

## 2. Topology in $\mathbf{C}$ and Convergence Theory

**Notations:** Given a complex point  $a$  and a positive number  $r$ , we set  $D_a(r)$  for the open disk of radius  $r$  and centered at  $a$ ; the boundary circle will be denoted by  $C_a(r)$ .

$$D_a(r) := \{z, |z - a| < r\}, C_a(r) := \partial D_a(r) = \{z, |z - a| = r\}.$$

In what follows we will call any disk  $D_a(r)$  a *neighborhood* of  $a$ .

### 2.1. Topology in $\mathbf{C}$ .

**Definition:** Let  $M$  be a set in  $\mathbf{C}$ . We say that  $M$  is **open**, if any point  $a \in M$  belongs to  $M$  together with some disk  $D_a(r)$ . Further, the set  $N$  is **closed**, if its complement  $N^c := \mathbf{C} \setminus N$  with respect to  $\mathbf{C}$  is open. The set  $K$  is compact, if it is closed and bounded. We say that the set  $D \subset \mathbf{C}$  is a domain if it is open and connected.

### 2.2. Convergence theory.

**Definition:** Given an infinite sequence of complex numbers  $\{a_n\}$ , we say that  $a$  is a concentration point of the sequence, if any neighborhood contains infinitely many numbers  $a_n$ , e.g., if for any  $r > 0$ , there is an infinite sequence  $\Lambda \subset \mathbb{N}$  of integers such that  $|a_n - a| < r$  for all  $n \in \Lambda$ . For instance, the sequence

$$a_n = \begin{cases} \frac{n}{n-1}, & n = 2k, \\ \frac{1}{n}, & n = 2k + 1. \end{cases}$$

has two points of concentration:  $a = 0, a = 1$ .

**Definition:** The sequence  $\{a_n\}$  point is said to **converge to  $a$**  as  $n \rightarrow \infty$ , if the point  $a \in \mathbf{C}$  is the only concentration point. We write

$$\lim_{n \rightarrow \infty} a_n = a$$

or, equivalently,

$$a_n \rightarrow a, \text{ as } n \rightarrow \infty.$$

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For instance, the sequence

$$a_n := \frac{i^n}{2^n}$$

converges to zero.

**Theorem 2.1, (the necessary and sufficient condition for a convergence:)**

$$a_n \rightarrow a, n \rightarrow \infty$$

iff for every  $\varepsilon > 0$  there exists a number  $n_0 \in \mathbb{N}$  such that

$$|a_n - a| < \varepsilon$$

every time when  $n \geq n_0$ .<sup>1</sup>

The convergence could be extended to the complex point of infinity, namely:

$$a_n \rightarrow \infty, n \rightarrow \infty$$

iff for every  $R > 0$  the inequality

$$|a_n| > R$$

for all  $n$  sufficiently large. We say that  $a_n$  *diverges to infinity*.

Suppose that the sequence  $\{a_n\}$  converges to  $a \in \mathbf{C}$ . Then We easily can prove

**Theorem 2.2.** Suppose that

$$a_n \rightarrow a, n \rightarrow \infty.$$

Then

$$\Re a_n \rightarrow \Re a, \Im a_n \rightarrow \Im a, n \rightarrow \infty$$

and

$$|a_n| \rightarrow |a|, n \rightarrow \infty.$$

Further,  $a_n$  diverges to infinity iff the sequence  $1/a_n$  tends to zero.

We remark that the statement  $\text{Arg} a_n \rightarrow \text{Arg} a, n \rightarrow \infty$  is, in general, not correct. Indeed, consider the sequence

$$a_n := \frac{(i)^n}{n}, n = 1, 2, \dots$$

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<sup>1</sup>or, as we use to say, *for all  $n$  sufficiently large*.

which tends to zero. At the same time, the sequence of the arguments has four concentration points  $(-\pi/2, 0, \pi/2, \pi.)$  This expresses the circumstance that the numbers  $a_n$  can approach the limit  $a$  from from any direction in the plane.

The latter statement is true if  $a \neq 0$ .

### 2.3. Functions of a complex variable.

Recall that a function is a rule that assigns to each element in a set  $A \subset \mathbf{C}$  one and only one element in the set  $B \subset \mathbf{C}$ . if  $f$  assigns the value of  $b$  to the value of  $a$ , we write

$$f(a) = b.$$

The set  $A$  is the domain of definition (even if  $A$  is not a domain in the sense of P.2.1, and the set of all images  $f(a)$  is the range of  $f$ . We sometimes refer to  $f$  as a *mapping* of  $A$  into  $B$ . \(\aleph\)

If  $f$  is expressed by a formula such as

$$f(z) := \frac{z^2 + 1}{z^2 - 1},$$

then, unless stated otherwise, we take the domain of  $f$  to be the set of all  $z$  for which the formula is well defined (in this case  $\mathbf{C} \setminus 1$ . If we agree that  $f(\infty) = 1$ , then the domain of definition coincides with the extended complex plane  $\overline{\mathbf{C}}$ , and the range with  $\overline{\mathbf{C}}$ .

Let

$$w = f(z).$$

Just as  $z$  decomposes into real and imaginary part as  $z = x + iy$ , the real and imaginary part of  $w$  are real valued function of  $z$ , or, equivalently, of  $x$  and  $y$ , and so we customary write

$$f(z) = u(x, y) + iv(x, y).$$

Example: Let  $f(z) := z^2 + 1$ . Then

$$f(z) = x^2 - y^2 + 1 + 2ixy.$$

### 2.4 Continuous functions.

**Definition: Convergence of  $f$  at the point  $z = z_0$ .** Let  $f$  be defined in a neighborhood of  $z = z_0$  with possible exception at  $z = z_0$ . We say that the **limit of  $f(z)$  as  $z$  goes to  $z_0$  is the number  $w_0$**  and write

$$\lim_{z \rightarrow z_0} f(z) = w_0,$$

or equivalently,

$$f(z) \rightarrow w_0, z \rightarrow z_0,$$

of for any  $\varepsilon > 0$  there exists a number  $\delta > 0$  such that

$$|f(z) - w_0| \leq \varepsilon \text{ whenever } |z - z_0| < \delta.$$

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Example:: Show that  $\lim_{z \rightarrow i} f(z) = 0$ , where

$$f(z) := \frac{z^2 + 1}{z + i}.$$

We note the obvious statement:

**Theorem 2.3.** *Let  $f(z) = u(x, y) + iv(x, y)$  be defined in a neighborhood of  $z_0 = (x_0, y_0)$ . Then  $f(z) \rightarrow w_0 = w_1 + iw_2, z \rightarrow z_0$ , iff*

$$u(x, y) \rightarrow w_1, z \rightarrow z_0,$$

and

$$v(x, y) \rightarrow w_2, z \rightarrow z_0.$$

**Definition: Continuity at  $z = z_0$ .** Suppose that  $f$  is defined in a neighborhood of  $z = z_0$ . Then  $f$  is continuous at  $z = z_0$ , if

$$\lim_{z \rightarrow z_0} f(z) = f(z_0).$$

A function is continuous in a set  $A$  (we write  $f \in C(A)$ ), if it is continuous at every point of  $A$ . ℵ

Because of the analogy to real analysis, many of familiar theorems on real sequences, limits and continuity remain valid in the complex case. A theorem is stated here:

**Theorem 2.4.** *If the functions  $f$  and  $g$  are continuous at  $z_0$ , then so are  $f(z) \pm g(z)$ , and  $f(z)g(z)$ . If  $g(z_0) \neq 0$ , then so does the quotient  $f(z)/g(z)$ .*

Consider the definition on continuity. If  $f \in C(A)$ , then the number  $\delta$  depends in general on the number  $z_0$ . Look for instance at the function

$f(z) = z^2$ . This fact can lead to essential difficulties. So, it is of interest for us when  $\delta$  does not depend on  $z$ . This is the case of *uniform continuity*.

**Definition:** Suppose that  $f$  is well defined in the set  $E$ . We say that  $f$  is continuous on  $E$ , if for every  $\varepsilon > 0$  there is a number  $\delta$  such that  $|f(z_1) - f(z_2)| < \varepsilon$  whenever  $|z_1 - z_2| < \delta, z_1, z_2 \in E$ .  $\aleph$

The classical result of Weierstraß provides a sufficient condition for A uniform continuity of a function.

**Theorem 2.5.** (Weierstraß:) *Let  $K$  be a compact set in  $\mathbf{C}$  and  $f \in C(K)$ . Then  $f$  is uniformly continuous on  $E$ .*

The proof proceeds along the same argumentation as in the real case.

Before continuing, we recall another classical result by Weierstraß.

**Theorem 2.6.** (Weierstraß:) *In the conditions of Theorem 2.5, there is a point  $z_0 \in K$  such that*

$$\max_{z \in K} |f(z)| = |f(z_0)|.$$

In what follows we will write  $\|f\|_K$  instead of  $\max_{z \in K} |f(z)|$ . The expression  $\|f\|_K$  will be called *Chebyshev or max – norm of  $f$  on  $K$* .

**Convergence of sequences of functions.**

**Definition:** Let the functions  $\{f_n\}$  be continuous in the set  $A$ . We say that the sequence  $\{f_n\}$  converges uniformly to a function  $f$  in  $A$ , if

$$\|f_n\|_A \rightarrow \|f\|_A \text{ as } n \rightarrow \infty. \quad (1)$$

The following important theorem is due to Weierstraß.

**Theorem 2.7 (Weierstraß:)** *Let  $K$  be a compact set and  $f_n(z) \in C(K)$ . Suppose that  $\{f_n\}$  converges uniformly to a function  $f$ . Then  $f \in C(K)$ .*

**Proof:** Select an arbitrary positive number  $\varepsilon$ . If we find a number  $\delta > 0$  such that  $|f(z) - f(w)| < \varepsilon$  every time when  $|z - w| < \delta$  and  $z, w \in K$ , then we are done.

Indeed, in the conditions of the theorem,

$$\|f_n - f\|_E \leq \frac{\varepsilon}{3} \quad (2)$$

for all  $n$  great enough. Take such a number  $m$ . By Theorem 2.7 each function  $f_n$  is uniformly continuous on  $K$ , and so does  $f_m$ . Hence, there is a positive number  $\delta$  such that

$$|f_n(z) - f_n(w)| < \frac{\varepsilon}{3} \text{ whenever } |z - w| < \delta. \quad (3)$$

Let now  $|z - w| < \delta$ . Applying successively (2) and (3), we get

$$\begin{aligned} |f(z) - f(w)| &< \\ |f(z) - f_n(z)| + |f_n(z) - f_n(w)| + |f_n(w) - f(w)| &\leq \\ &\leq 2\|f_n - f\|_E + |f_n(z) - f_n(w)| < \varepsilon. \end{aligned}$$

This completes the proof. QED От равномерната непрекъснатост на всяка функция върху компактното множество  $E$  (2. теорема на Weierstrass) следва Твърдението следва от (2), (3) и последната оценка. **Q.E.D.**

*Exercises:*

1. Given the sets  $A, B$ , show that  $A \cup B = (\mathbf{C} \setminus A) \cap (\mathbf{C} \setminus B)$ .
2. Let  $\{M_i\}_{i=1}^{\infty}$  be open sets in  $\mathbf{C}$ . Show that
  - a)  $\bigcup_{i=1}^{\infty} M_i$  is open;
  - b)  $\bigcap_{i=1}^m M_i$  is open for every  $m \in \mathbf{N}$ .
3. Let  $\{N_i\}_{i=1}^{\infty}$  be closed sets in  $\mathbf{C}$ . Show that
  - a)  $\bigcap_{i=1}^{\infty} N_i$  is closed ;
  - b)  $\bigcup_{i=1}^m N_i$  is closed for every  $m \in \mathbf{N}$ .
4. Let  $K$  be a compact set in  $\mathbf{C}$ . Show that

$$L(f) := \|f\|_K, f \in C(K)$$

is a Norm, that is:

a)  $L(f) \geq 0$  and  $L(f) = 0$  iff  $f \equiv 0$ .

b)  $L(\alpha f) = |\alpha|L(f)$  for every real number  $\alpha$ .

c)  $L(f + g) \leq L(f) + L(g)$ .

5. Show that  $f(z) := \bar{z}$  is continuous everywhere in  $\mathbf{C}$ .

6. Suppose that  $f$  is continuous at  $z_0$ . Show that the functions  $|f(z)|$ ,  $\operatorname{Re} f(z)$ ,  $\operatorname{Im} f(z)$  do so.

7. Prove that  $\lim z_n = 0$  iff  $|z_n| \rightarrow 0$ .

8: Prove that

$$z^n \rightarrow \begin{cases} 0, & \text{if } |z| < 1, \\ \infty, & \text{if } |z| > 1. \end{cases}$$

9. Show that the function  $\operatorname{Arg}$  is continuous at each point on the nonpositive real axis.