

## APPROXIMATION BY OPERATOR OF CAO-GONSKA TYPE $G_{s,n}^+$ . DIRECT AND CONVERSE THEOREM\*

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The aim of this paper is to establish the equivalence between the approximating rate of a linear process and the appropriate Peetre  $K$ -functional. A general method is applied for proving converse inequalities developed by Z. Ditzian and K. Ivanov.

**1. Introduction.** Let  $f \in C[-1, 1]$ , and let  $n, s$  be positive integers. The operator  $G_{s,n} : C[-1, 1] \rightarrow \Pi_{sn-s}$  is defined by

$$G_{s,n}(f, x) = \pi^{-1} \int_{-\pi}^{\pi} f(\cos(\arccos x + v)) K_{s,n}(v) dv,$$

where  $K_{s,n} = c_{n,s} \left( \frac{\sin(nv/2)}{\sin(v/2)} \right)^{2s}$ ,  $\pi^{-1} \int_{-\pi}^{\pi} K_{s,n}(v) dv = 1$ .

Let  $Lf$  denote the linear function interpolating  $f$  at  $-1$  and  $1$ , i.e.

$$L(f, x) := \frac{1}{2}f(1)(x+1) + \frac{1}{2}f(-1)(1-x), \quad -1 \leq x \leq 1.$$

We consider the sequence of operators

$$G_{s,n}^+(f, x) = G_{s,n}(f, x) + L(f, x) - L(G_{s,n}f, x),$$

where  $G_{s,n}$  and  $L$  are given as above.

In [C-G] Cao and Gonska proved the following

**Theorem A.** Let  $n \geq 2$  and  $s \geq 3$ . Then, for  $f \in C[-1, 1]$  it holds

$$|G_{s,n}^+(f, x) - f(x)| \leq c\omega_2(f, \sqrt{1-x^2}.n^{-1}), \quad -1 \leq x \leq 1,$$

where the second order modulus of continuity  $\omega_2(f, \delta)$  is defined for  $f \in C[a, b]$  by

$$\omega_2(f, \delta) := \{\sup |f(x+h) + f(x-h) - 2f(x)|, x, x \pm h \in [a, b], 0 \leq h \leq \delta\}.$$

The aim of this paper is to establish the equivalence between the approximation error of the operator  $G_{s,n}^+$  and the appropriate Peetre  $K$ -functional.

Let  $H_1(g(x)) := (1-x^2)^{\frac{1}{2}} \frac{d}{dx}g(x)$ ,  $H := H_1^2$ .

For  $f \in C[-1, 1]$ , let  $\|f\| := \max\{|f(x)| : -1 \leq x \leq 1\}$ .

We define for every  $f \in C[-1, 1]$  and  $t > 0$  the  $K$ -functional

$$K^+(f, t; C[-1, 1], C^2, (I-L)H) := \inf \{\|f - g\| + t\|(I-L)Hg\| : g \in C^2\}.$$

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The idea for the equivalence of the approximation errors of a given sequence of operators and the values of proper  $K$ -functionals was studied systematically in [Di-Iv]. Such equivalence (in uniform norm) was established in [Z] for the algebraic version of trigonometric Jackson integrals  $G_{s,n}$  and  $K$ -functional

$$K\left(f, \frac{1}{n^2}; C[-1, 1], C^2, H\right) = \inf \left\{ \|f - g\| + \frac{1}{n^2} \|Hg\| : g \in C^2 \right\}$$

and for the operator  $G_{s,n}^*(f, x) = G_{s,n}(f, x) + L(f, x) - G_{s,n}(Lf, x)$  and  $K$ -functional

$$K^*\left(f, \frac{1}{n^2}; C[-1, 1], C^2, H(I - L)\right) = \inf \left\{ \|f - g\| + \frac{1}{n^2} \|H(I - L)g\| : g \in C^2 \right\}$$

( $I$  is the identity operator).

In the present paper we establish the equivalence between the approximating rate of the operator  $G_{s,n}^+$  and the value of the  $K$ -functional  $K^+$ . We prove

**Theorem 1.** *For  $s \geq 3$  and  $f \in C[-1, 1]$  we have*

$$\|G_{s,n}^+ f - f\| \sim K^+\left(f, \frac{1}{n^2}; C[-1, 1], C^2, (I - L)H\right), \quad n \in N.$$

The proof of the equivalence in Theorem 1 could not be carried out by using the technique for  $G_{s,n}^*$  in [5]. The theorem follows from several basic inequalities.

The notation  $\Phi(f, t) \sim \Psi(f, t)$  means that there is a positive constant  $\gamma$ , independent of  $f$  and  $t$ , such that  $\gamma^{-1}\Psi(f, t) \leq \Phi(f, t) \leq \gamma\Psi(f, t)$ .

By  $c$  we denote positive constants, independent of  $f$  and  $t$ , that may differ at each occurrence.

For  $r$  - natural number we denote

$$C^r[a, b] = \left\{ f : f, f', \dots, f^{(r)} \in C[a, b] (\text{continuous function in } [a, b]) \right\}$$

## 2. Proof of Theorem 1.

**Definition 2.** *Set*

$$Y = \{g \in C[-1, 1] : H_1 g \in C[-1, 1], Hg \in C[-1, 1], H_1 g(\pm 1) = 0\}.$$

$$Z = \{g \in Y : H_1^3 g \in C[-1, 1], H^2 g \in C[-1, 1], H_1^3 g(\pm 1) = 0\}.$$

**Lemma 1.** *Let  $g \in Y$  and  $\tilde{g}(s) := g(\cos s)$ . Then,  $\tilde{g} \in C^2(\mathbb{R})$  and  $\tilde{g}''(s) = Hg(\cos s)$  for  $s \in \mathbb{R}$ .*

The proof is based on the fact that  $\frac{d\tilde{g}(s)}{ds} = 0$  at  $s = k\pi, k = 0, \pm 1, \pm 2$ . We get that the left and the right second derivative of the function  $\tilde{g}(s)$  at  $s = k\pi, k = 0, \pm 1, \pm 2$  are equal.

**Lemma 2.** *Let  $Y$  be the space from Definition 2. Then, for every  $f \in C[-1, 1]$  and  $t > 0$ , we have*

$$\begin{aligned} K(f, t; C[-1, 1], Y, H) &= K(f, t; C[-1, 1], C^2, H), \\ K^+(f, t; C[-1, 1], Y, (I - L)H) &= K^+(f, t; C[-1, 1], C^2, (I - L)H). \end{aligned}$$

The proof follows arguments as those of Lemma 3 in [3].

**Lemma 3.** For a natural number  $m$  we have

$$(G_{s,n}^+)^m = L + [(I - L)G_{s,n}]^m = L + (I - L)G_{s,n}^m.$$

Using that for  $f \in C[-1, 1]$  (as  $G_{s,n}$  maps a linear function into a linear function)  $(I - L)G_{s,n}Lf = 0$ , by induction on  $m$  we prove the lemma.

The kernel  $K_{s,n}(v)$  is a positive, even trigonometric polynomial and  $\int_0^\pi u^k K_{s,n}(v) dv \sim n^{-k}$ ,  $k = 0, \dots, 2s - 2$  (see [4], p.57).

**Proof of Theorem 1.** The theorem will be proved if we show that

$K^+(f, \frac{1}{n^2}; C[-1, 1], Y, (I - L)H) \sim \|G_{s,n}^+f - f\|$  (see Lemma 2). We have to establish the inequalities:

- 1)  $\|G_{s,n}^+f - f\| \leq cK^+\left(f, \frac{1}{n^2}; C[-1, 1], Y, (I - L)H\right);$
- 2)  $K^+\left(f, \frac{1}{n^2}; C[-1, 1], Y, (I - L)H\right) \leq c\|G_{s,n}^+f - f\|.$

To prove that  $\|G_{s,n}^+f - f\| \leq cK^+\left(f, \frac{1}{n^2}; C[-1, 1], Y, (I - L)H\right)$ , it is sufficient to show that  $G_{s,n}^+$  is bounded (which is a well-known fact) and for every  $g \in Y$ ,  $\|G_{s,n}^+g - g\| \leq c\frac{1}{n^2}\|(I - L)Hg\|$  (see [Di-IV, p.72, Th. 3.4]). Let  $g \in Y$ . We set  $g(\cos(\arccos x + v)) := \tilde{g}(\cos(t + v)) := \tilde{g}(t + v)$ ,  $\arccos x = t$ . Note that  $G_{s,n}^+ - I = (I - L)(G_{s,n} - I)$ .

We have

$$(G_{s,n}^+g - g)(x) = (I - L)(G_{s,n} - I)g(x) = (I - L)\pi^{-1} \int_{-\pi}^\pi (\tilde{g}(t + v) - \tilde{g}(t))K_{s,n}(v)dv.$$

Expanding  $\tilde{g}(t + v)$  by Taylor's formula (as  $\tilde{g} \in C^2(\mathbb{R})$  for  $g \in Y$ )

$\tilde{g}(t + v) - \tilde{g}(t) = v\tilde{g}'(t) + \int_t^{t+v} \tilde{g}''(\xi)(t + v - \xi)d\xi$  and using that  $\int_{-\pi}^\pi vK_{s,n}(v)dv = 0$ , we obtain

$$(G_{s,n}^+g - g)(x) = (I - L)\pi^{-1} \int_{-\pi}^\pi \int_t^{t+v} \tilde{g}''(\xi)(t + v - \xi)d\xi K_{s,n}(v)dv.$$

We have  $\tilde{g}''(\xi) = (Hg)(\cos \xi)$ . Hence,

$$(G_{s,n}^+g - g)(x) = (I - L)\pi^{-1} \int_{-\pi}^\pi \int_t^{t+v} [(Hg)(\cos \xi)](t + v - \xi)d\xi K_{s,n}(v)dv.$$

As  $(LHg)(\cos \xi) = p \cos \xi + q$ , it is easy to obtain

$$\int_{-\pi}^\pi \int_t^{t+v} [(LHg)(\cos \xi)](t + v - \xi)d\xi K_{s,n}(v)dv = Q + P \cos t = Q + Px,$$

where  $P = p \int_{-\pi}^\pi (1 - \cos v)K_{s,n}(v)dv$ ,  $Q = \frac{q}{2} \int_{-\pi}^\pi v^2 K_{s,n}(v)dv$ .

Therefore,

$$(I - L) \int_{-\pi}^\pi \int_t^{t+v} [(LHg)(\cos \xi)](t + v - \xi)d\xi K_{s,n}(v)dv = (I - L)(Q + Px) \equiv 0.$$

This implies

$$\begin{aligned}
(G_{s,n}^+ g - g)(x) &= (I - L)\pi^{-1} \int_{-\pi}^{\pi} \int_t^{t+v} [(Hg)(\cos \xi)](t + v - \xi) d\xi K_{s,n}(v) dv \\
&= (I - L)\pi^{-1} \int_{-\pi}^{\pi} \int_t^{t+v} [(I - L)(Hg)(\cos \xi)](t + v - \xi) d\xi K_{s,n}(v) dv.
\end{aligned}$$

Hence,

$$\begin{aligned}
\|G_{s,n}^+ g - g\| &\leq 2\pi^{-1} \int_{-\pi}^{\pi} \int_t^{t+v} (t + v - \xi) d\xi K_{s,n}(v) dv \|(I - L)Hg\| \\
&= \pi^{-1} \int_{-\pi}^{\pi} v^2 K_{s,n}(v) dv \|(I - L)Hg\| \leq c \frac{1}{n^2} \|(I - L)Hg\|.
\end{aligned}$$

The last estimation completes the proof of the direct implication. The proof of the converse inequality consists of adapting  $G_{s,n}^+ f$  and  $K^+ \left(f, \frac{1}{n^2}; C[-1, 1], Y, (I - L)H\right)$  to the conditions of Theorem 3.1 in ([2], p.69) and Theorem 4.1 in ([2], p.72). We have  $G_{s,n}^+ f$  for  $Q_n f$ ,  $Df = (I - L)Hf$ ,  $\Phi(f) = \|D^2(f)\|$ ,  $\lambda(n) = \frac{1}{2\pi} \int_{-\pi}^{\pi} v^2 K_{s,n}(v) dv \sim n^{-2}$  for  $s \geq 2$ ,  $\lambda_1(n) = \frac{1}{12\pi} \int_{-\pi}^{\pi} v^4 K_{s,n}(v) dv \sim n^{-4}$  for  $s \geq 3$ .

The inequality (3.3) from Theorem 3.1 in ([2], p.69) is satisfied as  $G_{s,n}^+$  is a bounded operator. To obtain the condition  $A < 1$  (see [2], p.72, Th. 4.1), it is sufficient to show that  $A \frac{\lambda(n)}{\lambda_1(n)} = c \frac{n^2}{m}$  with  $A < 1$  i.e.  $A = c \frac{\lambda_1(n)}{\lambda(n)} \frac{n^2}{m} \leq cm^{-1} < 1$ , which is true for large  $m$ . The results needed for inequalities (3.4), (3.5) and (3.6) from Theorem 3.1 in ([2], p. 69) is given in the following three lemmas from which our theorem follows.

**Lemma 4.** For  $f \in Z$  we have

$$(1) \quad \|f - G_{s,n}^+ f + \lambda(n)Df\| \leq \lambda_1(n)\Phi(f).$$

**Lemma 5.** For  $f \in C[-1, 1]$  we have

$$(2) \quad \|D^2(G_{s,n}^+)^{2m} f\| \leq A \frac{\lambda(n)}{\lambda_1(n)} \|D(G_{s,n}^+)^m f\|.$$

**Lemma 6.** For  $f \in C[-1, 1]$  we have

$$(3) \quad \|D(G_{s,n}^+)^m f\| \leq \frac{B}{\lambda(n)} \|f\|.$$

We prove inequalities (2.2) and (2.3) for a power  $m$  of  $G_{s,n}^+$  such that  $A < 1$ .

**Proof of Lemma 4.** Let  $f \in Z$ . From  $Z \subset Y$  and Lemma 1 we get  $\tilde{f} \in C^2(\mathbb{R})$ . Applying Lemma 1 for  $Hf$ , we get  $\tilde{f} \in C^{(4)}(\mathbb{R})$ .

$$\text{We put } G_{s,n} f(x) = \pi^{-1} \int_{-\pi}^{\pi} f(\cos(\arccos x + v)) c_{n,s} \left( \frac{\sin(nv/2)}{\sin(v/2)} \right)^{2s} dv = \pi^{-1} \int_{-\pi}^{\pi} \tilde{f}(t+v)$$

$K_{s,n}(v)dv = \pi^{-1} \int_{-\pi}^{\pi} \tilde{f}(t-v)K_{s,n}(v)dv \equiv \tilde{G}_{s,n}\tilde{f}(t)$ , where  $\tilde{f}(t+v) := f(\cos(t+v)) := f(\cos(\arccos x + v))$ .

Using that  $\frac{1}{2\pi} \int_{-\pi}^{\pi} v^2 \tilde{f}''(t)K_{s,n}(v)dv = \lambda(n)\tilde{f}''(t)$ , we get

$$\begin{aligned} & f(x) - G_{s,n}^+ f(x) + \lambda(n)Df(x) \\ &= f(x) - (L + (I - L)G_{s,n})f(x) + \lambda(n)(I - L)Hf(x) \\ &= (I - L)(f(x) - G_{s,n}f(x) + \lambda(n)Hf(x)) \\ &= (I - L)(\tilde{f}(t) - \widetilde{G_{s,n}f}(t) + \lambda(n)\tilde{f}''(t)) \\ &= (I - L)\frac{1}{\pi} \int_{-\pi}^{\pi} \left[ \tilde{f}(t) - \tilde{f}(t+v) + \frac{1}{2}v^2 \tilde{f}''(t) \right] K_{s,n}(v)dv. \end{aligned}$$

Expanding  $\tilde{f}(t+v)$  by Taylor's formula and using that  $\int_{-\pi}^{\pi} v \tilde{f}'(t)K_{s,n}(v)dv = 0$ ,  $\int_{-\pi}^{\pi} v^3 \tilde{f}'''(t)K_{s,n}(v)dv = 0$ , we obtain

$$f(x) - G_{s,n}^+ f(x) + \lambda(n)Df(x) = -(I - L)\frac{1}{\pi} \int_{-\pi}^{\pi} \int_t^{t+v} \frac{1}{3!} \tilde{f}^{(4)}(\xi)(t+v-\xi)^3 d\xi K_{s,n}(v)dv.$$

We have  $\tilde{f}^{(4)}(\xi) = (H^2 f)(\cos \xi)$ . Now we will prove that

$$\begin{aligned} & (I - L)\frac{1}{\pi} \int_{-\pi}^{\pi} \int_t^{t+v} \frac{1}{3!} [(H^2 f)(\cos \xi)](t+v-\xi)^3 d\xi K_{s,n}(v)dv \\ &= (I - L)\frac{1}{\pi} \int_{-\pi}^{\pi} \int_t^{t+v} \frac{1}{3!} [(I - L)(H^2 f)(\cos \xi)](t+v-\xi)^3 d\xi K_{s,n}(v)dv. \end{aligned}$$

The last equality will be proved if we show that

$$\int_{-\pi}^{\pi} \int_t^{t+v} [(LH^2 f)(\cos \xi)](t+v-\xi)^3 d\xi K_{s,n}(v)dv = P_1 \cos t + Q_1 = P_1 x + Q_1.$$

Since  $(LH^2 f)(\cos \xi) = p \cos \xi + q$ , we have to show that

$$(4) \quad \int_{-\pi}^{\pi} \int_t^{t+v} (p \cos \xi + q)(t+v-\xi)^3 d\xi K_{s,n}(v)dv = P_1 \cos t + Q_1.$$

Integrating by parts 3 times the inner integral, we get (4) with

$$P_1 = \int_{-\pi}^{\pi} [3v^2 - 6(1 - \cos v)] K_{s,n}(v)dv, \quad Q_1 = \frac{1}{4} \int_{-\pi}^{\pi} v^4 K_{s,n}(v)dv.$$

Thus,  $\|f - G_{s,n}^+ f + \lambda(n)Df\|$

$$\begin{aligned} &= \left\| (I - L)\frac{1}{\pi} \int_{-\pi}^{\pi} \int_t^{t+v} \frac{1}{3!} [(I - L)(H^2 f)(\cos \xi)](t+v-\xi)^3 d\xi K_{s,n}(v)dv \right\| \\ &\leq \frac{2}{\pi 3!} \int_{-\pi}^{\pi} \int_t^{t+v} (t+v-\xi)^3 d\xi K_{s,n}(v)dv \|(I - L)H^2 f\| \\ &= \frac{1}{12\pi} \int_{-\pi}^{\pi} v^4 K_{s,n}(v)dv \|(I - L)H^2 f\| = \lambda_1(n)\Phi(f). \end{aligned}$$

In the last equality we used that the operator  $H$  maps a linear function into a linear function ( $H(ax + b) = -ax$ ) and hence,  $D^2f = (I - L)H(I - L)Hf = (I - L)H^2f$ .

**Proof of Lemma 5.** We consider both sides of (2.2). Using Lemma 3 we have

$$\begin{aligned}
D^2(G_{s,n}^+)^{2m}f &= (I - L)H(I - L)H(G_{s,n}^+)^{2m}f = (I - L)H^2(G_{s,n}^+)^{2m}f \\
&= (I - L)H^2(L + (I - L)G_{s,n}^{2m})f \\
&= (I - L)H^2Lf + (I - L)H^2(I - L)G_{s,n}^{2m}f \\
&= (I - L)H^2(I - L)G_{s,n}^{2m}f = (I - L)H^2G_{s,n}^{2m}f, \\
D(G_{s,n}^+)^mf &= (I - L)H(G_{s,n}^+)^mf = (I - L)H(L + (I - L)G_{s,n}^m)f \\
&= (I - L)HLf + (I - L)H(I - L)G_{s,n}^mf \\
&= (I - L)H(I - L)G_{s,n}^mf = (I - L)HG_{s,n}^mf.
\end{aligned}$$

Thus, (2.2) is equivalent to

$$(5) \quad \|(I - L)H^2G_{s,n}^{2m}f\| \leq A \frac{\lambda(n)}{\lambda_1(n)} \|(I - L)HG_{s,n}^mf\|.$$

We recall the inequality

$$(6) \quad \|HG_{s,n}^mf\| \leq c \frac{n^2}{m} \|f\|,$$

which is valid for every  $s \geq 2$  (see [Z, p.191]). Using the above inequality and that operators  $G_{s,n}$  commute with  $H$ , we have

$$\begin{aligned}
\|(I - L)H^2G_{s,n}^{2m}f\| &= \|(I - L)(HG_{s,n}^m)(HG_{s,n}^m)f\| \\
&= \|(I - L)(HG_{s,n}^m)(I - L)(HG_{s,n}^m)f\| \\
&\leq 2\|HG_{s,n}^m(I - L)HG_{s,n}^mf\| \\
&\leq c \frac{n^2}{m} \|(I - L)HG_{s,n}^mf\| = A \frac{\lambda(n)}{\lambda_1(n)} \|(I - L)HG_{s,n}^mf\|.
\end{aligned}$$

This establishes (2.5) and, hence, completes the proof of (2.2).

**Proof of Lemma 6.** We have

$$\begin{aligned}
\|D(G_{s,n}^+)^mf\| &= \|(I - L)HG_{s,n}^mf\| \\
&\leq 2\|HG_{s,n}^mf\| \leq c \frac{n^2}{m} \|f\| = \frac{B}{\lambda(n)} \|f\|.
\end{aligned}$$

In the last inequality we used the estimation (2.6). This completes the proof of (3).

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**ПРИБЛИЖАВАНЕ С ОПЕРАТОРИ ОТ ТИП НА  
КАО-ГОНСКА  $G_{S,N}^+$ . ПРАВА И ОБРАТНА ТЕОРЕМА**

**Теодора Димова Запрянова**

В работата се доказва еквивалентност на ръста на приближаване с линейния оператор от тип Као-Гонска и подходящо дефиниран  $K$ -функционал. Приложен е обща метод (развит от З. Дитциан и К. Иванов) за доказване на обратни неравенства.