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A Comparison Theorem in the Theory of Quadrature Formulas

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Presented by Bl. Sendov

We prove here that the best quadrature formula in $W_q^r[a, b]$ which uses lower derivatives at a and b has a smaller error.

1. Introduction

Let $\bar{\lambda} = \{\lambda_1, \dots, \lambda_m\}$ and $\bar{\mu} = \{\mu_1, \dots, \mu_n\}$ be two sets of integers, such that $m+n \geq r$,

$$0 \leq \lambda_1 < \dots < \lambda_m \leq r-1, \quad 0 \leq \mu_1 < \dots < \mu_n \leq r-1,$$

where r is a given natural number.

Denote by $\Sigma_{\bar{\lambda}, \bar{\mu}}$ the class of all quadrature formulas of the form

$$(1) \quad L: I(f) \approx L(f) := \sum_{k=1}^m A_k f^{(\lambda_k)}(a) + \sum_{k=1}^n B_k f^{(\mu_k)}(b),$$

where $I(f) := \int_a^b f(t) dt$ and the coefficients A_k and B_k are free parameters. We shall consider here these formulas in the class

$$B(W_q^r) := \{f \in C^{r-1}[a, b], f^{(r)} - \text{abs. cont. in } [a, b], \|f^{(r)}\|_{L_q[a, b]} \leq 1\}, \quad 1 \leq q \leq \infty.$$

The error $R(L)$ of the quadrature formula (1) in $B(W_q^r)$ is defined as follows:

$$R(L) := \sup_{f \in B(W_q^r)} |I(f) - L(f)|.$$

Definition. The quadrature formula $L^* \in \Sigma_{\bar{\lambda}, \bar{\mu}}$ is said to be the "best" in $\Sigma_{\bar{\lambda}, \bar{\mu}}$, if

$$R(L^*) = \inf_{L \in \Sigma_{\bar{\lambda}, \bar{\mu}}} R(L) := R_{\bar{\lambda}, \bar{\mu}}.$$

We shall study only the classes $\Sigma_{\bar{\lambda}, \bar{\mu}}$ for which $R_{\bar{\lambda}, \bar{\mu}} < \infty$.

Given m and n , we say $(\bar{\lambda}', \bar{\mu}') \leq (\bar{\lambda}, \bar{\mu})$, if

$$(2) \quad \lambda'_i \leq \lambda_i, \quad i=1, \dots, m, \quad \mu'_j \leq \mu_j, \quad j=1, \dots, n.$$

Respectively, $(\bar{\lambda}', \bar{\mu}') < (\bar{\lambda}, \bar{\mu})$, if at least one of the inequalities (2) is strict.

The main result of this paper is the following:

Theorem. *Let $(\bar{\lambda}', \bar{\mu}') < (\bar{\lambda}, \bar{\mu})$. Then $R_{\bar{\lambda}', \bar{\mu}'} < R_{\bar{\lambda}, \bar{\mu}}$.*

2. Auxiliary results

It is easy to see, that the best quadrature formula in $\Sigma_{\bar{\lambda}, \bar{\mu}}$ is exact for all algebraic polynomials of degree $r-1$ (see f.e. [3]).

There is an important one-to-one correspondence (see [1]) between quadrature formulas

$$(3) \quad I(f) \approx \sum_{k=1}^m A_k f^{(\lambda_k)}(a) + \sum_{k=1}^n B_k f^{(\mu_k)}(b) + \sum_{k=1}^s a_k f(x_k),$$

which are exact for all algebraic polynomials of degree $r-1$ and monsplines of degree r with knots $(x_i)_1^s$, $a < x_1 < \dots < x_s < b$. In the case (1) the corresponding monsplines are simply algebraic polynomials of the form $P(t) = (-t)^r/r! + c_1 t^{r-1} + \dots + c_r$, satisfying the boundary conditions

$$(4) \quad \begin{aligned} P^{(r-k-1)}(a) &= 0, \quad k \in \{0, \dots, r-1\} \setminus \bar{\lambda} \\ P^{(r-k-1)}(b) &= 0, \quad k \in \{0, \dots, r-1\} \setminus \bar{\mu}. \end{aligned}$$

Moreover, $R(L) = \|P\|_p$, where $\|f\|_p := \left\{ \int_a^b |f(t)|^p dt \right\}^{1/p}$ and p is the conjugate number to q , i.e., $1/p + 1/q = 1$. Therefore,

$$(5) \quad R_{\bar{\lambda}, \bar{\mu}} = \frac{1}{r!} \inf_{P \in \mathcal{P}_{\bar{\lambda}, \bar{\mu}}} \|P\|_p,$$

where $\mathcal{P}_{\bar{\lambda}, \bar{\mu}}$ is the subset of those algebraic polynomials of degree r , which satisfy (4) and have a leading coefficient equal to 1.

Next we prove some auxiliary results from which the theorem easily follows.

Lemma 1. *Let $\xi_i, v_i, i=1, \dots, m$ be integers satisfying the inequalities*

$$(6) \quad \begin{aligned} v_1 > v_2 > \dots > v_m \geq 0, \quad \xi_1 > \dots > \xi_m \geq 0, \\ v_i \geq \xi_i, \quad i=1, \dots, m. \end{aligned}$$

Let $D = (d_{ij})_{m \times m}$, where $d_{ij} := v_j(v_j-1) \dots (v_j-\xi_i+1)$. Then $\det D > 0$.

Proof. We apply induction on m . For $m=2$ the assertion is evidently true. Next, we assume that it is true for each $k < m$. Note that, if there exists an integer l , $2 \leq l \leq m$, such that $v_l < \xi_{l-1}$, then $d_{ij} = 0$ for $i \leq l-1$, $j \geq l$, and thus D is of the form

$$\begin{pmatrix} D' & 0 \\ D'' & D''' \end{pmatrix},$$

where D' , D''' are of lower dimension. Then $\det D = \det D' \det D''' > 0$ in virtue of inductual hypothesis.

Now suppose that $v_l \geq \xi_{l-1}$ for all $l=2, \dots, m$. We shall prove the statement for m by induction with respect to the number G of the gaps in the sequence ξ_1, \dots, ξ_m . For $G=0$ the statement is true because the functions $t^{v_1 - \xi_m}, \dots, t^{v_m - \xi_m}$ form an ET-system in $(0, \infty)$. Suppose that the assertion is true for $G-1$ gaps.

Denote
$$D \begin{pmatrix} i_1, \dots, i_p \\ j_1, \dots, j_p \end{pmatrix} := \det (d_{i_\nu j_\mu})_{\nu=1, \mu=1}^p.$$

By a basic identity for determinants we have

$$\begin{aligned} D \begin{pmatrix} 2, \dots, m-1, m+1 \\ 1, \dots, m-1 \end{pmatrix} \cdot D \begin{pmatrix} 1, \dots, m \\ 1, \dots, m \end{pmatrix} &= D \begin{pmatrix} 2, \dots, m \\ 1, \dots, m-1 \end{pmatrix} \\ \cdot D \begin{pmatrix} 1, \dots, m-1, m+1 \\ 1, \dots, m \end{pmatrix} - D \begin{pmatrix} 1, \dots, m-1 \\ 1, \dots, m-1 \end{pmatrix} \cdot D \begin{pmatrix} 2, \dots, m+1 \\ 1, \dots, m \end{pmatrix}. \end{aligned}$$

Now we use inductual hypothesis for $m-1$ dimension and for $G-1$ gaps, choosing ξ_{m+1} such that $\xi_s > \xi_{m+1} > \xi_{s+1}$, we obtain

$$\text{sign } D \begin{pmatrix} 2, \dots, m-1, m+1 \\ 1, \dots, m-1 \end{pmatrix} = (-1)^{m-1-s}, \quad \text{sign } D \begin{pmatrix} 2, \dots, m \\ 1, \dots, m-1 \end{pmatrix} = 1,$$

$$\text{sign } D \begin{pmatrix} 1, \dots, m-1, m+1 \\ 1, \dots, m \end{pmatrix} = (-1)^{m-1-s}, \quad \text{sign } D \begin{pmatrix} 1, \dots, m-1 \\ 1, \dots, m-1 \end{pmatrix} = 1,$$

$$\text{sign } D \begin{pmatrix} 2, \dots, m+1 \\ 1, \dots, m \end{pmatrix} = (-1)^{m-s}.$$

Then $\text{sign } D \begin{pmatrix} 1, \dots, m \\ 1, \dots, m \end{pmatrix} = 1$, i. e., $\det D > 0$. The lemma is proved.

Lemma 2. Let $\xi_i, v_i, i=1, \dots, m$ be as in Lemma 1. Then there exists a unique polynomial $P(t)$ of the form

$$(7) \quad P(t) = t^r + a_1 t^{v_1} + \dots + a_m t^{v_m}.$$

satisfying the conditions $P^{(\xi_i)}(1)=0$, $i=1, \dots, m$. In addition,

(i) $P(t)$ has no zeros in $(0,1)$,

(ii) $\text{sign } a_k = (-1)^k$, $k=1, \dots, m$, and, consequently, $\text{sign } P(t) = (-1)^m$ in $(0,1)$.

Proof. The existence part and the uniqueness part follow from Lemma 1 and conditions

$$\sum_{j=1}^m v_j(v_j-1) \dots (v_j-\xi_i+1) \cdot a_j = -r(r-1) \dots (r-\xi_i+1) := -C_i, \quad i=1, \dots, m.$$

By Krammer's formulas $a_k = (-1)^k \det \Delta_k / \det D$, $k=1, \dots, m$, where Δ_k is obtained from D , deleting the k -th column and putting $(c_1, \dots, c_m)^T$ as first. By Lemma 1 $\det \Delta_k > 0$. Hence, $\text{sign } a_k = (-1)^k$.

Since

$$P^{(i)}(0) = 0, \quad j \in \{0, \dots, r-1\} \setminus \{v_1, \dots, v_m\}$$

$$P^{(j)}(1) = 0, \quad j \in \{\xi_1, \dots, \xi_m\},$$

the assumption $P(t)=0$ for some $t \in (0, 1)$ holds by Rolle's theorem to the contradiction $0 = P^{(r)}(x) \neq 0$! Therefore, $P(t) \neq 0$ in $(0, 1)$. Then, $\text{sign } P(t) = \text{sign } a_m = (-1)^m$ in $(0, 1)$.

Lemma 3. Suppose that $m, s, (v_k)_1^m, (\xi_k)_1^{m-s}$ are integers such that $m > s > 0$ and $r-1 \geq v_1 > \dots > v_m \geq 0$, $r-1 \geq \xi_1 > \dots > \xi_{m-s} \geq 0$, $v_i \geq \xi_i$, $i=1, \dots, m-s$.

Given $0 < x_1 \leq \dots \leq x_s < 1$, there exists a unique polynomial $P(t)$ of the type (7) satisfying the conditions

$$(8) \quad P^{(\xi_i)}(1) = 0, \quad i=1, \dots, m-s,$$

which vanishes at $(x_i)_1^s$ (under the condition that $P(x_i) = P'(x_i) = \dots = P^{(l)}(x_i) = 0$, if $x_{i-1} < x_i = x_{i+1} = \dots = x_{i+l} < x_{i+l+1}$).

Proof. Since the incidence matrix E corresponding to our interpolation problem does not contain supported blocks of one-entries, we need to show only (according to the Atkinson - Sharma theorem [2]) that E satisfies the Pólya condition: $M_k \geq k+1$, where M_k is the number of one-entries in the first k column of E .

Clearly this holds for $k=1, \dots, s$, since $P(t)$ vanishes at $(x_i)_1^s$. Zeros in the first row of E correspond to v_m, \dots, v_1 , and units in the last row of E correspond to the numbers ξ_{m-s}, \dots, ξ_1 .

The inequality $\xi_{m-s} \leq v_{m-s}$ shows that the first unit in the last row of E appears before $s+1$ -th zero in the first row of E . Next, it is not difficult to verify

that the conditions $\zeta_i \leq v_i$, $i = m-s-1, \dots, 1$, imply $M_k \geq k+1$ for $k = s+1, \dots, r$. The lemma is proved.

Remark 1. It follows from Lemma 3 that the coefficients $(a_k)_1^n$ of the polynomial $P(t)$ are continuous functions of the points $(x_i)_1^s$, $0 \leq x_1 \leq \dots \leq x_s \leq 1$.

Remark 2. Clearly the incidence matrix E from Lemma 3 will remain still poised, if we delete several last columns, if the number of one-entries in the new matrix is equal to the number of columns of this matrix.

Lemma 4. Let $a=0$, $b=1$, $m+n=r+s$, $s>0$, and let $P(t) \in \Sigma_{\lambda, \mu}^-$ be the polynomial, which corresponds to the best quadrature formula in $\Sigma_{\lambda, \mu}^-$. Then $P(t)$ has exactly s zeros in $(0, 1)$ (counting multiplicities).

Proof. According to the conditions (4) the polynomial $P(t)$ is of the type (7) and satisfies (8), where $v_j = r-1-\lambda_j$, $j=1, \dots, m$; $\{\zeta_1 > \dots > \zeta_{m-s}\} = \{0, \dots, r-1\} \setminus \{r-1-\mu_j, j=1, \dots, n\}$. It follows from Lemma 1 that $v_i \geq \zeta_i$, $i=1, \dots, m-s$.

Evidently, $P(t)$ has no more than s zeros in $(0, 1)$. Otherwise, a repeated application of Rolle's theorem yields that $P^{(r)}(t)$ has a zero in $(0, 1)$, that is, a contradiction.

Suppose now that $P(t)$ has l zeros in $(0, 1)$, $l < s$.

Case A. $s-l-1$ is an even number. Let construct a polynomial $q(t) = b_1 t^{v_1} + \dots + b_m t^{v_m}$ satisfying (8), which vanishes at $(x_i)_1^l$ and, in addition, has a zero of multiplicity $s-l-1$ at some point $x_{l+1} \in (0, 1)$, $x_{l+1} \neq x_i$, $i=1, \dots, l$. According to Remark 2, such a polynomial exists. Moreover, $q(t)$ has no other zeros in $(0, 1)$.

Define

$$Q_\varepsilon(t) = P(t) - \varepsilon q(t),$$

where ε is a real number, such that

$$\varepsilon P(t) q(t) \geq 0, \quad |P(t)| \geq |\varepsilon q(t)| \quad \text{in } (0, 1).$$

Then, $|Q_\varepsilon(t)| \leq |P(t)|$ in $(0, 1)$. Therefore, $\|Q_\varepsilon\|_p < \|P\|_p$. On the other hand, by definition $\|P\|_p = \inf \{\|Q\|_p : Q \in \mathcal{P}_{\lambda, \mu}^-\}$. Since $Q_\varepsilon \in \mathcal{P}_{\lambda, \mu}^-$, we get a contradiction.

Case B. $s-l-1$ is an odd number. As in the previous case we construct a polynomial

(i) $q(t) = b_{-1} t^{v_{-1}} + \dots + b_{m-k} t^{v_m}$ with additional zero at $x_{l+1} \in (0, 1)$ of multiplicity $s-l-2$, if there is a k , $1 \leq k \leq m-s$, such that $v_1 \geq \zeta_1 > v_2 \geq \zeta_2 > \dots > \zeta_k$, $v_{k+1} \geq \zeta_k$,

(ii) $q(t) = b_1 t_{m-s+1}^{v_1} + \dots + b_s t_m^{v_s}$ with additional zero at $x_{l+2} \in (0, 1)$ of multiplicity $s-l-2$ and zero $x_{s-1} = 1$, if there is no such k .

Then, in the same way as in Case A, constructing a polynomial Q_ε , we get a contradiction.

Therefore, $P(t)$ has exactly s zeros in $(0, 1)$. The lemma is proved.

3. Proof of the theorem

Let for convenience $a=0$, $b=1$. First, we shall prove the theorem in the case $\bar{\mu}' = \bar{\mu}$, and $\bar{\lambda}'$ differs from $\bar{\lambda}$ by one element, i. e., $\bar{\lambda}'$ is obtained from $\bar{\lambda}$ by replacing some λ_k by α , where $\lambda_{k-1} < \alpha < \lambda_k$.

Let $m+n=r+s$. Every polynomial $P(t) \in \mathcal{P}_{\bar{\lambda}, \bar{\mu}}$ is of the form (7) and satisfies (8), where $v_i = r-1-\lambda_i$, $\{\xi_1 > \dots > \xi_{m-s}\} = \{0, \dots, r-1\} \setminus \{r-1-\mu_i, i=1, \dots, n\}$. We suppose that $\xi_i \leq v_i$, $i=1, \dots, m-s$. Otherwise, it follows easily from Lemma 1 that $\mathcal{P}_{\bar{\lambda}, \bar{\mu}}$ is an empty set.

The proof goes by induction on s . Suppose that $s=0$. In this case there exist unique polynomials $P \in \mathcal{P}_{\bar{\lambda}, \bar{\mu}}$ and $Q \in \mathcal{P}_{\bar{\lambda}', \bar{\mu}'}$. Let $P(t) = t^r + \sum_{i=1}^m a_i t^{v_i}$. Consider the polynomial $q(t) = P(t) - Q(t)$. Clearly

$$q(t) = c_1 t^{v_1} + \dots + c_{k-1} t^{v_{k-1}} + c_k \cdot t^v + a_k \cdot t^{v_k} + c_{k+1} t^{v_{k+1}} + \dots + c_m \cdot t^{v_m},$$

where $v = r-1-\alpha$ and $(c_j)_1^m$ are real numbers satisfying

$$\sum_{\substack{j=1 \\ j \neq k}}^m v_j \cdot (v_j - 1) \cdot \dots \cdot (v_j - \xi_i + 1) \cdot c_j + v \cdot (v-1) \cdot \dots \cdot (v - \xi_i + 1) c_k = -a_k v_k \cdot (v_k - 1) \cdot \dots \cdot (v_k - \xi_i + 1) \quad i=1, \dots, m.$$

By Lemma 1 the determinant of the coefficients of this system is non-zero. As in Lemma 2, we get

$$\text{sign } c_j = -\text{sign } a_k \cdot (-1)^{j-k-1} = (-1)^j, \quad j=1, \dots, m.$$

It follows, on the basis of Remark 2, that $q(t)$ has no zeros in $(0, 1)$. Indeed, otherwise $q(t) \equiv 0$, and hence, $a_k = 0$. But, as we show in Lemma 2, $\text{sign } a_k = (-1)^k$. Thus, $q(t) \neq 0$ in $(0, 1)$. Therefore,

$$(10) \quad \text{sign } P(t) = \text{sign } Q(t) = \text{sign } [P(t) - Q(t)]$$

in $(0, 1)$, which yields $|P(t)| > |Q(t)|$ in $(0, 1)$ and consequently, $\|P\|_p > \|Q\|_p$, which had to be shown.

Now, let $0 < s \leq r-1$. Suppose that the theorem is true for each choice of m and n such that $0 \leq m+n-r < s$. With each $P \in \mathcal{P}_{\bar{\lambda}, \bar{\mu}}$ having s zeros (say $\tau_1 \leq \dots \leq \tau_s$) in $(0, 1)$ we associate the polynomial $Q \in \mathcal{P}_{\bar{\lambda}', \bar{\mu}'}$, which has the same zeros $(\tau_i)_1^s$.

Our next goal is to show that (10) is true in this case. Evidently, (10) implies $\|Q\|_p < \|P\|_p$ and thus, the assertion of the theorem.

We have already proved (10) for $s=0$. Suppose that (10) holds for each choice of m and n such that $0 \leq m+n-r < s$. Assume that $m+n-r=s$. It follows from Lemma 3 that there exist unique polynomials $P \in \mathcal{P}_{\bar{\lambda}, \bar{\mu}}$ and $Q \in \mathcal{P}_{\bar{\lambda}', \bar{\mu}'}$, with given zeros $\tau_1 \leq \dots \leq \tau_s$ in $(0, 1)$. Evidently, $P(t)$ and $Q(t)$ have no other zeros in $(0, 1)$ except $(\tau_i)_1^s$. Let $P(t)$ be of the form

$$P(t) = t^r + a_1 t^{r-1} + \dots + a_m t^m.$$

Then the polynomial $q(t) = P(t) - Q(t)$ has the form

$$q(t) = \sum_{\substack{i=1 \\ i \neq k}}^m c_i t^i + c_k \cdot t^r + a_k t^k.$$

It is seen that $a_i \neq 0$, $i=1, \dots, m$ (otherwise we will get $P^{(r)}(t) = 0$ i.e., a contradiction).

Now, we can show that $q(t)$ has no other zeros in $(0, 1)$ except $(\tau_i)_1^s$. Really, $q(t)$ satisfies the boundary conditions

$$(11) \quad \begin{aligned} q^{(r-i-1)}(0) &= 0, \quad i \in \{0, \dots, r-1\} \setminus \{\bar{\lambda}, \alpha\}; \\ q^{(r-i-1)}(1) &= 0, \quad i \in \{0, \dots, r-1\} \setminus \bar{\mu}; \\ q^{(r-\lambda_k-1)}(0) &= P^{(r-\lambda_k-1)}(0). \end{aligned}$$

If we suppose that q has $s+1$ zeros in $(0, 1)$, then (11) yields (by Rolle's theorem) that $q(t) \equiv 0$, which is inconsistent with $a_k \neq 0$.

Now, let τ_s tend to 1. Then P and Q will tend uniformly to some polynomials P_0 and Q_0 from $\mathcal{P}_{\bar{\lambda}, \bar{\mu}_0}$ and $\mathcal{P}_{\bar{\lambda}', \bar{\mu}'_0}$, respectively, where $\bar{\mu}_0$ is obtained by "adding" the new boundary condition $P(1) = 0$ to the boundary conditions of $\mathcal{P}_{\bar{\lambda}, \bar{\mu}}$ and $\mathcal{P}_{\bar{\lambda}', \bar{\mu}'}$. Clearly, the parameters m and n_0 , corresponding to $\bar{\lambda}, \bar{\lambda}'$ and $\bar{\mu}_0 \equiv \bar{\mu}'_0$, satisfy the inequality $m+n_0-r \leq s-1$ and by the inductive hypothesis

$$\text{sign } P_0(t) = \text{sign } Q_0(t) = \text{sign } [P_0(t) - Q_0(t)] \text{ in } (0, 1).$$

The coefficients $(a_i)_1^m, (b_i)_1^m, (c_i)_1^m$ of P, Q and q , respectively, are continuous functions of $(\tau_i)_1^s$ in $0 \leq \tau_1 \leq \dots \leq \tau_s \leq 1$. Then, a_m, b_m, c_m do not change signs when τ_s moves to 1, otherwise they vanish for some $\tau_s \in [\tau_{s-1}, 1]$. Thus (10) holds.

So we get a polynomial $Q \in \mathcal{P}_{\bar{\lambda}', \bar{\mu}'}$ with a smaller L_p -norm than P . Therefore,

$$(12) \quad R_{\bar{\lambda}', \bar{\mu}'} < R_{\bar{\lambda}, \bar{\mu}}.$$

Now the general case follows by finite number of pair-wise comparisons of type (12). The theorem is proved.

4. Corollaries

We mention here some consequences of the main result.

Corollary 1. Let $1 \leq q \leq \infty$. The best quadrature formula of the form

$$I(f) \approx \sum_{k=0}^{m-1} A_k f^{(k)}(a) + \sum_{k=0}^{n-1} B_k f^{(k)}(b)$$

has a minimal error $R_{m,n}$ in $B(W'_q)$ amidst all formulas of the type (1). Moreover,

$$R_{m,n} = \frac{1}{r!} \inf_{c_1, \dots, c_s} \int_a^b |(t-a)^{r-m}(t-b)^{r-n}(t^s + c_1 t^{s-1} + \dots + c_s)|^p dt \}^{1/p}.$$

Remark 3. In the case $m+n=r$ the classical Tchakalov – Obreshkov formula is extremal.

Corollary 2. Let $(v_i)_1^m, (\xi_i)_1^l, 0 \leq l < m$ be given integers such that

$$r-1 \geq v_1 > \dots > v_m \geq 0, \quad r-1 \geq \xi_1 > \dots > \xi_l \geq 0, \quad v_i \geq \xi_i, \quad i=1, \dots, l.$$

Let $E(\bar{v}) = \inf_{a_1, \dots, a_m} \{ \|P\|_{L_i[0,1]} : P(t) = t^r + a_1 t^{v_1} + \dots + a_m t^{v_m}, P_{(1)}^{(\xi_i)} = 0, i=1, \dots, l \}$. Then $E(\bar{v}) = E(v_1, \dots, v_m)$ is a decreasing function of v_1, \dots, v_m .

Note that in the special case $l=0$ (i.e., there are no boundary conditions on $P(t)$ at 1) we give an answer to the well-known non-linear problem of G. G. Lorentz about the approximation of t^r by linear combination of m functions ($m < r$) amidst $\{t^0, \dots, t^{r-1}\}$ (see [4]).

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