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ON THE STABILIZATION OF THE WAVE EQUATION BY THE BOUNDARY

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ABSTRACT. We study the distribution of the (complex) eigenvalues for interior boundary value problems with dissipative boundary conditions in the case of C^1 -smooth boundary under some natural assumption on the behaviour of the geodesics. As a consequence we obtain energy decay estimates of the solutions of the corresponding wave equation.

1. Introduction and statement of results. Let $\mathcal{O}^{\sharp} \subset \mathbf{R}^{n}$, $n \geq 2$, be a bounded, connected domain with a C^{∞} -smooth boundary $\partial \mathcal{O}^{\sharp}$, and let $g^{\sharp} = \sum_{i,j=1}^{n} g_{ij}^{\sharp}(x) dx_i dx_j$ be a Riemannian metric in \mathcal{O}^{\sharp} , $g_{ij}^{\sharp} \in C^{\infty}(\overline{\mathcal{O}^{\sharp}})$. Let $\mathcal{O} \subset \mathcal{O}^{\sharp}$, $\partial \mathcal{O} \cap \partial \mathcal{O}^{\sharp} = \emptyset$, be another bounded, connected domain with boundary of class C^{1} , equipped with the Riemannian metric $g = \sum_{i,j=1}^{n} g_{ij}(x) dx_i dx_j := g^{\sharp}|_{\mathcal{O}}$. Denote by Δ_g the (negative) Laplace-Beltrami operator on (\mathcal{O}, g) , i.e.

$$\Delta_g = p^{-1} \sum_{i,j=1}^n \partial_{x_i} \left(p g^{ij} \partial_{x_j} \right),$$

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where (g^{ij}) is the inverse matrix to (g_{ij}) , and $p = (\det(g_{ij}))^{1/2} = (\det(g^{ij}))^{-1/2}$. Our purpose is to study the energy decay of the solutions of the equation

(1.1)
$$\begin{cases} (\partial_t^2 - \Delta_g)u(t,x) = 0 & \text{in } \mathcal{O} \times \mathbf{R}, \\ u(0,x) = f_1(x), \partial_t u(0,x) = f_2(x) & \text{in } \mathcal{O}, \\ -\partial_\nu u + a(x)\partial_t u = 0 & \text{on } \partial\mathcal{O} \times \mathbf{R}, \end{cases}$$

where ν is the unit inner normal to $\partial \mathcal{O}$ associated to the metric g, and $a(x) \in C(\partial \mathcal{O})$ is a non-identically zero real-valued function such that $a(x) \geq 0, \forall x \in \partial \mathcal{O}$. The energy of the solution u(t, x) is given by

$$E(t) = \frac{1}{2} \int_{\mathcal{O}} \left(|\partial_t u(t, x)|^2 + |\nabla_g u(t, x)|^2 \right) p dx.$$

When $\partial \mathcal{O}$ is of class C^{∞} , Bardos, Lebeau and Rauch [1] gave a necessary and sufficient condition which guarantees the exponential energy decay

(1.2)
$$E(t) \le Ce^{-ct}E(0), \quad t \ge 1, C, c > 0$$

Roughly speaking, this condition says that every generalized geodesic must meet the set $\Gamma := \{x \in \partial \mathcal{O} : a(x) > 0\}$ at a *nondiffractive* point at time $\leq T$ for some constant T > 0. We refer to [1] for more precise definitions and statements. Burq [2] extended their result to the case of C^3 -smooth boundary and C^2 -smooth metric g.

Consider in the Hilbert space $H = H_1(\mathcal{O}) \oplus L^2(\mathcal{O})$, where $L^2(\mathcal{O}) := L^2(\mathcal{O}, d\operatorname{Vol}_g)$, $H_1(\mathcal{O})$ is the closure of $C^{\infty}(\overline{\mathcal{O}})$ with respect to the norm $\int_{\mathcal{O}} |\nabla_g u|^2 d\operatorname{Vol}_g$, the operator

$$A = -i \left(\begin{array}{cc} 0 & Id \\ \Delta_g & 0 \end{array} \right)$$

with domain

$$D(A) = \{ u = (u_1, u_2) \in H : Au \in H, -\partial_{\nu} u_1 + au_2 = 0 \text{ on } \partial \mathcal{O} \}.$$

It is well known that iA is a generator of a semi-group, e^{itA} , and the solution of (1.1) is given by

$$\left(\begin{array}{c} u\\ \partial_t u\end{array}\right) = e^{itA} \left(\begin{array}{c} f_1\\ f_2\end{array}\right).$$

Moreover, the resolvent of A is a compact operator, so spec A is discrete, $0 \in \operatorname{spec} A$, with no other eigenvalues on $\operatorname{Im} \lambda = 0$. In other words, we have spec $A \setminus \{0\} \subset \{\operatorname{Im} \lambda > 0\}$. It is easy to see that a $\lambda \in \mathbb{C}$ belongs to spec A iff the following problem has a non-trivial solution:

(1.3)
$$\begin{cases} (\Delta_g + \lambda^2)u = 0 & \text{in } \mathcal{O}, \\ -\partial_{\nu}u + i\lambda a(x)u = 0 & \text{on } \partial\mathcal{O}. \end{cases}$$

Clearly, the bound (1.2) would follow from

(1.4)
$$\|e^{itA}f\|_H \le C' e^{-ct/2} \|f\|_H, \quad \forall f \in H' := H \ominus \operatorname{Ker} A.$$

The bound (1.4) implies that spec $A \setminus \{0\} \subset \{\operatorname{Im} \lambda \ge c/2\}$ and

(1.5)
$$\|(A-\lambda)^{-1}\|_{\mathcal{L}(H)} \le C_0 \quad \text{for} \quad \text{Im}\,\lambda \le c_0, \, |\lambda| \ge 1,$$

for some constants $C_0, c_0 > 0$. Note that the inverse is also true, that is, $(1.5) \Rightarrow (1.4)$. In fact, to get (1.4) it suffices to have (1.5) for real λ , $|\lambda| \gg 1$, only.

Lebeau and Robbiano [4] proved without any conditions on the geodesics (still in the case of C^{∞} -smooth boundary, assuming only that $a \ge 0$ and $\Gamma \ne \emptyset$) that spec $A \setminus \{0\} \subset \{\operatorname{Im} \lambda \ge C_1 e^{-C_2|\lambda|}\}$ for some constants $C_1, C_2 > 0$ and that

(1.6)
$$\|(A-\lambda)^{-1}\|_{\mathcal{L}(H)} \le \widetilde{C}_1 e^{\widetilde{C}_2|\lambda|} \quad \text{for} \quad \text{Im}\,\lambda \le C_1' e^{-C_2'|\lambda|}, \, |\lambda| \ge 1,$$

for some positive constants $C'_1, \widetilde{C}_1, C'_2, \widetilde{C}_2$. It is easy to see that (1.6) follows from (1.6) with Im $\lambda = 0$. One can derive from (1.6) (e.g. see [3], Theorem 3) that for every integer $m \ge 1$,

(1.7)
$$E(t)^{1/2} \leq C \|e^{itA}f\|_H \leq C_m (\log t)^{-m} \|f\|_{D(A^m)}, t \geq 2, \forall f \in D(A^m) \cap H',$$

where $\|f\|_{D(A^m)} := \|(A+1)^m f\|_H.$

The purpose of the present paper is to obtain an intermediate result between (1.2) and (1.7) for boundaries with little regularity. We make the following assumptions

(1.8)
$$a(x) \ge a_0 > 0 \quad \forall x \in \partial \mathcal{O},$$

and

$$\exists T > 0$$
 so that for every g^{\sharp} -geodesic $\gamma(t)$ with $\gamma(0) \in \mathcal{O}^{\sharp}$ there exists $t \in (0, T]$

(1.9) such that
$$\gamma(t) \in \partial \mathcal{O}^{\sharp}$$
.

Note that (1.9) is trivially fulfilled for arbitrary \mathcal{O} if g^{\sharp} is the Euclidean metric $\sum_{j=1}^{n} dx_{j}^{2}$. It is worth noticing that the condition of [1] does not imply (1.9) as, to our best knowledge, it is not possible to define the generalized bicharacteristic flow when the boundary is only C^{1} -smooth. But such an implication is also hard to see (at least for the authors) even for C^{∞} -smooth boundary.

Our main result is the following

Theorem 1.1. Under the assumptions (1.8) and (1.9), we have

(1.10)
$$\operatorname{spec} A \setminus \{0\} \subset \{\operatorname{Im} \lambda \ge C(1+|\lambda|)^{-1}\}, \quad C > 0,$$

and

(1.11)
$$\|(A-\lambda)^{-1}\|_{\mathcal{L}(H)} \le C_1|\lambda| \quad for \quad \text{Im } \lambda \le C_2|\lambda|^{-1}, \ |\lambda| \ge 1,$$

for some constants $C_1, C_2 > 0$.

In the same way as in [3], Theorem 3, (see also [5], Section 3) one can derive from the above theorem the following

Corollary 1.2. Under the assumptions (1.8) and (1.9), for every integer $m \ge 1$,

(1.12)
$$\|e^{itA}f\|_H \le C_m \left(t^{-1}\log t\right)^m \|f\|_{D(A^m)}, \quad t \ge 2, \forall f \in D(A^m) \cap H'.$$

It is easy to see that to prove the above theorem it suffices to prove (1.11) for real λ , $|\lambda| \gg 1$, only. This in turn is done by proving suitable a priori estimates for the solutions of the equation (1.3) (with non zero RHS) with real $\lambda \gg 1$.

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2. Uniform a priori estimates. Let $u \in H^2(\mathcal{O})$ satisfy the equation

(2.1)
$$\begin{cases} (\Delta_g + \lambda^2)u = v & \text{in } \mathcal{O}, \\ u|_{\partial \mathcal{O}} = f, \partial_{\nu}u|_{\partial \mathcal{O}} = \lambda h, \end{cases}$$

where $\lambda \gg 1$ is real. In what follows, $\|\cdot\|$, $\langle\cdot,\cdot\rangle$, $\|\cdot\|_{\sharp}$, $\langle\cdot,\cdot\rangle_{\sharp}$, $\|\cdot\|_{0}$, $\langle\cdot,\cdot\rangle_{0}$ will denote the norms and the scalar products in $L^{2}(\mathcal{O})$, $L^{2}(\mathcal{O}^{\sharp})$, $L^{2}(\partial\mathcal{O})$, respectively. Here $L^{2}(\partial\mathcal{O}) := L^{2}(\partial\mathcal{O}, d\operatorname{Vol}_{\partial g})$, where ∂g denotes the Riemannian metric on $\partial\mathcal{O}$ induced by the metric g. We equipe the Sobolev space $H^{s}(\mathcal{O})$, $s \geq 0$, (and similarly $H^{s}(\mathcal{O}^{\sharp})$) with the semi-classical norm

$$||w||_{H^s(\mathcal{O})} := ||(1 - \lambda^{-2}\Delta_g)^{s/2}w||.$$

We will derive Theorem 1.1 from the following

Theorem 2.1. Under the assumption (1.9), there exist constants $C, \lambda_0 > 0$ so that for $\lambda \geq \lambda_0$ we have

(2.2)
$$||u|| \le C\lambda^{-1} ||v|| + C\lambda^{1/2} ||f||_0 + C\lambda^{1/2} ||h||_0.$$

Let
$$u \in H^2(\mathcal{O})$$
 satisfy the equation (with real $\lambda \gg 1$)

(2.3)
$$\begin{cases} (\Delta_g + \lambda^2)u = v \quad \text{in } \mathcal{O}, \\ -\partial_{\nu}u + i\lambda a(x)u = 0 \quad \text{on } \partial \mathcal{O}. \end{cases}$$

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By Green's formula we have (with $f = u|_{\partial \mathcal{O}}$)

 $-\mathrm{Im}\,\langle\Delta_g u, u\rangle = \mathrm{Im}\,\langle\partial_\nu u|_{\partial\mathcal{O}}, f\rangle_0,$

and hence, in view of (1.8),

(2.4)
$$-\operatorname{Im}\langle v, u \rangle = \lambda \langle af, f \rangle_0 \ge a_0 \lambda \|f\|_0^2$$

By (2.2) and (2.4),

$$\|u\|^{2} \leq C_{1}\lambda^{-2}\|v\|^{2} + C_{1}\lambda\|f\|_{0}^{2}$$

$$\leq C_{1}\lambda^{-2}\|v\|^{2} + C_{2}|\langle v, u\rangle| \leq C_{3}\|v\|^{2} + \frac{1}{2}\|u\|^{2}.$$

Hence,

$$||u|| \le C_4 ||v||, \quad C_4 > 0,$$

which yields

(2.5) $||u||_{H^1(\mathcal{O})} \le C||v||, \quad C > 0.$

It is easy to see that (2.5) implies (1.11) for real $\lambda \gg 1$, and hence the theorem itself.

Proof of Theorem 2.1. Recall first that bicharacteristic flow $\Phi(t)$: $T^*\mathcal{O}^{\sharp} \to T^*\mathcal{O}^{\sharp}, t \in \mathbf{R}$, associated to the metric g^{\sharp} is defined by $(x(t), \xi(t)) = \Phi(t)(x^0, \xi^0)$, where

(2.6)
$$\begin{cases} \dot{x}(t) = \frac{\partial r^{\sharp}(x,\xi)}{\partial \xi}, \\ \dot{\xi}(t) = -\frac{\partial r^{\sharp}(x,\xi)}{\partial x}, \\ x(0) = x^{0}, \xi(0) = \xi^{0}, \end{cases}$$

 $r^{\sharp}(x,\xi)$ being the principal symbol of $-\Delta_{g^{\sharp}}$. Fix $(x^{0},\xi^{0}) \in T^{*}\mathcal{O}^{\sharp}$, $r^{\sharp}(x^{0},\xi^{0}) = 1$, and choose a function $p(x,\xi) \in C_{0}^{\infty}(T^{*}\mathcal{O}^{\sharp})$, $0 \leq p \leq 1$, p = 1 in a small neighbourhood of (x^{0},ξ^{0}) and p = 0 outside another neighbourhood of (x^{0},ξ^{0}) so that $\operatorname{supp}_{x}p \cap \partial \mathcal{O}^{\sharp} = \emptyset$. Let t > 0 be such that $x(\tau) \notin \partial \mathcal{O}^{\sharp}$, $\forall \tau \in [0,t]$, $x(0) \in \operatorname{supp}_{x}p$. For $\tau \in [0,t]$ denote $p_{\tau}(x,\xi) = p(\Phi(-\tau)(x,\xi)) \in C_{0}^{\infty}(T^{*}\mathcal{O}^{\sharp})$. It is easy to see from (2.6) that we have

(2.7)
$$\partial_{\tau} p_{\tau} + \{ r^{\sharp}, p_{\tau} \} = 0, \quad 0 \le \tau \le t,$$

where $\{\cdot, \cdot\}$ denotes the Poisson brackets. Denote by $p_{\tau}(x, \mathcal{D}_x), \mathcal{D}_x := (i\lambda)^{-1}\partial_x$, the $\lambda - \Psi DO$ with symbol $p_{\tau}(x, \xi)$, i.e.

$$p_{\tau}(x, \mathcal{D}_x)u := \left(\frac{\lambda}{2\pi}\right)^n \int \int e^{i\lambda \langle x-y,\xi \rangle} p_{\tau}(x,\xi)u(y)d\xi dy.$$

It follows easily from (2.7) that, for $0 \le \tau \le t$, we have

(2.8)
$$Q := \lambda \partial_{\tau} p_{\tau}(x, \mathcal{D}_x) + i[\Delta_{g^{\sharp}}, p_{\tau}(x, \mathcal{D}_x)] = O(1) : L^2(\mathcal{O}^{\sharp}) \to L^2(\mathcal{O}^{\sharp}).$$

Given a function w defined in \mathcal{O} , \tilde{w} will denote its extension by zero outside \mathcal{O} . We have in sense of distributions

(2.9)
$$\Delta_{g^{\sharp}} \widetilde{w} = \widetilde{\Delta_g w} + (\partial_{\nu} w|_{\partial \mathcal{O}}) \,\delta + (w|_{\partial \mathcal{O}}) \,\delta',$$

where δ and δ' denote the delta density on $\partial \mathcal{O}$ and its first derivative defined by

$$\delta(\varphi) = \int_{\partial \mathcal{O}} \varphi d\operatorname{Vol}_{\partial g}, \quad \delta'(\varphi) = -\int_{\partial \mathcal{O}} \partial_{\nu} \varphi d\operatorname{Vol}_{\partial g}, \quad \varphi \in C_0^{\infty}(\mathbf{R}^n).$$

Suppose that $\operatorname{supp}_x p_\tau \cap \partial \mathcal{O} \neq \emptyset$. In view of (2.8) and (2.9), we have

$$\begin{aligned} \frac{1}{2} \frac{d}{d\tau} \| p_{\tau}(x, \mathcal{D}_{x}) \widetilde{u} \|_{\sharp}^{2} &= \operatorname{Re} \left\langle \partial_{\tau} p_{\tau}(x, \mathcal{D}_{x}) \widetilde{u}, p_{\tau}(x, \mathcal{D}_{x}) \widetilde{u} \right\rangle_{\sharp} \\ &= \lambda^{-1} \operatorname{Im} \left\langle [\Delta_{g^{\sharp}}, p_{\tau}(x, \mathcal{D}_{x})] \widetilde{u}, p_{\tau}(x, \mathcal{D}_{x}) \widetilde{u} \right\rangle_{\sharp} + \lambda^{-1} \operatorname{Re} \left\langle Q \widetilde{u}, p_{\tau}(x, \mathcal{D}_{x}) \widetilde{u} \right\rangle_{\sharp} \\ &= -\lambda^{-1} \operatorname{Im} \left\langle (\Delta_{g^{\sharp}} + \lambda^{2}) \widetilde{u}, p_{\tau}(x, \mathcal{D}_{x})^{*} p_{\tau}(x, \mathcal{D}_{x}) \widetilde{u} \right\rangle_{\sharp} + \lambda^{-1} \operatorname{Re} \left\langle Q \widetilde{u}, p_{\tau}(x, \mathcal{D}_{x}) \widetilde{u} \right\rangle_{\sharp} \\ &= -\lambda^{-1} \operatorname{Im} \left\langle \widetilde{v}, p_{\tau}(x, \mathcal{D}_{x})^{*} p_{\tau}(x, \mathcal{D}_{x}) \widetilde{u} \right\rangle_{\sharp} + \operatorname{Im} \left\langle h, (p_{\tau}(x, \mathcal{D}_{x})^{*} p_{\tau}(x, \mathcal{D}_{x}) \widetilde{u} \right\rangle_{|\partial \mathcal{O}} \right\rangle_{0} \\ &- \lambda^{-1} \operatorname{Im} \left\langle f, (\partial_{\nu} p_{\tau}(x, \mathcal{D}_{x})^{*} p_{\tau}(x, \mathcal{D}_{x}) \widetilde{u} \right)_{|\partial \mathcal{O}} \right\rangle_{0} + \lambda^{-1} \operatorname{Re} \left\langle Q \widetilde{u}, p_{\tau}(x, \mathcal{D}_{x}) \widetilde{u} \right\rangle_{\sharp}. \end{aligned}$$

Hence

$$\left\|\frac{d}{d\tau}\|p_{\tau}(x,\mathcal{D}_x)\widetilde{u}\|_{\sharp}^2\right\|$$

$$\leq O(\lambda^{-1}) \|v\| \|p_{\tau}(x, \mathcal{D}_{x})\widetilde{u}\|_{\sharp} + O(1) \|h\|_{0} \|(p_{\tau}(x, \mathcal{D}_{x})^{*}p_{\tau}(x, \mathcal{D}_{x})\widetilde{u})|_{\partial\mathcal{O}}\|_{0}$$

+ $O(1) \|f\|_{0} \|(\mathcal{D}_{\nu}p_{\tau}(x, \mathcal{D}_{x})^{*}p_{\tau}(x, \mathcal{D}_{x})\widetilde{u})|_{\partial\mathcal{O}} \|_{0} + O(\lambda^{-1}) \|u\| \|p_{\tau}(x, \mathcal{D}_{x})\widetilde{u}\|_{\sharp}.$

On the other hand, by the trace theorem we have

$$\begin{aligned} \|(p_{\tau}(x,\mathcal{D}_{x})^{*}p_{\tau}(x,\mathcal{D}_{x})\widetilde{u})|_{\partial\mathcal{O}}\|_{0} \\ \leq O(\lambda^{1/2})\|p_{\tau}(x,\mathcal{D}_{x})^{*}p_{\tau}(x,\mathcal{D}_{x})\widetilde{u}\|_{H^{1/2}(\mathcal{O}^{\sharp})} \leq O(\lambda^{1/2})\|p_{\tau}(x,\mathcal{D}_{x})\widetilde{u}\|_{\sharp}, \\ \|(\mathcal{D}_{\nu}p_{\tau}(x,\mathcal{D}_{x})^{*}p_{\tau}(x,\mathcal{D}_{x})\widetilde{u})|_{\partial\mathcal{O}}\|_{0} \\ \leq O(\lambda^{1/2})\|p_{\tau}(x,\mathcal{D}_{x})^{*}p_{\tau}(x,\mathcal{D}_{x})\widetilde{u}\|_{H^{3/2}(\mathcal{O}^{\sharp})} \leq O(\lambda^{1/2})\|p_{\tau}(x,\mathcal{D}_{x})\widetilde{u}\|_{\sharp}. \end{aligned}$$

Hence

(2.10)
$$\left| \frac{d}{d\tau} \| p_{\tau}(x, \mathcal{D}_x) \widetilde{u} \|_{\sharp} \right| \leq O(\lambda^{-1}) \| v \| + O(\lambda^{1/2}) (\| f \|_0 + \| h \|_0) + O(\lambda^{-1}) \| u \|.$$

Clearly, if $\operatorname{supp}_x p_\tau \cap \partial \mathcal{O} = \emptyset$, (2.10) holds with f = h = 0. Thus we get

$$\|p(x,\mathcal{D}_x)\widetilde{u}\|_{\sharp} = \|p_t(x,\mathcal{D}_x)\widetilde{u}\|_{\sharp} - \int_0^t \frac{d}{d\tau} \|p_{\tau}(x,\mathcal{D}_x)\widetilde{u}\|_{\sharp} d\tau$$

(2.11)
$$\leq \|p_t(x, \mathcal{D}_x)\widetilde{u}\|_{\sharp} + O(\lambda^{-1})\|v\| + O(\lambda^{1/2})(\|f\|_0 + \|h\|_0) + O(\lambda^{-1})\|u\|.$$

Clearly, there exist a domain $\mathcal{O}' \subset \mathcal{O}^{\sharp}$ and a constant $0 < \delta_0 \ll 1$ such that $\mathcal{O} \subset \mathcal{O}', \ \partial \mathcal{O} \cap \partial \mathcal{O}' = \emptyset$, and $\operatorname{dist}(\mathcal{O}', \partial \mathcal{O}^{\sharp}) \geq \delta_0$. Fix now a $\zeta^0 = (x^0, \xi^0) \in T^*\mathcal{O}', r^{\sharp}(x^0, \xi^0) = 1$. By (1.9), there exist a neighbourhood $U(\zeta^0) \subset T^*\mathcal{O}'$ of ζ^0 and $0 < t = t(\zeta^0) \leq T$ so that

$$\pi_x \Phi(t) U(\zeta^0) \subset \{ x \in \mathcal{O}^{\sharp} : \delta_0/4 \le \operatorname{dist}(x, \partial \mathcal{O}^{\sharp}) \le \delta_0/2 \},\$$

where $\pi_x(x,\xi) := x$. Choose a function $p(x,\xi) \in C_0^{\infty}(U(\zeta^0))$, p = 1 in a smaller neighbourhood of ζ^0 . Let $p_t(x,\xi)$ be as above and choose a function $\eta(x) \in C_0^{\infty}(\mathcal{O}^{\sharp})$ such that $\operatorname{supp} \eta \subset \{x \in \mathcal{O}^{\sharp} : \operatorname{dist}(x,\partial \mathcal{O}^{\sharp}) \leq 2\delta_0/3\}, \eta = 1$ on $\operatorname{supp}_x p_t$. We have $\eta \widetilde{u} = 0$ and hence

$$p_t(x, \mathcal{D}_x)\widetilde{u} = \eta(x)p_t(x, \mathcal{D}_x)\widetilde{u} = [\eta(x), p_t(x, \mathcal{D}_x)]\widetilde{u},$$

so we obttin

(2.12)
$$\|p_t(x, \mathcal{D}_x)\widetilde{u}\|_{\sharp} \le O(\lambda^{-1})\|\widetilde{u}\|_{\sharp}$$

By (2.11) and (2.12), we conclude

(2.13)
$$\|p(x, \mathcal{D}_x)\widetilde{u}\|_{\sharp} \le O(\lambda^{-1})\|v\| + O(\lambda^{1/2})(\|f\|_0 + \|h\|_0) + O(\lambda^{-1})\|u\|$$

Fix now a $\zeta^0 = (x^0, \xi^0) \in T^*\mathcal{O}'$ such that $r^{\sharp}(x^0, \xi^0) \neq 1$. Suppose that $r^{\sharp}(x^0, \xi^0) > 1$ (the case $r^{\sharp}(x^0, \xi^0) < 1$ is treated similarly). Then there exists a (conic for $|\xi| \gg 1$) neighbourhood $W(\zeta^0) \subset T^*\mathcal{O}$ of ζ^0 such that $r^{\sharp}(x,\xi) > 1$ in $W(\zeta^0)$. Choose functions $q(x,\xi), q_1(x,\xi) \in C^{\infty}(W(\zeta^0)), q = 1$ in a smaller neighbourhood of $\zeta^0, q_1 = 1$ on supp q. Thus we have that the operator $-\lambda^{-2}\Delta_{g^{\sharp}} - 1$ considered as a semi-classical differential operator is elliptic on $\operatorname{supp} q_1$ with a strictly positive principal symbol. Therefore, by Gärding's inequality we have

(2.14)
$$\operatorname{Re} \langle q_1(x, \mathcal{D}_x)(-\lambda^{-2}\Delta_{g^{\sharp}} - 1)\widetilde{u}, q(x, \mathcal{D}_x)\widetilde{u} \rangle_{\sharp} \\ \geq C \|q(x, \mathcal{D}_x)\widetilde{u}\|_{\sharp}^2 - O(\lambda^{-2}) \|\widetilde{u}\|_{\sharp}^2, \quad C > 0.$$

On the other hand, as above we have

$$\operatorname{Re} \left\langle (-\lambda^{-2}\Delta_{g^{\sharp}} - 1)\widetilde{u}, q_{1}(x, \mathcal{D}_{x})^{*}q(x, \mathcal{D}_{x})\widetilde{u} \right\rangle_{\sharp} = -\lambda^{-2}\operatorname{Re} \left\langle \widetilde{v}, q_{1}(x, \mathcal{D}_{x})^{*}q(x, \mathcal{D}_{x})\widetilde{u} \right\rangle_{\sharp} \\ +\lambda^{-1}\operatorname{Re} \left\langle h, (q_{1}(x, \mathcal{D}_{x})^{*}q(x, \mathcal{D}_{x})\widetilde{u}) |_{\partial \mathcal{O}} \right\rangle_{0} - \lambda^{-2}\operatorname{Re} \left\langle f, (\partial_{\nu}q_{1}(x, \mathcal{D}_{x})^{*}q(x, \mathcal{D}_{x})\widetilde{u}) |_{\partial \mathcal{O}} \right\rangle_{0} \\ (2.15) \qquad \leq \left(O(\lambda^{-2}) \|v\| + O(\lambda^{-1/2}) (\|f\|_{0} + \|h\|_{0}) \right) \|q(x, \mathcal{D}_{x})\widetilde{u}\|_{\sharp}.$$

Combining (2.14) and (2.15) leads to the estimate

(2.16) $\|q(x, \mathcal{D}_x)\widetilde{u}\|_{\sharp} \le O(\lambda^{-2})\|v\| + O(\lambda^{-1/2})(\|f\|_0 + \|h\|_0) + O(\lambda^{-1})\|u\|.$

Now (2.2) follows from (2.13) and (2.16) by a microlocal partition of the unity on $T^*\mathcal{O}'$. \Box

$\mathbf{R} \, \mathbf{E} \, \mathbf{F} \, \mathbf{E} \, \mathbf{R} \, \mathbf{E} \, \mathbf{N} \, \mathbf{C} \, \mathbf{E} \, \mathbf{S}$

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