c).$$

The inequality $|(z - \alpha) / (rt^c)| < 1$ holds in the disc $U(a; \lambda)$ and, consequently, in the domain G . The sequence of the polynomials $y_n(z) = \sum_{k=0}^n ((z - \alpha) / (rt^c))^k$ is h -convergent to the function $\varphi(z) = \sum_{k=0}^{\infty} ((z - \alpha) / (rt^c))^k$. As the operator L is m -

continuous, the limit $\lim_{n \rightarrow \infty} (Ly_n)(z) = (L\varphi)(z)$, $\forall z \in G$, exists and the series (14) is convergent. So a) is proved too. So we have proved that the series (10) can be analytically extended in the domain $\{0 < |z| \leq \infty\}$. Consequently, the equality (15)

$$\lim_{k \rightarrow \infty} (|d_k| k!)^{1/k} = 0$$

holds.

From (15), applying Stirling's formula $k! = (2\pi k)^{1/2} (k/e)^k e^{\theta/12}$, $\theta \in (0,1)$ we obtain the equality (5). Theorem 1 is proved.

The following theorem will be of further use.

Theorem 2. *If a sequence $\{d_k\}_{k=1}^{\infty}$, $d_k \in \mathbb{C}$ satisfies the condition (5), then the series $\sum_{k=0}^{\infty} d_k z^k y^{(k)}(z)$ is convergent for every $z \in G$ and every function $y(z)$ from $A(G)$. In this case the operator $\Lambda: A(G) \rightarrow A(G)$, acting according to the formula*

$$(16) \quad (\Lambda y)(z) = \sum_{k=0}^{\infty} d_k z^k y^{(k)}(z), \quad \forall y \in A(G), \quad \forall z \in G.$$

is (h, h) -continuous extension of the operator (3).

Proof. Let $y(z)$ be an arbitrary function from $A(G)$ and $z_0 \in G$. Let us consider the circumference Γ with centre z_0 and small enough radius b . Applying Cauchy's integral formula and denoting by M_i , $i=1, 2$, large enough constants, we obtain the estimate

$$\begin{aligned} |d_k z_0^k y^{(k)}(z_0)| &\leq |d_k| |z_0|^k |k! / (2\pi i) \int_{\Gamma} y(\tau) / (\tau - z_0)^{k+1} d\tau| \\ &\leq |d_k| |z_0|^k k! / (2\pi) \max_{\Gamma} |y(z)| / b^{k+1} 2\pi b \leq |d_k| k! M_1^k M_2, \end{aligned}$$

which proves the first part of Theorem 2, because with the help of Stirling's formula we can easily obtain that

$$\lim_{k \rightarrow \infty} (|d_k| k! M_1^k)^{1/k} = \lim_{k \rightarrow \infty} (|d_k|^{1/k} / k^{-1}) k^{-1} (2\pi k)^{1/(2k)} k/e e^{\theta/(12k)} M_1 = 0.$$

In order to prove that the operator (16) is (h, h) -continuous, let us choose an arbitrary sequence $\{y_n\}_{n=1}^{\infty}$, $y_n \in A(G)$, which is h -convergent to a function $y \in A(G)$. Fixing some compact $K \subseteq G$, consider the sequence

$$(17) \quad \lambda_n = \max_{z \in K} |(\Lambda y_n)(z) - (\Lambda y)(z)|.$$

It is enough to prove that $\lim \lambda_n = 0$ if $K \subseteq G$. Fixing some other compact K_1 such that $K \subset K_1$, $K_1 \subset G$ and applying Cauchy's integral formula to the function $y_n(z) - y(z)$, we obtain the estimate

$$(18) \quad \max_{z \in K} |y_n^{(k)}(z) - y^{(k)}(z)| \leq k! / b^k A \max_{z \in K_1} |y_n(z) - y(z)|,$$

where A and b are constants independent on n and K .

From (17) and (16), according to estimate (18), we obtain

$$\begin{aligned} (19) \quad \lambda_n &= \max_{z \in K} \left| \sum_{k=0}^{\infty} d_k z^k (y_n^{(k)}(z) - y^{(k)}(z)) \right| \leq \max_{z \in K} \left(\sum_{k=0}^{\infty} |d_k| |z|^k |y_n^{(k)}(z) - y^{(k)}(z)| \right) \\ &\leq \sum_{k=0}^{\infty} |d_k| r^k \max_{z \in K} |y_n^{(k)}(z) - y^{(k)}(z)| \leq \sum_{k=0}^{\infty} |d_k| r^k (k! A) / b^k \max_{z \in K_1} |y_n(z) - y(z)| \\ &\leq A \max_{z \in K_1} |y_n(z) - y(z)| \sum_{k=0}^{\infty} |d_k| k! (r/b)^k \left(r = \sup_G |z|, \quad b = \frac{1}{2} \text{dist}(K, \partial K_1) \right). \end{aligned}$$

When proving the first part of this theorem, it became clear that this last series is absolutely convergent. Denoting its sum by σ , from (19) we obtain the estimate

$$(20) \quad \lambda_n \leq A\sigma \max_{z \in K_1} |y_n(z) - y(z)|.$$

Now, from (20) we obtain $\lim_{n \rightarrow \infty} \lambda_n = 0$; because the h -convergence $y_n \rightarrow y$ implies that $\lim_{n \rightarrow \infty} \max_{z \in K_1} |y_n(z) - y(z)| = 0$ for every compact $K_1 \subseteq G$. Theorem 2 is proved.

Corollary 1. *Under the assumptions of Theorem 2 the spaces S and $[S]_{A(G)}$ are invariant subspaces of the operator Λ .*

The invariance of the space S is obvious, and the invariance of the space $[S]_{A(G)}$ is directly implied by the (h, h) -continuity of the operator Λ .

4. General formula of the m -continuous linear operators acting from $[S]_{A(G)}$ to $A(G)$ and commuting with the Euler operator. Let Q be again a bounded domain in \mathbb{C} and $0 \notin \text{conv}(G)$. Let us consider the Euler operator $E: A(G) \rightarrow A(G)$, which acts according to the formula

$$(21) \quad (Ey)(t) = a_0 t y'(t) + a_1 y(t), \quad \forall y \in A(G), \quad \forall t \in G,$$

where $a_0 \neq 0$ and a_1 are arbitrary complex numbers.

Theorem 3. *Let $L: [S]_{A(G)} \rightarrow A(G)$ be a m -continuous linear operator and $ELy = LEy$, $\forall y \in S$. Then there exists a sequence $\{d_k\}_{k=0}^{\infty}$, $d_k \in \mathbb{C}$ such that the equality (5) and the representation*

$$(Ly)(t) = \sum_{k=0}^{\infty} d_k t^k y^{(k)}(t), \quad \forall y \in [S]_{A(G)}$$

hold.

Proof. First we shall prove that S is an invariant subspace of the operator L . It is enough to establish that $\varphi_k(z) := (Lz^k)(z) \in S$, $\forall k = 0, 1, 2, \dots$. The equality $ELz^k = LEz^k$ implies at once that $\varphi_k(z)$ satisfies the differential equation

$$k\varphi_k(z) = z\varphi_k'(z), \quad k = 0, 1, 2, \dots,$$

which we can rewrite as follows

$$(22) \quad (\varphi_k(z)/z^k)' = 0, \quad k = 0, 1, 2, \dots$$

From (22), because of the fact that the domain G is connected, we obtain $\varphi_k(z) = c_k z^k$, $c_k = \text{const}$, $k = 0, 1, 2, \dots$. Consequently, $L(S) \subseteq S$. So, considering the operator L over S only, we can claim that a linear operator acts from S into S and commutes with the Euler operator. According to Theorem 1 from our paper [3] the operator L acts over S according to the formula (4), in which $\{d_k\}$ is a sequence of complex numbers. From here, in view of the fact that the operator L is m -continuous and applying Theorem 1 (from the present paper), we obtain the asymptotic equality (5).

According to (5) and Theorem 2, we conclude that $\Lambda: A(G) \rightarrow A(G)$ (see (16)) is (h, h) -continuous and the equality

$$(23) \quad (Ly)(z) = (\Lambda y)(z), \quad \forall z \in G, \quad \forall y \in S$$

holds. Now we have still to prove that (23) holds for $y \in [S]_{A(G)}$ too.

Let $y \in [S]_{A(G)}$ and the sequence $\{y_n\}_{n=1}^{\infty}$, $y_n \in S$ be h -convergent to y . Applying the m -continuity of the operator L , equality (23) and (h, h) -continuity of the operator Λ , we obtain

$$(Ly)(z) = \lim_{n \rightarrow \infty} (Ly_n)(z) = \lim_{n \rightarrow \infty} (\Lambda y_n)(z) = (\Lambda y)(z), \quad \forall z \in G.$$

Theorem 3 is proved.

The following theorem is inverse to Theorem 3 in some sense.

Theorem 4. Let G be a domain in \mathbb{C} , M a subspace of the space $A(G)$, for example $M = [S]_{A(G)}$, $M = A(G)$. Let $E^{-1}(M) = \{y \in A(G) : Ey \in M\}$, where $E = a_0 t \mathcal{D} + a_1 I$ is the Euler operator. If the operator $L: M \rightarrow A(G)$ is defined by the equality

$$(24) \quad (Ly)(z) = \sum_{k=0}^{\infty} d_k z^k y^{(k)}(z), \quad \forall z \in G, \forall y \in M, |d_k|^{1/k} = o(k^{-1}), k \rightarrow \infty,$$

then $LEy = ELy$, $\forall y \in M_1 := M \cap E^{-1}(M)$.

Proof. In view of the above conditions we conclude that we may differentiate series (24) for every $y \in M$ (even for $\forall y \in A(G)$). So we end the proof by a direct comparison of the representations of LEy and ELy .

Let us now assume that $E \in Z$, where Z is a certain algebra of m -continuous linear operators $L: [S]_{A(G)} \rightarrow [S]_{A(G)}$ such that $L(S) \subseteq S$. Further we introduce h^* -convergency of a sequence $\{L_n\}_{n=1}^{\infty} \subseteq Z$; such a sequence we call h^* -convergent to an operator $L \in Z$, if $Ly = (h\text{-}\lim_{n \rightarrow \infty})(L_n y)$, $\forall y \in [S]_{A(G)}$.

Theorem 5. Let the hypotheses of Theorem 3 hold for a domain G . Then the Euler operator E is a h^* -minimally commuting element of the algebra Z .

The proof immediately follows from the proposition that the operators $E_k: [S]_{A(G)} \rightarrow [S]_{A(G)}$ ($E_k y)(t) = t^k y^{(k)}(t)$, $t \in G$, $k \geq 0$, are polynomials of the operator E . We obtain the last fact from the equalities $E_{k+1} = E_1 E_k - k E_k$, $k = 1, 2, \dots$

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