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Mathematica Balkanica

Mathematical Society of South-Eastern Europe
A quarterly published by
the Bulgarian Academy of Sciences – National Committee for Mathematics

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On the Residuality of the Set of Norms Having Mazur's Intersection Property

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Presented by P. Kenderov

For the Banach space $(E, \|\cdot\|)$, let P be the set of all norms equivalent to $\|\cdot\|$, equipped with its usual topology. If $(E, \|\cdot\|)$ has Mazur's intersection property, then there exists a dense G_δ subset P_0 of P such that (E, p) has Mazur's intersection property for every $p \in P_0$. If (E, p_1) has Mazur's intersection property and (E^*, p_2) has w^* -Mazur's intersection property for some $p_1, p_2 \in P$, then there exists a dense G_δ subset \tilde{P}_0 of P such that for every $p_3 \in \tilde{P}_0$ (E, p_3) has Mazur's intersection property, (E^*, p_3) has w^* -Mazur's intersection property and p_3, p_3^* are Frechet differentiable on dense G_δ subsets of E and E^* respectively.

It was S. Mazur [9] who first considered the following property, later called Mazur's intersection property, for a Banach space $(E, \|\cdot\|)$: every closed, convex and bounded subset of E is an intersection of the closed balls which contain it. R. R. Phelps [10] characterized finite dimensional spaces with this property and gave a sufficient condition for an infinite dimensional space to possess it. A complete characterization of this property was obtained by J. R. Giles, P. A. Gregory and B. Sims [6, 7].

The aim of this work is to give a category proof of a result about renorming of a Banach space with Mazur's intersection property, which is of the same type as Asplund's result on average norms [3]. A category proof of the Asplund result was given by M. Fabian, L. Zajicek and V. Zizler [4]. Their paper was also a motivation for the present work. It turns out that the Mazur intersection property (as well as the locally uniformly rotundity and related properties of the norm, see [4]) is a generic property, i.e. the set of equivalent norms with this property is either empty or residual in the space P defined below. By the presented category approach Theorem 4 (d) of [5] is obtained as a special case of Theorem 3 below.

A topological space T is called a Baire space if the intersection of countably many open dense subsets of T is dense in T . A subset S of a Baire space T is called residual, if S contains a subset which is dense G_δ in T .

Let P be the set of all norms equivalent to $\|\cdot\|$. Define a metric τ on P by

$$\tau(p_1, p_2) = \sup_{x \in U} |p_1(x) - p_2(x)|,$$

where $p_1, p_2 \in P$ and $U = \{x \in E : \|x\| \leq 1\}$.

Let \mathcal{B} be the set of closed unit balls determined by the elements of P . Equip \mathcal{B} with the Hausdorff metric generated by $\|\cdot\|$.

Define

$$\mathcal{X} = \{X \subset E : X \text{ is closed, convex, bounded and non-empty}\},$$

$$\mathcal{B} = \{X \in \mathcal{X} : X \text{ is symmetric with respect to } 0_E\}.$$

Since (\mathcal{X}, h) is a complete metric space (see [4], p. 417) and (\mathcal{B}, h) is closed in (\mathcal{X}, h) , (\mathcal{B}, h) is a complete metric space. Therefore (\mathcal{B}, h) , as an open subset of (\mathcal{B}, h) , is also a Baire space. It is well known and routine to prove, that the mapping $\pi : p \rightarrow \{x \in E : p(x) \leq 1\}$ is a homeomorphism between (P, τ) and (\mathcal{B}, h) .

Theorem 1. *Let $(E, \|\cdot\|)$ have Mazur's intersection property. Then there exists a dense G_δ subset P_0 of P such that (E, p) has Mazur's intersection property for every $p \in P_0$.*

Proof. Let us define:

$$d(y, X) = \inf_{x \in X} \|y - x\| \quad \text{for } y \in E, X \subset E;$$

$$W_{n,k} = \{(y, X) : y \in E, X \in \mathcal{X}, d(y, X) > 1/n, \exists z \in E:$$

$$z + kU \supset X \text{ and } (y + \frac{1}{3n}U) \cap (z + kU) = \emptyset\};$$

$$\mathcal{B}_{n,k,m} = \{B \in \mathcal{B} : \exists \gamma \in (0, 1) : \forall (y, X) \in W_{n,k} \exists z \in E:$$

$$z + (m - \gamma/2)B \supset X \text{ and } (y + \gamma B) \cap [z + (m - \gamma/2)B] = \emptyset\},$$

where n, k, m are positive integers.

We shall prove that $\mathcal{B}_{n,k,m}$ is open in (\mathcal{B}, h) and $\frac{2k}{m}$ dense (i. e. for every $B_0 \in \mathcal{B}$ there exists $B_1 \in \mathcal{B}_{n,k,m}$ such that $h(B_1, B_0) < \frac{2k}{m}$).

Claim 1: $\mathcal{B}_{n,k,m}$ is open.

Let $B_0 \in \mathcal{B}_{n,k,m}$. Then there exists $\gamma \in (0, 1)$ such that for every $(y, X) \in W_{n,k}$ there exists $z \in E$ satisfying $z + (m - \frac{\gamma}{2})B_0 \supset X$ and

$$(1) \quad (y + \gamma B_0) \cap [z + (m - \frac{\gamma}{2})B_0] = \emptyset.$$

Let $\delta = \frac{\gamma}{4m + \gamma}$ and $B \in \mathcal{B}$, $h_{B_0}(B, B_0) < \delta$ (here h_{B_0} denotes the Hausdorff metric generated by $\pi^{-1}(B_0)$). Then

$$(1 - \delta)B_0 \subset B \subset (1 + \delta)B_0$$

and for $(y, X) \in W_{n,k}$ we have:

$$X \subset z + (m - \frac{\gamma}{2})B_0 \subset z + \frac{m - \frac{\gamma}{2}}{1 - \delta}B \subset z + (m - \frac{\gamma}{4})B.$$

Because of (1), for $\alpha = \frac{2m\gamma}{4m + \gamma}$, we have

$$[y + (\gamma - \alpha)B_0] \cap [z + (m - \frac{\gamma}{2} + \alpha)B_0] = \emptyset$$

and

$$\left[y + \frac{\gamma - \alpha}{1 + \delta}B \right] \cap \left[z + \frac{m - \frac{\gamma}{2} + \alpha}{1 + \delta}B \right] = \emptyset.$$

Therefore $(y + \frac{\gamma}{2}B) \cap [z + (m - \frac{\gamma}{4})B] = \emptyset$, because $\frac{\gamma - \alpha}{1 + \delta} = \frac{\gamma}{2}$ and $\frac{m - \frac{\gamma}{2} + \alpha}{1 + \delta} = m - \frac{\gamma}{4}$.

Thus we have proved that $B \in \mathcal{B}_{n,k,m}$ and $\mathcal{B}_{n,k,m}$ is open, because h and h_{B_0} are equivalent metrics on \mathcal{B} .

Claim 2: $\mathcal{B}_{n,k,m}$ is $\frac{2k}{m}$ -dense.

Let $B_0 \in \mathcal{B}$. Define $B_1 = \overline{B_0 + \varepsilon U}$ for $\varepsilon = \frac{12nsk}{12nsm - 1}$, where

$s > \max \left\{ h(O_E, B_0), s_0, \frac{1}{6nm} \right\}$, O_E is the zero of the space and $s_0 > \frac{1}{12nm}$ is such that $\frac{k}{m} + \frac{1}{24nm} - \frac{12ns_0k}{12nsm - 1} > 0$.

Let $(y, X) \in \mathcal{W}_{n,k}$. Then there exists $z \in E$ such that $z + kU \supset X$ and $(y + \frac{1}{3n}U) \cap (z + kU) = \emptyset$. By the separation theorem we can find $l \in E^*$, for which $\|l\|^* = 1$ and

$$\inf l(y + \frac{1}{3n}U) \geq \sup l(z + kU),$$

so that $l(y) - \frac{1}{3n} \geq l(z) + k$.

Choose $\gamma \in (0, \frac{1}{6ns})$ and $x \in B_0$ such that $\gamma B_1 \subset \frac{1}{6n}U$ and $l(x) > \sup l(B_0) - \delta$, where

$\delta = \frac{1}{24nm}$. Then we have:

$$\begin{aligned} (m - \frac{\gamma}{2})B_1 + z - \frac{k}{\varepsilon}x &\supset (m - \frac{1}{12ns})B_1 + z - \frac{k}{\varepsilon}x = \frac{k}{\varepsilon}B_1 + z - \frac{k}{\varepsilon}x \supset \frac{k}{\varepsilon}(x + \varepsilon U) + z - \frac{k}{\varepsilon}x \\ &= kU + z \supset X; \end{aligned}$$

$$\begin{aligned} \sup l[(m - \frac{\gamma}{2})B_1 + z - \frac{k}{\varepsilon}x] &= (m - \frac{\gamma}{2}) \sup l(B_1) + l(z) - \frac{k}{\varepsilon}l(x) \\ &= (m - \frac{\gamma}{2}) [\sup l(B_0) + \varepsilon] + l(z) - \frac{k}{\varepsilon}l(x) < m(l(x) + \delta + \varepsilon) + l(z) - \frac{k}{\varepsilon}l(x) \\ &= (m - \frac{k}{\varepsilon})l(x) + m\delta + m\varepsilon + l(z) \leq \frac{\|x\|}{12ns} + \frac{1}{24n} + \frac{12nsmk}{12nsm-1} + l(z) \\ &< \frac{1}{6n} + k + l(z) \leq l(y) - \frac{1}{6n} = \inf l(y + \frac{1}{6n}U). \end{aligned}$$

Therefore $[(m - \frac{\gamma}{2})B_1 + z - \frac{k}{\varepsilon}x] \cap (y + \frac{1}{6n}U) = \emptyset$ and since $\gamma B_1 \subset \frac{1}{6n}U$, we have $[(m - \frac{\gamma}{2})B_1 + z - \frac{k}{\varepsilon}x] \cap (y + \gamma B_1) = \emptyset$. In such a way we prove that $B_1 \in \mathcal{B}_{n,k,m}$ and $h(B_1, B_0) = \varepsilon < \frac{2k}{m}$. The claim is proved.

By the Baire category theorem the set

$$\mathcal{B}_0 = \bigcap_{n=1}^{\infty} \bigcap_{k=1}^{\infty} \bigcup_{m=2k}^{\infty} \mathcal{B}_{n,k,m}$$

is a dense and G_δ subset of \mathcal{B} . The theorem will be proved, if

$$\bigcup_{n,k=1}^{\infty} W_{n,k} = \{(y, X) : y \notin X, X \in \mathcal{X}\}.$$

But this follows by [5], Theorem 4.1.3, p. 226, and by the proofs of Theorems 4.1.2 and 4.1.1 of [7], p. 224, p. 220. ■

The dual Banach space E^* has w^* -Mazur's intersection property, if every w^* -compact and convex subset of E^* is an intersection of the closed balls containing it (see [6], [7]).

Let P^* be the set of all dual norms equivalent to $\|\cdot\|^*$ (the dual norm of $\|\cdot\|$). Define a metric τ^* on P^* by

$$\tau^*(p_1^*, p_2^*) = \sup_{x^* \in U^*} |p_1^*(x^*) - p_2^*(x^*)|,$$

where $p_1^*, p_2^* \in P^*$ and $U^* = \{x^* \in E^* : \|x^*\|^* \leq 1\}$.

Let \mathcal{B}^* be the set of closed unit balls in E^* determined by the elements of P^* . Equip \mathcal{B}^* with the Hausdorff metric h^* generated by $\|\cdot\|^*$. It is well known and routine to prove that

$$h(B_1, B_2) = \tau^*(\sigma_{B_1}, \sigma_{B_2}),$$

where $B_1, B_2 \in \mathcal{B}$ and $\sigma_B(x^*) = \sup_{x \in B} \langle x, x^* \rangle$ is the support function of $B \in \mathcal{B}$. It is easy to see that the mapping $I: (\mathcal{B}, h) \rightarrow (P^*, \tau^*)$, $I(B) = \sigma_B$ is an isometric isomorphism. Hence (P^*, τ^*) is a Baire space and $\lambda := I \circ \pi$ is a homeomorphism between (P, τ) and (P^*, τ^*) .

It is clear that the dual analogous assertion of Theorem 1 is also valid.

Theorem 2. *Let $(E^*, \|\cdot\|^*)$ have w^* -Mazur's intersection property. Then there exists a dense G_δ subset P_0^* of P^* such that (E^*, p^*) has w^* -Mazur's intersection property for every $p^* \in P_0^*$.*

Further with p^* we shall denote the dual norm of $p \in P$.

Theorem 3. *Let (E, p_1) have Mazur's intersection property and (E^*, p_2^*) have w^* -Mazur's intersection property for some $p_1, p_2 \in P$. Then there exists a dense G_δ subset \tilde{P} of P such that for every $p \in \tilde{P}$ (E, p) has Mazur's intersection property, (E^*, p^*) has w^* -Mazur's intersection property and p, p^* are Frechet differentiable on dense G_δ subsets of E and E^* , respectively.*

Proof. By Theorems 1 and 2 there exist dense G_δ subsets $P_0 \subset P$ and $P_0^* \subset P^*$ such that (E, p) has Mazur's intersection property for every $p \in P_0$ and (E^*, p^*) has w^* -Mazur's intersection property for every $p^* \in P_0^*$. Since λ is a homeomorphism, for every $p^* \in P_0 \cap \lambda^{-1}(P_0^*) =: \tilde{P}$ (E, p) and (E^*, p^*) have respectively Mazur's and w^* -Mazur's intersection property.

Let $p \in \tilde{P}$ be fixed. By Theorem 4.1.9 of [7], p. 234, the set of w^* -strongly exposed points of U_{p^*} is dense in S_{p^*} , where $U_{p^*} = \{x^* \in E^* : p^*(x^*) \leq 1\}$ and $S_{p^*} = \{x^* \in E^* : p^*(x^*) = 1\}$. By Exercise 4.1.5 of [7], p. 232, the set SEF_p of w^* -strongly exposing functionals for U_{p^*} intersected with $S_p := \{x \in E : p(x) = 1\}$ is dense in S_p . Therefore the set SEF_p , which coincides with the set $\{t(SEF_p \cap S_p) : t > 0\}$, is also dense in E . Since the w^* -strongly exposing functionals for any bounded subset of E^* form a G_δ subset (perhaps empty), see for instance [5] Lemma 3, the set SEF_p is G_δ . Now we apply the duality between Frechet differentiability and strong exposition (see for instance [7], p. 197) and obtain that p is Frechet differentiable on SEF_p . Analogously we work with p^* for $p \in \tilde{P}$, as in this case we apply Theorem 4.1.9 of [7], p. 234 and Theorem 4.1.5 of [7], p. 228. ■

As an application of the technique for proving Theorem 1 we present a category proof of the well-known fact (established by renorming theorems and the sufficient condition for the Mazur intersection property).

Theorem 4. *In a Banach space E with a separable dual E^* there exists an equivalent norm on E such that E furnished with this norm has Mazur's intersection property.*

Proof. Let $\{x_r^*\}_{r=1}^\infty$ be a dense subset of E^* . For every positive integers r, n, k, m define:

$$U_r = \{x \in 2U : \inf_{z \in U} \langle z, x_r^* \rangle \leq \langle x, x_r^* \rangle \leq \sup_{z \in U} \langle z, x_r^* \rangle\};$$

$$W_{n,k,r} = \{(y, X) : y \in E, X \in \mathcal{X}, d(y, X) > 1/n, \exists z \in E\}$$

$$z + kU_r \supset X \text{ and } (y + \frac{1}{3n}U) \cap (z + kU_r) = \emptyset;$$

$$\mathcal{B}_{n,k,r,m} = \{B \in \mathcal{B} : \exists \gamma \in (0, 1) : \forall (y, X) \in W_{n,k,r} \exists z \in E : z + (m - \gamma/2)B \supset X \text{ and } (y + \gamma B) \cap [z + (m - \gamma/2)B] = \emptyset\}.$$

By the proof of Theorem 1 the set $\mathcal{B}_{n,k,r,m}$ is open and $\frac{2k}{m}$ -dense. Therefore, by the

Baire category theorem, the set $\mathcal{B}_0 = \bigcap_{n=1}^{\infty} \bigcap_{r=1}^{\infty} \bigcap_{k=1}^{\infty} \bigcup_{m=2k}^{\infty} \mathcal{B}_{n,k,r,m}$ is dense and G_δ .

By the separation theorem we have $\bigcup_{n,k,r=1}^{\infty} W_{n,k,r} = \{(y, X) : y \notin X, X \in \mathcal{X}\}$ and the proof is completed. ■

Recently many authors consider properties of a Banach space E which are close to the Mazur intersection property. Namely, J. H. M. Whitfield and V. Zizler [11] introduced the following property:

(CI) every compact convex set is an intersection of balls and together with A. Sersouri [1] characterized it.

A. Sersouri [2] considered and characterized the following properties: (I_n) every convex compact set with dimension less or equal to n is an intersection of balls, $(I_{f,d})$ every finite dimensional convex compact set is an intersection of balls.

J. H. M. Whitfield and V. Zizler introduced and characterized the following uniformization of the Mazur intersection property:

(UI) for every $\varepsilon > 0$ there is a $K > 0$ such that whenever a closed convex set $C \subset E$ and a point $p \in E$ are such that $\text{diam } C \leq 1/\varepsilon$ and $\text{dist}(p, C) \geq \varepsilon$, then there is a closed ball B of radius $\leq K$ with $B \supset C$ and $\text{dist}(p, B) \geq \varepsilon/2$.

If a man looks carefully the proofs of characterizations of the above properties and the proof of Theorem 1, then he can see that the assertions analogous to Theorem 1 and 2 for the properties (CI), (I_n) , $(I_{f,d})$ and (UI) are also valid. It is a simple observation that Theorem 1 for the property (I_n) allows us to give a category proof of the following.

Proposition 5 (A. Sersouri [2], Proposition 4). *Let E be a Banach space such that for every $n \geq 1$, E has an equivalent norm $|\cdot|_n$ which satisfies property (I_n) . Then E has an equivalent norm $\|\cdot\|$ which satisfies property $(I_{f,d})$.*

Acknowledgement. The author would like to thank Professor P. S. Kenderov for his helpful suggestion and discussions while this work was in progress.

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Received 19. 04. 1990