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## POLYNOMIAL IDENTITIES OF FINITE DIMENSIONAL ALGEBRAS

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We study the varieties of unitary associative algebras over a field of characteristic zero and with a polynomial identity of degree four. The main result is that such a variety is generated by a finite dimensional algebra if and only if it satisfies a standard identity. Analogous results are obtained for the subvarieties of the variety defined by the commutator  $[x_1, \dots, x_d] = 0$  and for Lie algebra subvarieties of  $\text{var } sl_2$ .

**1. Introduction.** A class  $\mathcal{U}$  of associative algebras is called a variety if  $\mathcal{U}$  consists of all algebras satisfying a given system of polynomial identities. By the Birkhoff theorem,  $\mathcal{U}$  is a variety if and only if  $\mathcal{U}$  is closed with respect to the cartesian products ( $C$ ), the subalgebras ( $S$ ) and the quotient algebras ( $Q$ ), i. e.  $\mathcal{U} = QSC\mathcal{U}$ . Two problems immediately arise: (i) Given an algebra  $R$ , find the polynomial identities of  $\mathcal{U} = \text{var } R = QSC(R)$ ; (ii) For a variety  $\mathcal{U}$  with a T-ideal of identities  $U = T(\mathcal{U})$ , find an algebra  $R$  such that  $\mathcal{U} = \text{var } R$ . The problem (ii) can be answered trivially, namely that  $\mathcal{U} = \text{var } F(\mathcal{U})$ , where  $F(\mathcal{U}) = K\langle X \rangle / T(\mathcal{U})$  is the relatively free algebra of countable rank in  $\mathcal{U}$ . We are interested when a variety of unitary algebras over a field of characteristic zero is generated by some finite dimensional algebra. Bearing in mind that any finite dimensional algebra satisfies a standard identity, we ask the converse question:

If a variety  $\mathcal{U}$  satisfies a standard identity, is  $\mathcal{U}$  generated by a finite dimensional algebra?

That seems to be a very difficult problem. The purpose of this paper is to give an affirmative answer for the varieties with a polynomial identity of fourth degree. We prove the following main result:

**Theorem A.** *Let  $\mathcal{U}$  be a variety of unitary algebras over a field of characteristic 0 and satisfying a polynomial identity of degree 4. Then  $\mathcal{U}$  is generated by a finite dimensional algebra if and only if a standard identity*

$$S_k(x_1, \dots, x_k) = \sum (\text{sign } \sigma) x_{\sigma(1)} \cdots x_{\sigma(k)} = 0, \quad \sigma \in \text{Sym}(k),$$

holds for  $\mathcal{U}$ .

The proof of this theorem allows to find the algebras generating the varieties. They are direct sums of some of the following algebras:

- (i) the  $2 \times 2$  upper triangular matrices;
- (ii) the subalgebra  $\langle e_{11}, e_{22}, te_{12}, te_{21} \rangle$  of the matrix algebra  $M_2(K[t]/(t^2))$ ;
- (iii) an algebra obtained by formal adjunction of 1 to a nilpotent algebra.

The method is based on the detailed description of T-ideals containing a polynomial of fourth degree [1, 2, 4, 8]. The idea is taken from [7], where an analogous result is obtained for non-unitary algebras satisfying an identity of degree three. We establish in the same way:

**Theorem B.** *Let  $\mathcal{L}_d$  be the variety of unitary algebras in characteristic 0 defined by the left normed commutator  $[x_1, \dots, x_{d+1}] = 0$ . Then  $\mathcal{U} \subset \mathcal{L}_d$  is generated by a finite dimensional algebra if and only if a standard identity vanishes on  $\mathcal{U}$ .*

**Theorem C.** Let  $sl_2(K)$  be the Lie algebra of  $2 \times 2$  traceless matrices over a field  $K$ ,  $\text{char } K = 0$ . Then  $\mathcal{U} \subset \text{var } sl_2(K)$  is generated by a finite dimensional algebra.

Recall that the problem of characterizing varieties of algebras over a finite field and varieties of rings, generated by a finite object, was solved by I. L'vov [5].

**2. Preliminaries.** We fix some notation:  $K$  is a field of characteristic 0; all associative algebras are unitary and over  $K$ ;  $A = A(X) = K\langle X \rangle$  is the free associative algebra generated by  $X = \{x_1, x_2, \dots\}$ ;  $A_m = K\langle x_1, \dots, x_m \rangle$  is the subalgebra of  $A$  of rank  $m$ ;  $P_n$  is the set of multilinear elements in  $x_1, \dots, x_n$  from  $A_n$ . Let  $f(x_1, \dots, x_m) \in A$ ,  $R$  be an algebra and let  $\mathcal{U}$  be a variety of algebras. We use the notation  $T(f)$ ,  $T(R)$ ,  $T(\mathcal{U})$  respectively for the T-ideal generated by  $f$  or containing all the identities of  $R$  and  $\mathcal{U}$ . We denote by the same (script or ordinary) letters the varieties and their T-ideals:  $U = T(\mathcal{U})$ . The relatively free algebras of  $\mathcal{U}$  are  $F(\mathcal{U}) = A/U$  and  $F_m(\mathcal{U}) = A_m/(U \cap A_m)$ . For a subset  $S$  of  $A$  and the canonical homomorphism  $v: A \rightarrow F(\mathcal{U})$  we denote  $v(S)$  by  $S(\mathcal{U})$ . If  $S$  is a graded vector space (with respect to the natural grading of  $A$  or  $F(\mathcal{U})$ ), then  $S^{(n)}$  is used for the homogeneous component of degree  $n$ . Moreover,  $S^n = \Sigma S^{(k)}$ ,  $k \geq n$ .

The symmetric group  $\text{Sym}(n)$  and the general linear group  $GL_m$  act from the left respectively on  $P_n$  and  $A_m$ . This action plays an important role in the theory of PI-algebras. We use  $N_m(\lambda)$  for the irreducible  $GL_m$ -module related to the partition  $\lambda = (\lambda_1, \dots, \lambda_k)$  on  $n$ . On the other hand,  $A_m^{(n)}$  is a right  $\text{Sym}(n)$ -module and  $\text{Sym}(n)$  permutes the positions of the variables in  $x_{i_1} \dots x_{i_n} \in A_m^{(n)}$ :

$$x_{i_1} \dots x_{i_n} \sigma^{-1} = x_{j_1} \dots x_{j_n}$$

where  $\sigma \in \text{Sym}(n)$  and  $j_s = i_{\sigma(s)}$ ,  $s = 1, \dots, n$ . It is known that any irreducible  $GL_m$ -submodule  $N_m(\lambda)$  of  $A_m^{(n)}$  is generated by a nonzero element

$$f(x_1, \dots, x_{k_1}) = S_{k_1}(x_1, \dots, x_{k_1}) \dots S_{k_r}(x_1, \dots, x_{k_r}) \Sigma a_\alpha \sigma,$$

where  $a_\alpha \in K$ ,  $\sigma \in \text{Sym}(n)$  and  $k_1, \dots, k_r$  are the lengths of the columns of the diagram  $[\lambda]$ . We call  $f(x_1, \dots, x_{k_1})$  a standard generator of  $N_m(\lambda)$ .

Let  $B_m$  be the subspace of  $A_m$  spanned by the products of commutators,  $\Gamma_n = P_n \cap B_n$ . Any subvariety of  $\mathcal{U}$  is determined by its identities from  $\Gamma_n(\mathcal{U})$ ,  $n = 2, 3, \dots$ . Moreover,  $\Gamma_n$  and  $B_m^{(n)}$  are submodules of  $P_n$  and  $A_m^{(n)}$ . It is known that

$$B_m^{(2)} = N_m(1^2), \quad B_m^{(3)} = N_m(2, 1),$$

$$B_m^{(4)} = N_m(3, 1) + N_m(2^2) + N_m(2, 1^2) + N_m(1^4).$$

We fix  $\mathcal{U}$  to be a variety with a multilinear polynomial identity of fourth degree. When  $f \in \Gamma_4$ , then  $f$  is equivalent to some of the identities

- (1)  $[x_2, x_1, x_1, x_1] = 0$ ,
- (2)  $[x_1, x_2]^2 = 0$ ,
- (3)  $[[x_1, x_2], [x_3, x_4]] = 0$ ,
- (4)  $S_4(x_1, x_2, x_3, x_4) = 0$ .

If  $f \notin \Gamma_4$ , then substituting 1 for some of the variables, we obtain  $[x_1, x_2, x_3] = 0$  or  $[x_1, x_2] = 0$ . Hence, as a consequence of  $f$  we obtain some of the identities (1)–(4). We shall consider the cases (1)–(4) one by one.

**3. Non-matrix identities.** Let  $\mathcal{V}_1$  be the variety of algebras, defined by the polynomial identity (1). It is known [2], that

$$B_m^{(n)}(\mathcal{V}_1) = B_m^{(n)} \text{ for } n \leq 3,$$

$$B_m^{(4)}(\mathcal{V}) = N_m(2^2) + N_m(2, 1^2) + N_m(1^4), \quad B_m^{(5)}(\mathcal{V}_1) = N_m(2, 1^3),$$

$$B_m^{(2n)}(\mathcal{V}_1) = N_m(1^{2n}), \quad B_m^{(2n+1)}(\mathcal{V}_1) = 0, \quad n > 2.$$

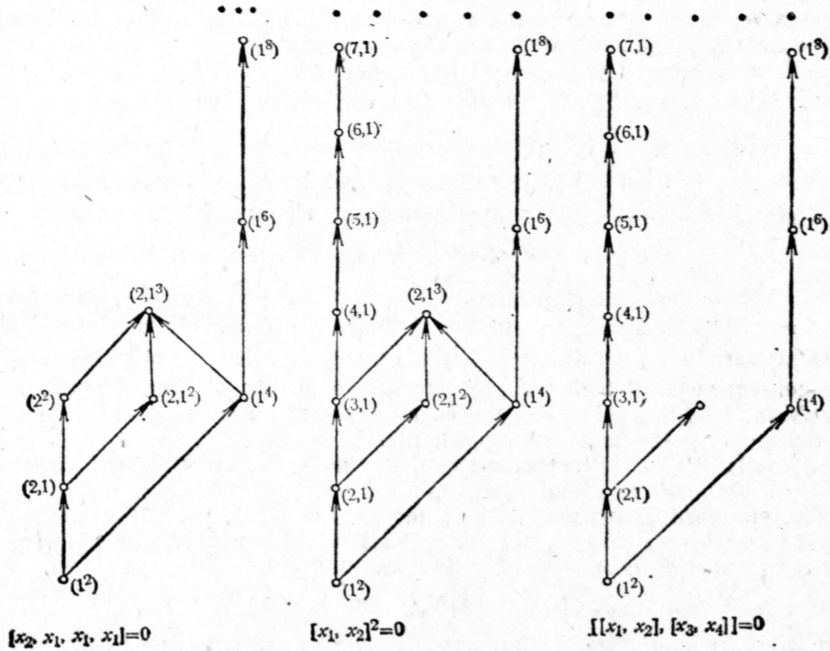


Fig. 1

The modules  $N_m(1^{2n})$  are generated by the standard polynomials  $S_{2n}(x_1, \dots, x_{2n})$ . The consequences of higher degree of the irreducible components of  $B_m(\mathcal{V}_1)$  are marked by arrows at Fig. 1.

**Proposition 1.** *Let  $\mathcal{U}$  be a subvariety of  $\mathcal{V}_1$  and let  $\mathcal{U}$  satisfy a standard identity. Then  $\mathcal{U}$  is generated by a finite-dimensional algebra.*

**Proof.** Let  $U = T(\mathcal{U})$  be the T-ideal of  $\mathcal{U}$  in the relatively free algebra  $F(\mathcal{V}_1)$  and let  $S_{2n}(x_1, \dots, x_{2n}) \in U$ . Clearly, there exists a canonical  $GL_m$ -module homomorphism  $v: B_m(\mathcal{V}_1) \rightarrow B_m(\mathcal{U})$  and  $N_m(1^{2k}) \in \ker v$  if  $k \geq n$ . In virtue of the complete reducibility of the  $GL_m$ -module  $B_m(\mathcal{V}_1)$ , the module isomorphism  $B_m(\mathcal{V}_1) \cong B_m(\mathcal{U}) \oplus \ker v$  holds. Therefore we can consider  $B_m(\mathcal{U})$  as a submodule of  $B_m(\mathcal{V}_1)$ . Let  $B_m(\mathcal{U}) = \sum N_m(\lambda)$  and let  $m$  exceed the number of rows of the diagrams  $[\lambda]$  (e. g.  $m \geq 2n - 1$ ). Consider the finite-dimensional algebra  $R = F_m(\mathcal{U})/F_m^{2n}(\mathcal{U})$ . Obviously  $R \in \mathcal{U}$ , i. e.  $B_m(\text{var } R) \subset B_m(\mathcal{U})$  and the modules  $N_m(\lambda)$  from  $B_m(\mathcal{U})$  do not vanish on  $R$ . Hence  $B_m(\mathcal{U}) = B_m(\text{var } R)$  and  $\mathcal{U} = \text{var } R$ .

Let  $\mathcal{V}_2$  be the variety defined by the identity (2). By [2],  $B_m^{(4)}(\mathcal{V}_2) = N_m(3, 1) + N_m(2, 1^2) + N_m(1^4)$ ,  $B_m^{(5)}(\mathcal{U}_2) = N_m(4, 1) + N_m(2, 1^3)$ ,  $B_m^{(2n)}(\mathcal{U}_2) = N_m(2n - 1, 1) + N_m(1^{2n})$ ,

$B_m^{(2n+1)}(\mathcal{V}_2) = N_m(2n, 1)$ ,  $n > 2$  (Fig. 1). The irreducible  $GL_m$ -modules  $N_m(n, 1)$  and  $N_m(1^{2n})$  are generated by  $[x_2, x_1, \dots, x_1] = x_2(adx_1)^n$  and  $S_{2n}(x_1, \dots, x_{2n})$ .

**Proposition 2.** *Let  $\mathcal{U}$  be a subvariety of  $\mathcal{V}_2$  and let  $\mathcal{U}$  satisfy a standard identity. Then  $\mathcal{U}$  is generated by a finite-dimensional algebra.*

**Proof.** Let  $U = T(\mathcal{U}) \subset F(\mathcal{V}_2)$  and  $S_{2k}(x_1, \dots, x_{2k}) \in U$ . First, assume  $\Gamma_s(\mathcal{U}) = 0$  for  $s$  large enough, e. g.  $s \geq n$ . Then, as in Proposition 1,  $\mathcal{U} = \text{var } R$  and  $R = F_n(\mathcal{U})/F_n^n(\mathcal{U})$ .

Now, let  $\Gamma_n(\mathcal{U}) \neq 0$  for any integer  $n > 1$ . We shall establish  $\mathcal{U} = \text{var}(R_1 \oplus R_2)$ . The algebra  $R_1$  takes into account the asymptotic behaviour of the identities for large degrees  $n$  and  $R_2$  gives the details for the lower degrees. It is clear from Fig. 1 that there exists an integer  $n$  (e. g.  $n = 2k + 1$ ), such that  $B_m^{(s)}(\mathcal{U}) = N_m(s-1, 1)$  for  $s \geq n$ . Therefore,  $N_m(s-1, 1) \subset B_m^{(s)}(\mathcal{U})$  for any  $s > 1$ . Let  $R_1$  be the algebra of  $2 \times 2$  upper

triangular matrices,  $R_1 = \begin{pmatrix} * & * \\ 0 & * \end{pmatrix}$ . Then  $R_1$  satisfies the polynomial identities (2), (3) and (4), i. e.  $R_1 \in \mathcal{V}_2$  and  $B_m^{(s)}(\text{var } R_1) = N_m(s-1, 1)$ . Therefore  $R_1 \in \mathcal{U}$ . Denote  $R_2 = F_m(\mathcal{U})/F_m^n(\mathcal{U})$  and  $R = R_1 \oplus R_2$ . Obviously, the finite-dimensional algebra  $R$  belongs to  $\mathcal{U}$ . Moreover,

$$(5) \quad B_m^{(s)}(\text{var } R) = B_m^{(s)}(\mathcal{U})$$

for  $s \geq n$ , since in this case  $B_m^{(s)}(\text{var } R_1) = B_m^{(s)}(\mathcal{U})$ . The equality (5) holds for  $s < n$  as well, because  $B_m^{(s)}(\text{var } R_2) = B_m^{(s)}(\mathcal{U})$  for  $s < n$ . Hence (5) is valid for any  $s$ , and  $\mathcal{U} = \text{var } R$ .

Let the variety  $\mathcal{V}_3$  be defined by the identity (3). The decomposition of  $B_m^{(n)}(\mathcal{V}_3)$  and the consequences of higher degree are shown in Fig. 1. The generators of the modules  $N_m(n, 1)$  and  $N_m(1^{2n})$  are the same as for  $\mathcal{V}_2$ . The proof of the following assertion repeats verbatim that of Proposition 2.

**Proposition 3.** *Any subvariety of  $\mathcal{V}_3$ , satisfying a standard identity, is generated by a finite dimensional algebra.*

**4. The standard identity of fourth degree.** Let  $\mathcal{V}_4$  be the variety defined by the identity (4). First, we shall consider the subvariety  $\mathcal{M} = \text{var } M_2(K)$  of  $\mathcal{V}_4$ , generated by the matrix algebra of second order. It is known [1] that

$$B_m(\mathcal{M}) = K + \Sigma N_m(p+q+r, p+q, p),$$

where  $p, q, r$  are non-negative integers,  $p+q > 0$  and  $(p+q+r, p+q, p) \neq (1^3)$ . We shall establish that any subvariety of  $\mathcal{M}$  is generated by a finite-dimensional algebra.

For a multihomogeneous polynomial  $k(x_1, \dots, x_m)$  in  $m$  variables, we use the notation  $k(x_1; \dots; x_i, u_1, \dots, u_s; \dots; x_m)$  for the partial linearization of  $k$  in  $x_i$  (i. e. the multilinear component in  $u_j$  of  $k(x_1, \dots, x_i + u_1 + \dots + u_s, \dots, x_m)$ ).

**Lemma 4.** *Let  $f(x_1, x_2, x_3) \in B_m(\mathcal{M})$  be a standard generator of the  $GL_m$ -module  $N_m(p+q+r, p+q, p)$ . Then the polynomial*

$$h(x_1, x_2, x_3) = (q-1) \{ (q+1)f(x_1; x_2, [x_1, x_3]; x_3) + f(x_1; x_2, x_3; x_3, [x_1, x_3]) \} \\ - \{ (q+1)f(x_1; x_2, x_3, [x_1, x_2]; x_3) + f(x_1; x_2, x_3; x_3, [x_1, x_2]) \}$$

*is a standard generator of  $N_m(p+q+r+1, p+q-1, p+1)$ .*

**Proof.** Let  $S$  be the symmetric algebra of a linear space with a basis  $S_3(x_i, x_j, x_k)$ ,  $S_3(u, x_i, x_j)$ ,  $[x_i, x_j]$ ,  $[x_i, u]$ ,  $x_i, u$ ,  $1 \leq i < j < k \leq m$ , and let  $GL_m$  act on  $S$  in a natural way, fixing the variable  $u$ . Then the element

$$g(x_1, x_2, x_3) = S_3^q(x_1, x_2, x_3) [x_1, x_2]^q x_1^r$$

is a standard generator of  $N_m(p+q+r, p+q, p)$ . The polynomial from  $S$ :

$$g_1(x_1, x_2, x_3, u) = (q+1)g(x_1; x_2, u; x_3) + g(x_1; x_2, x_3; x_3, u)$$

$$= qS_3^{q-1}(x_1, x_2, x_3) \underbrace{[x_1, x_2]^{q-1}}_{\substack{| \\ | \\ |}} x_1^r \{ (q+1)S_3(x_1, x_2, x_3) \underbrace{[x_1, u]}_{\substack{| \\ | \\ |}} \\ + p(S_3(u, x_1, x_2) \underbrace{[x_1, x_3]}_{\substack{| \\ | \\ |}} + S_3(u, x_2, x_3) \underbrace{[x_1, x_1]}_{\substack{| \\ | \\ |}} + S_3(u, x_3, x_1) \underbrace{[x_1, x_2]}_{\substack{| \\ | \\ |}}) \}$$

generates  $N_m(p+q+r, p+q-1, p)$ . We have indicated by braces the positions of the skew symmetric triples and pairs in  $g_1$ . Analogously the polynomial

$$f_1(x_1, x_2, x_3, u) = (q+1)f(x_1; x_2, u; x_3) + f(x_1; x_2, x_3; x_3, u)$$

generates  $N_m(p+q+r, p+q-1, p)$ , when  $GL_m$  does not act on the variable  $u$ . Now, let  $g_2(x_1, x_2, x_3) = S_3^q(x_1, x_2, x_3) [x_1, x_2]^{q-1} x_1^{r+1} \in S$  generate  $N_m(p+q+r, p+q-1, p)$ . Consider the tensor product  $S \otimes_K N_m(1^2)$ , where  $N_m(1^2) \subset S$  is spanned by  $[x_i, x_j]$ . The element

$$g_2(x_1, x_2, x_3) = (q-1)g_2(x_1, x_2, x_3) \otimes [x_1, x_3] - g_2(x_1; x_2, x_3; x_3) \otimes [x_1, x_2] \\ = (q-1)S_3^q(x_1, x_2, x_3) \underbrace{[x_1, x_2]^{q-2} x_1^{r+1}}_{\substack{| \\ | \\ |}} \{ \underbrace{[x_1, x_2] \otimes [x_1, x_3]}_{\substack{| \\ | \\ |}} \\ + \underbrace{[x_3, x_1] \otimes [x_1, x_2]}_{\substack{| \\ | \\ |}} + \underbrace{[x_2, x_3] \otimes [x_1, x_1]}_{\substack{| \\ | \\ |}} \}$$

generates  $N_m(p+q+r+1, p+q-1, p+1)$ . The same holds for

$$(q-1)g_1(x_1, x_2, x_3, [x_1, x_3]) - g_1(x_1; x_2, x_3; x_3; [x_1, x_2])$$

and

$$h(x_1, x_2, x_3) = (q-1)f_1(x_1, x_2, x_3, [x_1, x_3]) - f_1(x_1; x_2, x_3; x_3; [x_1, x_2]) \\ = (q-1) \{ (q+1)f(x_1; x_2, [x_1, x_3]; x_3) + f(x_1; x_2, x_3; x_3, [x_1, x_3]) \} \\ - \{ (q+1)f(x_1; x_2, x_3, [x_1, x_2]; x_3) + f(x_1; x_2, x_3, x_3; x_3 [x_1, x_2]) \}.$$

Later we shall verify that  $h(x_1, x_2, x_3) \neq 0$  in  $B_m(\mathcal{M})$  and this will complete the proof.

Lemma 5. Let  $0 \neq f(x_1, \dots, x_m) \in N_m(\lambda_0) \subset B_m(\mathcal{M})$ , where  $\lambda_0 = (p_0 + q_0 + r_0, p_0 + q_0, p_0)$ . Then the polynomial identities from  $N_m(\mu) \subset B_m(\mathcal{M})$  are consequences of  $f(x_1, \dots, x_m)$  for  $\mu = (p+q+r, p+q, p)$ , if  $2p+q \geq 2p_0+q_0$  and  $n = 3p+2q+r$  is large enough.

Proof. We use arguments from [8]. Without loss of generality we assume that  $f = f(x_1, x_2, x_3)$  is a standard generator of  $N_m(\lambda_0) \subset B_m(\mathcal{M})$ . In order to verify that  $f(x_1, x_2, x_3) \neq 0$  in  $B_m(\mathcal{M})$ , it suffices to establish  $f(a, b, c) \neq 0$ , where  $a, b, c$  are elements of  $M_2(K)$  such that [1]

$$(6) \quad \begin{aligned} ab &= -ba = c/2, & bc &= -cb = a/2, & ca &= -ac = b/2, \\ [a, b] &= c, & [b, c] &= a, & [c, a] &= b, \\ a^2 &= b^2 = c^2 = -e/4, & [a, b, b] &= [a, c, c] = -a, \\ aS_3(ada, adb, adc) &= -2a. \end{aligned}$$

The polynomial  $f(x_1, x_2, [x_1, x_2])$  is a standard generator of  $N_m(2p_0+q_0+r_0, 2p_0+q_0)$  and  $f(a, b, [a, b]) = f(a, b, c) \neq 0$ . Therefore,  $N_m(2p_0+q_0+r_0, 2p_0+q_0) \subset B_m(\mathcal{M})$  follows from  $f(x_1, x_2, x_3)$ . Assume that  $2p+q = 2p_0+q_0$ . We shall find an integer  $r$  such that  $N_m(\mu) \subset B_m(\mathcal{M})$  is a consequence of  $f(x_1, x_2, x_3)$  for  $\mu = (p+q+r, p+q, p)$ . We use descending induction on  $q$ . If  $q > 0$  we fix a standard generator of  $N_m(\mu)$

$$(7) \quad f_\mu(x_1, x_2, x_3) = [x_2, x_1] (\text{ad } x_1)^r S_3^q(\text{ad } x_1, \text{ad } x_2, \text{ad } x_3) [x_2, x_1]^{q-1} \in B_m(\mathcal{M}).$$

For  $q=0$  the generator is

$$(8) \quad f_\mu(x_1, x_2, x_3) = \Sigma(\text{sign } \sigma) x_{\sigma(1)} S_3^{\sigma-1}(\text{ad } x_1, \text{ad } x_2, \text{ad } x_3) [x_{\sigma(2)}, x_{\sigma(3)}], \quad \sigma \in \text{Sym } (3).$$

Having in mind (6), we verify that  $f_\mu(a, b, c) \neq 0$ . Let  $f_\mu(x_1, x_2, x_3)$  follow from  $f(x_1, x_2, x_3)$ . By Lemma 4, the polynomial

$$h(x_1, x_2, x_3) = (q-1) \{ (q+1) f_\mu(x_1; x_2, [x_1, x_3]; x_3) + f_\mu(x_1; x_2, x_3; x_3, [x_1, x_3]) \} \\ - \{ (q+1) f_\mu(x_1; x_2, x_3, [x_1, x_2]; x_3) + f_\mu(x_1; x_2, x_3, x_3; x_3, [x_1, x_2]) \}$$

belongs to  $N_m(p+q+r+1, p+q-1, p+1)$  and is a consequence of  $f(x_1, x_2, x_3)$  as well. Again  $h(a, b, c) \neq 0$ . Similar considerations carried out in [8], show that all modules  $N_m(\mu) \subset B_m(\mathcal{M})$  belong to the  $T$ -ideal  $T(f)$  of  $F(\mathcal{M})$  for  $\mu = (p+q+r, p+q, p)$  if  $2p+q \geq 2p_0+q_0$  and  $n$  is large enough.

Lemma 6. Let  $E_s = K[t]/(t^{s+1})$  be the factor algebra of the polynomials in one variable modulo the principal ideal generated by  $t^{s+1}$ . Let us denote by  $R_s$  the subalgebra of  $M_3(E_s)$  generated by  $e_{11}, e_{22}, te_{12}, te_{21}$ . Then  $R_s \in \mathcal{M}$  and

$$B_m(\text{var } R_s) = \Sigma N_m(\mu) \subset B_m(\mathcal{M}),$$

where  $\mu = (p+q+r, p+q, p)$  and  $2p+q \leq s$ .

Proof. The elements

$$a = -1/2(e_{11} - e_{22})\sqrt{-1}, \quad b = 1/2(e_{12} + e_{21})\sqrt{-1}, \quad c = 1/2(e_{12} - e_{21})$$

satisfy (6) and  $f_\mu(a, b, c) \neq 0$  for any standard generator  $f_\mu(x_1, x_2, x_3)$  of  $N_m(\lambda) \subset B_m(\mathcal{M})$  [1]. It is clear that  $R_s \in \mathcal{M}$ . Moreover,  $a, tb, tc \in M_2(E_s)$  and  $f_\mu(a, tb, tc) = t^{2p+q} f_\mu(a, b, c) \neq 0$  if  $2p+q \leq s$ . Now, assume  $2p+q > s$ . It suffices to substitute for  $x_1, x_2, x_3$  the elements  $x_i = \zeta_i(t)a + t\eta_i(t)b + t\theta_i(t)c$ ,  $\zeta_i, \eta_i, \theta_i \in E_s$ .

Then in (7) and (8)

$$[\bar{x}_2, \bar{x}_1] = t(t\theta_1\eta_2 - \theta_2\eta_1)a + (\zeta_1\theta_2 - \zeta_2\theta_1)b + (\eta_1\zeta_2 - \eta_2\zeta_1)c, \\ S_3(\text{ad } \bar{x}_1, \text{ad } \bar{x}_2, \text{ad } \bar{x}_3) = t^2 \begin{vmatrix} \zeta_1 & \eta_1 & \theta_1 \\ \zeta_2 & \eta_2 & \theta_2 \\ \zeta_3 & \eta_3 & \theta_3 \end{vmatrix} S_3(\text{ada}, \text{adb}, \text{adc}), \\ f_\mu(\bar{x}_1, \bar{x}_2, \bar{x}_3) = t^{2p+q} k_\mu = 0,$$

where  $k_\mu \in M_2(E_s)$ . Hence, the modules  $N_m(\mu) \subset B_m(\mathcal{M})$  will vanish for  $R_s$  if  $2q+q > s$ .

Proposition 7. Any subvariety of  $\mathcal{M} = \text{var } M_3(K)$  is generated by a finite-dimensional algebra.

Proof. Let  $\mathcal{U} \subset \mathcal{M}$  and  $U = T(\mathcal{U})$  be the  $T$ -ideal of  $\mathcal{U}$  in  $F(\mathcal{M})$ . If  $\mathcal{U} = \mathcal{M}$ , then  $\mathcal{U}$  is generated by the four-dimensional algebra  $M_3(K)$ . Now, assume that  $\mathcal{U} \neq \mathcal{M}$  and  $B_m(\mathcal{M}) \cap U = \Sigma N_m(\lambda)$ , where  $\lambda = (p+q+r, p+q, p) \in I$ . Denote by  $\lambda_0 = (p_0+q_0+r_0, p_0+q_0, p_0)$  the partition from  $I$  with a minimal value of  $2p+q$ , and let  $s+1 = 2p_0+q_0$ . In virtue of Lemma 5, there exists an integer  $n_0$ , such that  $N_m(\mu) \subset B_m(\mathcal{M}) \cap U$  if  $n = 3p+2q+r \geq n_0$  and  $2p+q \geq s+1$  (Fig. 2). Let  $R = R_s \oplus F_3(\mathcal{U})/F_3^n(\mathcal{U})$ . By Lemma 6,  $R \in \mathcal{U}$ . As in the preceding propositions we conclude that  $\mathcal{U} = \text{var } R$ .

Now we shall consider the variety  $\mathcal{V}_4$  defined by the standard identity (4). Kemer [4] proved, that  $T(M_2(K))/T(S_4)$  is spanned by  $x_{i_1} \dots x_{i_n} g(x_{i_{n+1}}, \dots, x_{i_{n+5}})$ ,  $x_{i_1} \dots x_{i_n} h(x_{i_{n+1}}, \dots, x_{i_{n+6}})$ , where  $i_1 \leq \dots \leq i_n$ ,  $n \geq 0$ . Here  $g$  and  $h$  are the linearizations

of the polynomials  $[[x, y]^2, x]$  and  $2[x, y]^3 + [[x, y] [x, y, y], x]$ , respectively. Combining this description with the arguments from [6] we easily establish that

$$T(M_2(K) \cap B_m^{(n)}) / (T(S_4) \cap B_m^{(n)}) = 0 \text{ for } n > 6.$$

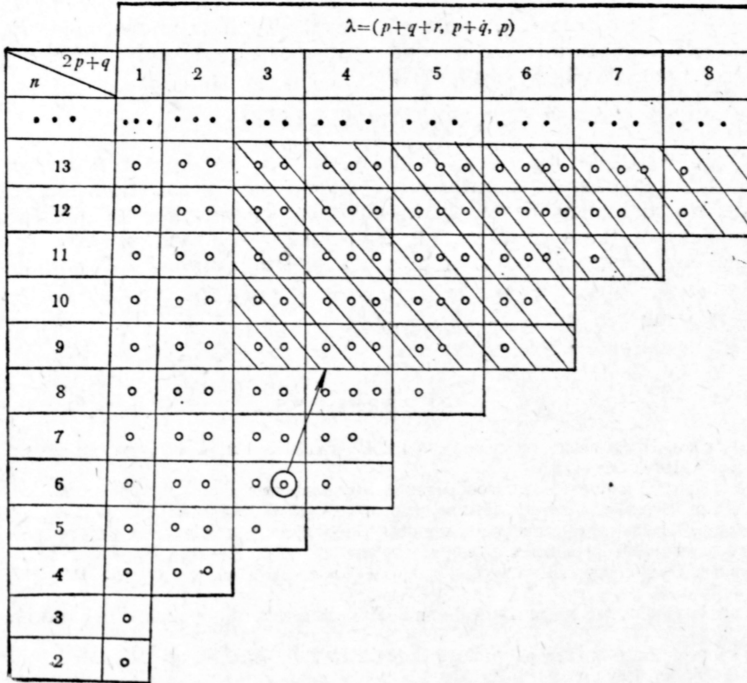


Fig. 2

The proof of the next lemma follows immediately.

Lemma 8. Let  $f_1(x_1, \dots, x_m) = f_2(x_1, \dots, x_m)$  be an identity for  $M_2(K)$ . Then  $f_1$  and  $f_2$  have the same consequences in  $B_m^{(n)}(\mathcal{V}_4)$  modulo  $T(\mathcal{M})$  for  $n \leq 6$  and the same consequences in  $B_m^{(n)}(\mathcal{V}_4)$  for  $n > 6$ .

Proposition 9. Any variety satisfying  $S_4(x_1, x_2, x_3, x_4) = 0$  is generated by a finite dimensional algebra.

Proof. Let  $\mathcal{U} \subset \mathcal{V}_4$ . If  $\mathcal{M} \subset \mathcal{U}$ , then the arguments from the proof of Proposition 2 show, that  $\mathcal{U} = \text{var}(M_2(K) \oplus F_m(\mathcal{U})/F_m^7(\mathcal{U}))$  for some integer  $m$  (e. g.  $m > 6$ ). In the other case, by Proposition 7,  $\mathcal{U} \cap \mathcal{M}$  is generated by a finite dimensional algebra  $R$ . It follows from Lemma 8 that  $\mathcal{U} = \text{var}(R \oplus F_7(\mathcal{U})/F_7^7(\mathcal{U}))$ .

5. Proofs of the main results. Theorem A is an immediate corollary of Propositions 1, 2, 3 and 9.

Proof of Theorem B. Let  $\mathcal{U} \subset \mathcal{L}_d$  satisfy a standard identity  $S_k(x_1, \dots, x_k) = 0$ . By [3], the Capelli identity

$$d_r(x_1, \dots, x_r; y_1, \dots, y_{r-1}) = \sum (\text{sign } \sigma) x_{\sigma(1)} y_1 x_{\sigma(2)} \dots y_{r-1} x_{\sigma(r)} = 0$$



follows from  $S_r(x_1, \dots, x_r) = 0$  for some  $r$ . The Capelli identity shows that in the decomposition  $F_m(\mathcal{U}) = \Sigma N_m(\lambda)$  the diagrams  $[\lambda]$  have less than  $r$  rows. Hence,  $\mathcal{U}$  is generated by its relatively free algebra  $F_{r-1}(\mathcal{U})$ . It was proved in [6] that  $B_{r-1}^{(n)}(\mathcal{L}_d) = 0$ , if  $n$  is large enough. Therefore, there exists an integer  $n_0$  such that  $B_{r-1}^{(n)}(\mathcal{U}) = 0$  for  $n \geq n_0$ . Consequently, as in Proposition 1 we obtain that  $\mathcal{U} = \text{var } F_{r-1}(\mathcal{U})/F_{r-1}^{n_0}(\mathcal{U})$ .

**Proof of Theorem C.** Denote  $\mathcal{V} = \text{var } sl_2(K)$ . It is known that  $F_m(\mathcal{V})$  can be embedded as a Lie algebra into the adjoint algebra of  $F_m(\mathcal{M})$  and  $F_m(\mathcal{V}) = N_m(1) + F_m(\mathcal{V}) \cap B_m(\mathcal{M})$ . Moreover, it follows from [1] that

$$F_m(\mathcal{V}) \cap B_m(\mathcal{M}) = \Sigma N_m(\mu),$$

where  $\mu = (p+q+r, p+q, p)$ ,  $p+q > 0$  and  $q$  or  $r$  is odd. In the proof of Lemma 4 we found out the standard generator  $h(x_1, x_2, x_3)$  by linearization and substitution of Lie elements. As in Lemma 5 we obtain that the polynomials from  $N_m(\mu) \subset F_m(\mathcal{V})$  are Lie consequences of those of  $N_m(\lambda_0)$  if  $2p+q \geq 2p_0+q_0$  and  $n = 3p+2q+r$  is large enough. Then we repeat verbatim the proof of Proposition 7. The finite-dimensional algebra  $R$  with  $\mathcal{U} = \text{var } R \subset \mathcal{V}$  is  $R = L_s + F_m(\mathcal{U})/F_m^{n_0}(\mathcal{U})$  and  $L_s$  is the subalgebra of  $sl_2(K[t]/(t^{s+1}))$  generated by  $e_{11} - e_{22}$ ,  $te_{12}$ ,  $te_{21}$ .

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