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Fixed Points and a Condition Concerning Selfmappings of Ordered Sets

Duro R. Kurepa

Setting up some results of Kurepa *Đ.* 1964:4, 1975:2, we prove a general fixed point theorem relative to ordered sets (E, \leq) and involving a condition presenting a special kind of surjections on an important part of (E, \leq) .

1. Let (1:1) (E, \leq) be an ordered set; in general (1:1) is not an ordered chain. For any selfmapping $s: E \rightarrow E$ it is natural to consider following 3 parts of E :

$$\begin{aligned} (1:2) \quad & E^s := \{x \in E \mid x \leq sx\} \\ (1:3) \quad & E_s := \{x \in E \mid sx \leq x\} \\ (1:4) \quad & E(s) := \{x \in E \mid x \parallel sx\}. \end{aligned}$$

In particular, increasing (decreasing) s were studied and one remarked that decreasing selfmappings d of (E, \leq) behave otherwise than the increasing ones. On the other hand, any d has a peculiar property that E^d, E_d are respectively a left piece of (E, \leq) and a right piece of (E, \leq) and that

$$(1:5) \quad dE^d \subset E_d, \quad dE_d \subset E^d.$$

1:6. The sets (1:2)-(1:4) could be any ordered sets; in particular, (1:4) could be any ordered set. As a matter of fact, if (A, B, C) is any 3-un of pairwise disjoint sets, we could consider: their union E ordered in such a way that $A < B$ and $A \parallel C \parallel B$ and a function d on E such that dA, dB, dC be singletons in B, A, C respectively; then obviously the sets $E^d, E_d, E(d)$ are A, B, C respectively.

1:7. Sets R_0X, R^0X (X being ordered) are defined as the set of all initial and terminal points of X respectively.

1:8 **Lemma:** For any decreasing selfmapping d of (E, \leq) the set $I(E; \leq, d)$ of all invariant or fixed points of E satisfies

$$I((E, \leq), d) = E^d \cap E_d$$

and is an (empty or non empty) antichain in (E, \leq) .

The cardinal number of I could be any given power m .

The proof of the first part of 1:8 is obvious. As to the power of I , let us consider

for any power m any set M of power m ; let $M \times R := E$, be ordered by \leq where for $(x, y), (x', y') \in E$ one defines

$$(x, y) \leq (x', y') \leftrightarrow x = x' \quad y = y' \text{ in } (R, \leq),$$

$(x, y) \parallel (x', y') \leftrightarrow x \neq x'$, and

$$d(x, y) := (x, -y);$$

then (E, \leq) is degenerate (i.e. every subcone is a chain) and $I((E, \leq), d) = \{(x, 0) : x \in M\}$ is of power m (s.n. 2.25 in Kurepa 1975:2).

1:9. What more natural than to consider a special case of (1:5) in which \subset stands for $=$? Doing so, we are going to prove the following.

2. Theorem. For any non-empty ordered set (E, \leq) and any decreasing selfmapping d in (E, \leq) , such that

$$(PS) \quad dE^d = E_d, \quad dE_d = E^d \quad \text{and}$$

$$(2:1) \quad E^d \neq v \quad (= \text{vacuous set})$$

the following statements are pairwise equivalent:

(F) d has a unique fixed point in E , i.e. the equality $dx = x$ has a unique solution in E ; the set $I((E, \leq), d)$ is a singleton;

(S=i) $S := \sup E^d$ and $i := \inf E_d$ exist in (E, \leq) and are equal;

(S) $S := \sup E^d$ exists in (E, \leq) and satisfies $S \geq dS$; thus $S \in E_d$;

(i) $i := \inf E_d$ exists in (E, \leq) and satisfies $i \leq di$, thus $i \in E^d$.

3. Proof.

3:1. $F \Rightarrow (S=i)$. As a matter of fact, by hypothesis, the set I is a singleton $\{b\}$; by 1:8 the point b is the unique point of E which belongs to E^d and E_d . Since E^d is a left piece and E_d is a right piece of (E, \leq) such that $E^d \leq E_d$, one has necessarily $b = S$ and $b = i$, thus $(S=i)$ is holding.

3:2. $(S=i) \Rightarrow (S)$. Since $(S=i)$ says that S, i exist and since $dE^d = E_d$ one has in particular $dS = i$; this equality joint to the assumption $S = i$ implies $dS = S$, $dS \leq S$.

3:3. $(S) \Rightarrow (i)$ because by hypothesis S exists and belongs to E_d the operation d carries E^d onto E_d , and necessarily $dS = i$ and $di = S$ because $dE_d = E^d$.

3:4. $(i) \Rightarrow F$. Since E_d is a proper right piece of (E, \leq) and by hypothesis the infimum $i := \inf E_d$ exists and is in E^d as a maximum; consequently $S = i$. This relation jointly with $di = S$ implies $di = i$; thus I is non empty; I is the singleton because i is the maximum of E^d and because $E^d \supset I$.

The previous conclusions 3:1-3:4 finish the proof of Theorem 2.

4. An example. Let us consider a chain, say $L := R[0, 1]$ — real numbers ≥ 0 and ≤ 1 ; let d be defined by $d[0, 1/2] = \{2/3\}$ and $d(1/2, 1] = \{1/3\}$; then $L^d = [0, 1/2]$, $L_d = (1/2, 1]$, $S = 1/2 = 1/2$, $dL^d = \{1/3\}$, $dL_d = \{2/3\}$, $I = v$. Consequently, Theorem 2 need not hold for decreasing mappings which do not satisfy (PS).

5. Remark on the conditions (2:1). For any selfmapping s of (E, \leq) into itself the conditions

$$(PS) \quad sE^s = E_s, \quad sE_s = E^s$$

mean that s is surjective in the set $E^s \cup E_s$, which is the complement of the set $E(s)$ defined in (1, 4); thus (PS) means that s is partially surjective in (E, \leq) .

6. If (E, \leq) is a chain (L, \leq) , then the conditions (PS) represent a particular surjection of L , and $L^d|L_s$ is a cut of (L, \leq) . One proves readily that every surjection s of (L, \leq) carries L^s onto L_s as well as L_s onto L^s . Therefore, if we specify $(E, \leq) = (L, \leq)$, Theorem 2 becomes

- 6:1. **Theorem.** For any gapless (\equiv conditionally complete) ordered chain $(L, \leq) \neq v$ and any decreasing selfsurjection d on L the following are equivalent:
 - 6:2 d has a unique fixed point in L ;
 - 6:3 S and i exist and are equal;
 - 6:4 S exists and is $\geq dS$
 - 6:5 i exists and is $\leq di$ (as to (6:2) \leftrightarrow (6:3), v. also Diaconescu 1986).

7. PS-condition (partial surjection condition) versus decreasing mapping.

7:1. For a selfmapping $s : (E, \leq) \rightarrow E$ we considered in n° 2 the conditions (PS) $PS \ sE^s = E_s, sE_s = E^s$; they are called partial surjection conditions because they express the surjectivity of s in the set $E^s \cup E_s$.

7:2. Moreover, PS-conditions express a partial decreasing phenomenon because if $x \in E$ then

$$x \leq sx \text{ implies } sx \geq s^2x \text{ (i.e. if } x \in E^s \text{ then } fx \in E_s),$$

$$s \geq sx \text{ implies } sx \leq s^2x \text{ (i.e. if } x \in E_s \text{ then } fx \in E^s).$$

In other words, PS expresses that s is decreasing for every s -tied pair $x, sx \in E$.

7:3. On the other hand, one knows that for every decreasing automapping d of (E, \leq) the sets E^d, E_d are respectively a proper left and right piece of (E, \leq) . How to get this fact starting with any PS-selfmapping s on (E, \leq) ? In other words how to express that E^s is a left piece, i.e. that

$$a < b \in E^d \Rightarrow a \in E^d, \text{ i.e. that}$$

(7:4) $a < b \leq sb \Rightarrow a \leq sa$? Where to set sa ? It is sufficient to prolonge the 3-term-relation (7:4) by $\leq sa$; one gets

(7:5) $a < b \leq sb \leq sa$, i.e. $a \leq sa$ thus $a \in E^s$ (procedure of right extending of $a < b \leq sb$ by $\leq sa$).

Analogously, for E_s to express that E_s is a right piece of (E, \leq) : If $a \in E_s$ and $a < b$ then $b \in E_s$, i.e. if

(7:6) $fa \leq a \leq b$, then the simple procedure is to set down the missing fb by intercalation of fb isotonly between a and b in (7:5); one gets

(7:7) $fa \leq a \leq fb \leq b$, thus in particular $fb \leq b$, i.e. $b \in E_s$. By simple extensions (7:5) (on setting sa) and (7:7) (on setting sb), s becomes decreasing in E^s and in E_s .

(7:8) If moreover $E^s \leq E_s$ (such a situation occurs for every ordered chain (E, \leq)), then by the above extensions (7:5) and

(7:7) the given PS-mapping s becomes a decreasing surjection on the complement of the set $E(s)$ of all points $x \in E$ such that $x \parallel sx$. No rules are known on the behaviour of the set $E(s)$.

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