Provided for non-commercial research and educational use. Not for reproduction, distribution or commercial use.

Serdica

Bulgariacae mathematicae publicationes

Сердика

Българско математическо списание

The attached copy is furnished for non-commercial research and education use only. Authors are permitted to post this version of the article to their personal websites or institutional repositories and to share with other researchers in the form of electronic reprints. Other uses, including reproduction and distribution, or selling or licensing copies, or posting to third party websites are prohibited.

For further information on
Serdica Bulgaricae Mathematicae Publicationes
and its new series Serdica Mathematical Journal
visit the website of the journal http://www.math.bas.bg/~serdica
or contact: Editorial Office
Serdica Mathematical Journal
Institute of Mathematics and Informatics
Bulgarian Academy of Sciences
Telephone: (+359-2)9792818, FAX:(+359-2)971-36-49
e-mail: serdica@math.bas.bg

QUASISIMILARITY OF N-TUPLES OF COMMUTING OPERATORS

ZHIVKO J. ZHELEV

ABSTRACT. Let H be an infinite dimensional Hilbert space and $\mathcal{L}(H)$ be the algebra of all bounded linear operators acting on H. In this article we consider the n-tuples of commuting operators in $\mathcal{L}(H)$ which possess the property double quasisimilarity and we consider some of the corresponding Koszul complexes. Further, we give a definition of a semi-Fredholm spectrum of a n-tuple (Definition 2). If A and B are double quasisimilar n-tuples a theorem of intersection of the semi-Fredholm spectra of A and B is proved.

Introduction. Let H be an infinite dimensional complex Hilbert space and $\mathcal{L}(H)$ be the algebra of all bounded linear operators acting on H.

There are a lot of articles studying spectral properties of quasisimilar operators. Recall that the operators A and B in $\mathcal{L}(H)$ are quasisimilar if there exists operators X and Y in $\mathcal{L}(H)$, both of which are injective and have dense ranges, such that AX = XB and YA = BY [6]. Similar operators are quasisimilar and have equal spectra. It is shown in [6] that quasisimilar operators may have different spectra. But in [3] it is proved that the essential spectra of quasisimilar operator intersect. In [5] this result is sharpened as the following is proved: [5, Theorem 2.1]. If A and B are quasisimilar operators, then $\sigma_{le}(A) \cap \sigma_{re}(B) \neq \emptyset$ and $\sigma_{re}(A) \cap \sigma_{le}(B) \neq \emptyset$.

In this article quasisimilar (double quasisimilar) n-tuples of commuting operators in $\mathcal{L}(H)$ are considered (Definition 1.). If $A = (A_1, \ldots, A_n)$ and $B = (B_1, \ldots, B_n)$ are double quasisimilar n-tuples we have shown some properties of the corresponding Koszul complexes. We introduce the notion of semi-Fredholm spectrum of a n-tuple of commuting operators (Definition 2.). Indeed, this is a family of spectra which are parts of the essential spectrum $\sigma_e(A)$ of the n-tuple A. In the terms of this kind of spectrum we have proved a theorem (Theorem 1) which is a generalization of the theorem given above. Moreover the proof of ours is simpler then this one given in [5].

Through all this article we use the following notations: ∂K will denote the boundary of the set K; by \bar{K} we denote the closure of K; ker A will be the kernel of the operator A; ImA will be the range of the operator A.

Quasisimilarity of n-tuples. Let H denote an infinite dimensional Hilbert space and $\mathcal{L}(H)$ denote the algebra of all bounded linear operators acting on H.

Definition 1. Let $A = (A_1, \ldots, A_n)$ and $B = (B_1, \ldots, B_n)$ be n-tuples of commuting operators in $\mathcal{L}(H)$. We say that the n-tuples A and B are quasisimilar if there exist operators X and Y in $\mathcal{L}(H)$ such that

- 1. $\ker X = \ker Y = \{0\};$
- 2. $\overline{\text{Im}X} = \overline{\text{Im}Y} = H$;
- 3. $A_i X = X B_i$, $Y A_i = B_i Y$, for every i = 1, 2, ..., n.
- 4. If $A_i^*X = XB_i^*$, $YA_i^* = B_i^*Y$ for every i = 1, 2, ..., n, where A_i^* and B_i^* are adjoint operators of A_i and B_i (i = 1, 2, ..., n), n-tuples A and B are called double quasisimilar.

It is evident that if X and Y are operators like in Definition 1. which satisfy conditions 1-3, then Y^* and X^* carry out quasisimilarity between $A^* = (A_1^*, \ldots, A_n^*)$ and $B^* = (B_1^*, \ldots, B_n^*)$. One can guess immediately that if A and B are quasisimilar (double quasisimilar) n-tuples such are $A - z = (A_1 - z_1, \ldots, A_n - z_n)$ and $B - z = (B_1 - z_1, \ldots, B_n - z_n)$, where $z = (z_1, \ldots, z_n) \in C^n$ and if A_i and B_i ($i = 1, \ldots, n$) are invertible then $A^{-1} = (A_1^{-1}, \ldots, A_n^{-1})$ and $B^{-1} = (B_1^{-1}, \ldots, B_n^{-1})$ are quasisimiliar (double quasisimiliar) too.

Let $A = (A_1, \ldots, A_n)$ be a *n*-tuple of commuting operators in $\mathcal{L}(H)$. We recall the inductive definition of the Koszul complex associated with the *n*-tuple A (see also [9,10,1]) which we denote by K.(A). In the case n = 1 the Koszul complex of a single operator A is simply $0 \to H \xrightarrow{A} H \to 0$. Let K.(A') be the Koszul complex of the n-1-tuple $A' = (A_1, \ldots, A_n)$. Then the operator A_n defines an endomorphism of the complex K.(A'). The cone of this morphism is by definition the Koszul complex $K.(A) = \{H_i, d_i\}_{i=0}^n$ of the *n*-tuple $A = (A', A_n)$. It is easy to see that the *i*-th term H_i of this complex is a direct sum of $\binom{n}{i}$ copies of the Hilbert space H and the differential of this complex $d_i: H_i \to H_{i+1}$ are bounded linear operators

Let A and B be n-tuples and $K.(A) = \{H_i, d_i\}_{i=0}^n$ and $K.(B) = \{H_i, \alpha_i\}_{i=0}^n$ the corresponding Koszul complexes. We denote by h_i and χ_i (i = 0, 1, ..., n the cohomology groups of this complex, i.e. $h_i = \ker d_i / \overline{\operatorname{Im} d_{i-1}}$, $\chi_i = \ker \alpha_i / \overline{\operatorname{Im} \alpha_{i-1}}$ (i = 1, 2, ..., n), $h_0 = \ker d_0$, $\chi_0 = \ker \alpha_0$.

It is not difficult to see that if A and B are quasisimilar then such are d_i and α_i (i = 0, 1, ..., n) and

(1)
$$d_i X = X \alpha_i, \ Y d_i = \alpha_i Y, \text{ for every } i = 0, 1, \dots, n,$$

but if A and B are double quasisimilar then

(2)
$$d_i^* X = X \alpha_i^*, \ Y d_i^* = \alpha_i^* Y, \text{ for every } i = 0, 1, \dots, n$$

is satisfied too. Here X and Y are the operators from Definition 1.

Lemma 1. If $A = (A_1, \ldots, A_n)$ and $B = (B_1, \ldots, B_n)$ are double quasisimilar n-tuples of commuting operators, h_i and χ_i $(i = 0, 1, \ldots, n)$ are the cohomology groups of the complexes K.(A) and K.(B) respectively, then $\dim h_i = \dim \chi_i$ $(i = 0, 1, \ldots, n)$.

Proof. Let i=0 first. Then $h_0=\ker d_0=\bigcap_{j=1}^n\ker A_j$ and $\chi_0=\ker d_0=\bigcap_{j=1}^n\ker A_j$. As according to Definition 1. $\ker X=\ker Y=\{0\}$, then $\dim Y(h_0)=\dim h_0$ and $\dim Y(\chi_0)=\dim \chi_0$. On the other hand $Y(\ker d_0)\subset\ker \alpha_0$. Indeed, for some $x\in Y(\ker d_0)$ there exists $t\in\ker d_0$ such that x=Yt. We obtain by (1)

$$\alpha_0 x = \alpha_0 Y t = Y d_0 t = 0.$$

Analogously $X(\chi_0) \subset \ker d_0$ and therefore $\dim Y(\ker d_0) \leq \dim \ker \alpha_0$ and $\dim X(\ker \alpha_0) \leq \dim \ker d_0$. Then

$$\dim h_0 = \dim Y(h_0) \leq \dim \chi_0,$$

$$\dim \chi_0 = \dim X(\chi_0) \le \dim h_0,$$

hence $\dim h_0 = \dim \chi_0$.

Let now i = n. Then $h_n = \operatorname{coker} d_{n-1}$ and $\chi_n = \operatorname{coker} \alpha_{n-1}$. As h_n^* is isomorphic to $\operatorname{ker} d_{n-1}^*$ and χ_{n-1}^* is isomorphic to $\operatorname{ker} d_{n-1}^*$ and α_{n-1}^* are quasisimilar (by Y^* and X^*), then by the previous case we have $\dim h_n^* = \dim \chi_n^*$ and hence $\dim h_n = \dim \chi_n$.

then by the previous case we have $\dim h_n^* = \dim \chi_n^*$ and hence $\dim h_n = \dim \chi_n$. Let i be arbitrary, 0 < i < n. It is clear that $H_i = \operatorname{Im} d_{i-1} \oplus (\ker d_i)^{\perp} \oplus L_i$ and $H_i = \operatorname{Im} \alpha_{i-1} \oplus (\ker \alpha_i)^{\perp} \oplus N_i$ where L_i and h_i are isomorphic all together and such are N_i and χ_i . We show that $Y(L_i) \subset N_i$. So, let $c \in L_i$ is arbitrary. Then $c \in \ker d_i$ and $c \perp \operatorname{Im} d_{i-1}$. But as the following diagram

$$\begin{array}{ccccccc} H_{i-1} & \stackrel{\alpha_{i-1}}{\longrightarrow} & H_i & \stackrel{\alpha_i}{\longrightarrow} & H_{i+1} \\ Y \downarrow & & Y \downarrow & & Y \downarrow \\ H_{i-1} & \stackrel{\alpha_{i-1}}{\longrightarrow} & H_i & \stackrel{\alpha_i}{\longrightarrow} & H_{i+1} \end{array}$$

is commutative, we obtain that $Yc \in \ker \alpha_i$. Let $z \in \operatorname{Im} \alpha_{i-1}$ is arbitrary, then there exists $t \in H_{i-1}$ such that $z = \alpha_{i-1}t$. Then we have

$$(Yc,z)=(Yc,\alpha_{i-1}t)=(\alpha_{i-1}^{\bullet}Yc,t)=(Y\alpha_{i-1}^{\bullet}c,t)=0$$

according to (2) and because $c \in (\operatorname{Im} d_{i-1})^{\perp} \subset \ker d_{i-1}^{\bullet}$. Thus we obtain $Yc \perp \operatorname{Im} \alpha_{i-1}$ and therefore $Yc \in N_i$. Analogously $X(N_i) \subset L_i$. Since $\ker X = \ker Y = \{0\}$ we have

$$\dim L_i = \dim Y(L_i) \leq \dim N_i$$

$$\dim N_i = \dim X(N_i) \leq \dim L_i$$

i.e. $\dim L_i = \dim N_i$ and hence $\dim h_i = \dim \chi_i$. The Lemma is proved.

Remark. We notice that in the cases i = 0 and i = n of the Lemma 1's proof we did not use the double quasisimilarity of A and B, but quasisimilarity of these n-tuples only.

The following lemma will be useful.

Lemma 2. Let the complex $\{H_i, d_i\}_{i=0}^n$ be exact. Then there exist linear bounded operators $b_i: H_{i+1} \to H_i$ $(i=0,1,\ldots,n)$ from the algebra generated by d_i and d_i^* such that

(3)
$$d_{i-1}b_{i-1}+b_id_i=I, \ i=1,\ldots,n.$$

Proof. We determine the operators b_i by induction, beginning from the right side of the complex.

Since $d_n = 0$ we put $b_n = 0$. That's why in the case i = n we have to prove that there exists an operator $b_{n-1}: H_n \to H_{n-1}$ such that $d_{n-1}b_{n-1} = I$. By assumption $\operatorname{coker} d_{n-1} = \{0\}$ therefore $\ker d_{n-1}^* = \{0\}$. Hence the operator $d_{n-1}d_{n-1}^*$ is invertible. Putting $b_{n-1} = d_{n-1}^*(d_{n-1}d_{n-1}^*)^{-1}$ we reach the desired equation $d_{n-1}b_{n-1} = I$.

Let for i > k the operators b_i be determined. We have to show that there exists an operator $b_k : H_{k+1} \to H_k$ with the property $d_k b_k + b_{k+1} d_{k+1} = I$. It is easy to see that the operator $Q = b_{k+1} d_{k+1}$ is projection of H_{k+1} onto $(\ker d_{k+1})^{\perp}$. Then the operator $M = Q + d_k d_k^* (I - Q)$ is invertible. Now we put

$$b_k = \begin{cases} d_k^{\bullet} M^{-1} & \text{on } \mathrm{Im} d_k \\ 0 & \text{on } H_k \ominus \mathrm{Im} d_k \end{cases}$$

By elementary checking using the exactness of the considering complex one can see that the operator b_k satisfies the equation (3). The proof is completed.

Let A and B be double quasisimilar n-tuples of commuting operators in $\mathcal{L}(H)$ and $K.(A) = \{H_i, d_i\}_{i=0}^n$ and $K.(B) = \{H_i, \alpha_i\}_{i=0}^n$ be their Koszul complexes. Let b_i and β_i be the operators constructed in Lemma 2 for the complexes K.(A) and K.(B) respectively. Then by Lemma 2 and equations (1) and (2) we obtain

(4)
$$b_i X = X \beta_i, \ Y b_i = \beta_i Y \text{ for every } i = 0, 1, \dots, n.$$

In his fundamental paper [9] and [10] J. L. Taylor introduced the notion of parameterized Koszul complex (we denote it by $K.(A,z) = \{H_i,d_i(z)\}_{i=0}^n$) of a commuting *n*-tuple A of bounded linear operators. This is the Koszul complex inductive definition which was given above but of the *n*-tuple $A-z=(A_1-z_1,\ldots,A_n-z_n)$ where $z=(z_1,\ldots,z_n)\in C^n$. We notice that the differentials $d_i(z): H_i \to H_{i+1}$ $(i=0,1,\ldots,n)$ of the complex K.(A,z) are linear and hence analytic functions of $z\in C^n$. By $h_i(z)$ $(i=0,1,\ldots,n)$ we denote the cohomology groups of K.(A,z).

The Taylor spectrum $\sigma(A)$ of the commuting *n*-tuple A is by definition the set of all points $z \in C^n$ such that the complex K.(A,z) is not exact free [9]. The essential Taylor spectrum $\sigma_e(A)$ of the *n*-tuple A is the set of all points $z \in c^n$ such that the complex K.(A,z) is not Fredholm, i.e. either there exists i_0 $(0 \le i_0 \le n)$ such that $\mathrm{Im} d_{i_0}(z)$ is not closed, or there exists j_0 $(0 \le j_0 \le n)$ such that $\dim h_{j_0}(z) = +\infty$ [1], [2]. The Fredholm spectrum $\sigma_F(A)$ of the *n*-tuple A is the set $\sigma_F(A) = \sigma(A) \setminus \sigma_e(A)$.

J. L. Taylor has constructed an analytic functional calculus for *n*-tuples of commuting operators [10]. In [7] another construction is given (see also [11] [12]) as follow. Let f(z) be an analytic function in a neighbourhood of $\sigma(A)$ and S be a smooth surface around $\sigma(A)$ such that $S \cap \sigma(A) = \emptyset$. Then the value of the function f(z) for the *n*-tuple A is given by the formula

(5)
$$f(A) = \frac{1}{(2\pi i)^n} \int_S f(z) R(A, z) \wedge dz_1 \wedge \ldots \wedge dz_n,$$

where $R(A, z) = n!b_0(z) \wedge \bar{\partial}b_1(z) \wedge \ldots \wedge \bar{\partial}b_{n-1}(z)$ is a $\bar{\partial}$ -closed differential form of type (0, n-1) in $C^n \setminus \sigma(A)$. Here $b_0(z), \ldots, b_{n-1}(z)$ are the operators constructed in Lemma 2 for the complex K(A, z) for $z \in C^n \setminus \sigma(A)$.

Let A and B are double quasisimilar n-tuples in $\mathcal{L}(H)$ and X and Y be the operators from Definition 1. Let $b_i(z)$ and $\beta_i(z)$ $(i=0,1,\ldots,n)$ be the operators constructed in Lemma 2 for the complexes K.(A,z) and K.(B,z) respectively and $z \in C^n \setminus (\sigma(A) \cup \sigma(B))$. Then from (4) we have R(A,z)X + XR(B,z) and YR(A,z) + R(B,z)Y for every $z \in C^n \setminus (\sigma(A) \cup \sigma(B))$. Now if f(z) and g(z) are functions analytic in a neighbourhood of $\sigma(A) \cup \sigma(B)$ then

(6)
$$f(A)X = Xg(B), Yf(A) = g(B)Y.$$

Let $K_{\cdot}(A,z) = \{H_{i}, d_{i}(z)\}_{i=0}^{n}$ be the parameterized Koszul complex of the n-tuple A and $h_{i}(z)$ $(i=0,1,\ldots,n)$ be the cohomology groups of this complex. Let $I=(i_{1},i_{2},\ldots,i_{k})$ be a subset of N with the properties: 1) $i_{1} \leq i_{2} \leq \ldots i_{k}$; 2) $i_{p} - i_{p-1} \geq 2$ for every $p=2,3,\ldots,k$; 3) $0 \leq i_{p} \leq n$ for every $p=1,\ldots k$.

Definition 2. We call semi-Fredholm spectrum of n-tuple A corresponding to the set I the set $\sigma^I(A)$ of all points $z \in C^n$ such that or there exists j_0 $(0 \le j_0 \le n)$ such that $\mathrm{Im} d_{j_0}(z)$ is not closed, either there exists $j_1 \in I$ such that $\dim h_{j_1}(z) = +\infty$.

It is not difficult to prove that $\sigma^{I}(A)$ is a nonempty compact subset of $\sigma_{e}(A)$ and

(7)
$$\partial \sigma_{\epsilon}(A) \subset \cap_{I} \sigma^{I}(A).$$

Let again $A = (A_1, \ldots, A_n)$ and $B = (B_1, \ldots, B_n)$ be n-tuples of commuting operators in $\mathcal{L}(H)$ and $K.(A, z) = \{H_i, d_i(z)\}_{i=0}^n$ and $K.(B, z) = \{H_i, \alpha_i(z)\}_{i=0}^n$ be corresponding Koszul complexes. By $h_i(z)$ and $\chi_i(z)$ $(i = 0, 1, \ldots, n)$ we denote the cohomology groups of these two complexes.

Lemma 3. If A and B are double quasisimilar n-tuples, then

(8)
$$\sigma_e(A) \setminus \sigma^I(A) \subset \cap_I \sigma^J(B),$$

(9)
$$\sigma(B) \setminus \cap_{J} \sigma^{J}(B) \subset \sigma(A),$$

where $I \subset N$ and $J \subset N$ are in Definition 2, I is fixed and intersections in (8) and (9) are over all J such that $I \cap J = \emptyset$.

Proof. We prove (8) first. The inclusion (7) implies that the right side of (8) is nonempty. If $\sigma_e(A) \setminus \sigma^I(A) = \emptyset$, then (8) is trivial. That is why there exists $z^0 \in \sigma_e(A) \setminus \sigma^I(A)$. Then $\mathrm{Im} d_i(z^0)$ is closed for every $i=0,1,\ldots,n$ and $h_p(z^0)$ is finite dimensional for every $p \in I$ but since $z^0 \in \sigma_e(A)$, there is $r (0 \le r \le n)$ such that $\dim h_r(z^0) = +\infty$. It is clear that $r \in I$. Lemma 1 implies that $\dim \chi_p(z^0) < +\infty$ for every $p \in I$ and $\dim \chi_r(z^0) = +\infty$. If J is an arbitrary subset of N such that $I \cap J = \emptyset$, then $z^0 \in \sigma^J(B)$ by Definition 2. Thus, (8) is proved.

Let us prove (9). By an argument like above, we suppose that the left side of (9) is nonempty. Let $z^1 \in \sigma(B) \setminus \cap_J \sigma^J(B)$, where the intersection is over all $J \subset N$ like in definition 2 such that $I \cap J = \emptyset$. If $z^1 \in \sigma_F(B)$, then there exists $i_0 \ (0 \le i_0 \le n)$ such that $\chi_{i_0}(z^1) \ne \{0\}$ By Lemma 1 we have $h_{i_0}(z^1) \ne \{0\}$, hence $z^1 \in \sigma(A)$. If $z^1 \in \sigma_e(B) \setminus \cap_J \sigma^J(B)$, then $\text{Im}\alpha_i(z^1)$ in closed for every $i = 0, 1, \ldots, n$ and there exists $r \ (0 \le r \le n)$ such that $\dim \chi_r(z^1) = +\infty$. By Lemma 1 again we have $\dim h_r(z^1) = +\infty$, i.e. $z^1 \in \sigma(A)$. The lemma is proved.

We need the following lemma which is a version of Lemma 4.3 in [8].

Lemma 4. Let $X_{\cdot}(z) = \{X_i, d_i(z)\}_{i=0}^n$ be a complex of Hilbert spaces and $d_i(z)$ are analytic functions in a neighbourhood of $z^0 \in C^n$. Let $\mathrm{Im} d_i(z^0)$ be closed for every $i=0,1,\ldots,n$ and $h_i(z)$ be the cohomology groups of the complex $X_{\cdot}(z)$. Then in a sufficiently small neighbourhood of z^0 there exists a complex of Hilbert space $L_{\cdot}(z) = \{L_i, a_i(z)\}_{i=0}^n$ with dim $L_i = \dim h_i(z^0)$ for every $i=0,1,\ldots,n$, where $a_i(z)$ are analytic operator-valued functions and there exists an analytic quasi-isomorphism of complexes $\phi_{\cdot}(z): L(z) \to X_{\cdot}(z)$.

The proof of Lemma 4 is very similar to this one given in [8], so we omit it.

The following theorem is a generalization of Theorem 2.1 in [5].

Theorem 1. If $A = (A_1, \ldots, A_n)$ and $B = (B_1, \ldots, B_n)$ are double quasisimilar n-tuples of commuting operators in $\mathcal{L}(H)$, then

(10)
$$\sigma^{I}(A) \cap (\bigcap_{J} \sigma^{J}(B)) \neq \emptyset,$$

where $I \subset N$ and $J \subset N$ are in Definition 2, I is a fixed and intersection is over all J such that $I \cap J = \emptyset$.

Proof. For the sake of convenience we put

$$M = \sigma_{e}(A) \backslash \sigma^{I}(A), \ N = \bigcap_{\substack{I \text{ od} = \emptyset}} \sigma^{J}(B).$$

It is clear by Lemma 3 that $N \neq \emptyset$.

We consider some cases.

I. $M \neq \emptyset$. Since M is open but N is closed the inclusion (8) gives us $\partial M \subset N$. If $z \in \partial M$, then for every neighbourhood U_z of z we have $U_z \cap M \neq \emptyset$. Hence $\partial M \subset \sigma_e(A)$.

Let $z^0 \in \partial M$, i.e. $z^0 \in M$ and $z^0 \in \sigma_e(A)$. Hence $z^0 \in \sigma^I(A)$. But $\partial M \subset N$ and thus $z^0 \in \sigma^I(A) \cap N$. This case is completed.

II. $M = \emptyset$. In this case $\sigma_e(A) = \sigma^I(A)$. We suppose that (10) is wrong, i.e. through this case we suppose that $\sigma^I(A) \cap N = \emptyset$.

1. Let first $\sigma_F(A) = \emptyset$. Now $\sigma(A) = \sigma_e(A) = \sigma^I(A)$. We show that N is a closed and open subset of $\sigma(B)$. It is enough to be proved that N is open. If N is not open there exists a sequence $\{z^m\}_{m=1}^{\infty} \subset \sigma(B) \setminus N$ such that $z^m \not m \to \infty$ $z^0 \in N$. By (9) we have $\{z^m\} \subset \sigma(A)$, so that $z^0 \in \sigma(A) = \sigma^I(A)$, i.e. $z^0 \in \sigma^I(A) \cap N$ which is impossible by assumption. Hence N is a closed and open subset of $\sigma(B)$.

Let f(z) be an analytic function in a neighbourhood of $N \cup \sigma(A)$ and S is a smooth surface around N such that $S \cap \sigma(A) = \emptyset$. We have by (4) that

$$f(A) = \frac{1}{(2\pi i)^n} \int_{S} f(z) R(A, z) \wedge dz_1 \wedge \ldots \wedge dz_n = 0,$$

and

$$f(B) = \frac{1}{(2\pi i)^n} \int_S f(z) R(B, z) \wedge dz_1 \wedge \ldots \wedge dz_n \neq 0.$$

But (6) implies 0 = f(A)X = Xf(B) and 0 = Yf(A) = f(B)Y, therefore f(B) = 0. This contradiction shows that this situation is impossible.

2. Let now $\sigma_F(A) \neq \emptyset$. It is easy to see that there exists a component L_0 of $C^n \setminus \sigma_e(A)$ such that $N \subset L_0$.

Let first $L_0 \subset \sigma(A)$, i.e. $L_0 \subset \sigma_F(A)$. Then for every $z \in L_0$ there is i $(0 \le i \le n)$ such that $h_i(z) \ne \{0\}$ and by Lemma 1 we have $z \in \sigma(B)$, hence $\bar{L}_0 \subset \sigma(B)$. We denote by P the boundary of unbounded component of $C^n \setminus L_0$. If $\dim \sigma_F(A) < n$, P will be an arbitrary component of the boundary of L_0 . Since $P \subset \sigma(A) = \sigma^I(A)$, then $P \cap N = \emptyset$. We show that $P \cap \sigma_e(B) = \emptyset$. It is enough to show that $P \cap (\sigma_e(B) \setminus N) = \emptyset$. Let us assume that there is $z^0 \in P \cap (\sigma_e(B) \setminus N)$. Then there is a subset J_0 of N as in Definition 2 with the property $J_0 \cap I = \emptyset$ and such that $z^0 \in \sigma_e(B) \setminus \sigma^{J_0}(B)$. That is why $\operatorname{Im} \alpha_i(z^0)$ is closed for every $i = 0, 1, \ldots, n$ and for every $j \in J_0$ we have $\dim \chi_j(z^0) < +\infty$ but exists $p \in J_0$ such that $\dim \chi_p(z^0) = +\infty$. According to Lemma 4 there is neighbourhood U_{z^0} of the point z^0 such that for every $z \in U_{z^0}$ we have $\dim \chi_j(z) < +\infty$ for every $j \in J_0$ and $\dim \chi_p(z) = +\infty$. It follows by Lemma 1 that $\dim h_p(z) = +\infty$ for every $z \in U_{z^0}$. Since $z^0 \in P$, then $U_{z^0} \cap \sigma_F(A) \ne \emptyset$. Then for every $z \in U_{z^0} \cap \sigma_F(A)$ we have $\dim h_p(z) = +\infty$ which is impossible. Thus we proved that $P \cap \sigma_e(B) = \emptyset$. Since $P \subset \sigma(B)$ we have $P \subset \sigma_F(B)$. The set $\sigma_F(B)$ is open but P is compact. That is why there exists an open set $K_0 \subset \sigma_F(B)$ such that $P \subset K_0$. Let Q be the boundary of

the unbounded component of $C^n \setminus K_0$. It is clear that $Q \subset \partial K_0$ and hence $Q \cap \bar{L_0} = \infty$. Then $Q \cap N = \infty$. But by (7) we have

$$Q \subset \partial K_0 \subset \partial \sigma_{\epsilon}(B) \partial \bigcap_{J} \sigma^{J}(B) \subset \bigcap_{J \subset \mathcal{O}} \sigma^{J}(B) = N$$

We obtained a contradiction, so the situation is impossible.

Let now $L_0 \not\subset \sigma(A)$. It is clear that L_0 intersects $\sigma(A)$ in a finite number of points from $\sigma_F(A)$ only. We have by (9) that there is a smooth surface S around N and $S \cap \sigma(A) = \infty$. Then by (6) the operators

$$T_A = \frac{1}{(2\pi i)^n} \int_S R(A,z) \wedge dz_1 \wedge \ldots \wedge dz_n$$

and

$$T_B = \frac{1}{(2\pi i)^n} \int_S R(B,z) \wedge dz_1 \wedge \ldots \wedge dz_n$$

are double quasisimilar. But T_A is a finite rank operator while T_B is not. This contradiction completes the proof of the theorem.

Remark. In the case of single operators A and B Theorem 1 reduces to the Theorem 2.1 from [5]. In this case, as the remark after Lemma 1 shows, it is not necessary for A and B to be double quasisimilar but similar only.

REFERENCES

- R. E. Curto, Fredholm and invertible tuples of operators. The deformation problem. TAMS 226 (1981) 129-159.
- [2] A. S. FAINSTEIN, V. S. SHULMAN. On a Fredholm complexe of Banach spaces. (Russian). Funktsional. Anal. i Prilozhen. 14 (1980) 87-88.
- [3] L. FIALKOW, A note on quasisimiarity of operators. Acta Sci. Math. (Szeged) 39 (1977) 67-85.
- [4] L. FIALKOW. A note on quasisimilarity of operators II. Pacific J. Math. 70 (1977) 151-162.
- [5] L. FIALKOW. A note on the operator $X \rightarrow AX XB$. TAMS 243 (1978) 147-168.
- [6] T. B. HOOVER. Quasisimilarity of operators. Illinois J. Math. 16 (1972) 678-686.
- [7] R. N. LEVY. Notes on the Taylor joint spectrum of commuting operators. Banach Center Publ. VIII, Operator theory, Warsaw, 1981, 321-332.
- [8] R. N. LEVY. Algebraic and topological K-functors of commuting operators. J. Operator Theory. 21 (1989) 219-253.
- [9] J. L. TAYLOR. A joint spectrum for several commuting operators. J. Funct. Anal. 6 (1970) 172-191.
- [10] J. L. TAYLOR. Analytic functional calculus for several commuting operators. Acta Math. 125 (1970) 1-38.

- [11] N. BOURBAKI. Theories spectrales. Paris 1967.
- [12] T. M. GAMALIN. Uniform algebras., Englewood Cliffs, 1969.

17 Grozdan Uzunov str. 9708 Shoumen Bulgaria

Received 28.10.1991