

# IDENTITIES OF VECTOR SPACES AND NONASSOCIATIVE LINEAR ALGEBRAS

Kislitsin A.V., Isaev I.M.

Altai State Pedagogical University

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# Basic Definitions

- Let  $F$  be a field,  $A$  be an linear associative  $F$ -algebra and  $E$  is a subspace in  $A$  (but  $E$  not necessary subalgebra of  $A$ ) which generate  $A$  how linear  $F$ -algebra.
- In this case, we call  $E$  a *multiplicative vector space* (in short, an *L-space*) over the field  $F$ . The algebra  $A$  will be called *enveloping for the space  $E$* , and the space  $E$  will be called *embedded in the algebra  $A$* .
- The identity of an *L-space*  $E$  over a field  $F$  (embedded in an  $F$ -algebra  $A$ ) is a associative polynomial  $f(x_1, x_2, \dots, x_n)$  which equal to zero in  $A$  if, instead of its variables  $x_1, x_2, \dots, x_n$  we substitute any elements from  $E$ .

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- In this form, the concept of a multiplicative vector space and his identity was introduced in 2010 by I. M. Isaev and the speaker. However, (direct) analogs of this concept have been studied earlier.
- In 1978 I. V. L'vov considered algebras of the form  $\overline{V} = V \oplus E$ , where  $V$  is a vector space and  $E \subseteq \text{End}_F V$ . Nonzero products of elements of this algebra are given by the rule:  $v_i e_{ij} = v_j$ . It's clear that  $\overline{V} \in \text{Var}\langle x(yz) = 0 \rangle$ . The nonassociative polynomial  $zf(R_{x_1}, R_{x_2}, \dots, R_{x_n})$  is an identity of the algebra  $\overline{V}$  iff the associative polynomial  $f(x_1, x_2, \dots, x_n)$  is equal to zero when substituting instead of variables linear combinations of elements from  $E$ .
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- In 1973, Yu. P. Razmyslov introduced the concept of a weak identity of an associative Lie pair  $(A, L)$ , where  $L$  is a Lie algebra and  $A$  is its associative enveloping.
- A *weak identity* of a pair  $(A, L)$  is an associative polynomial  $f(x_1, x_2, \dots, x_n)$  that is equal to zero in the algebra  $A$  when substituted instead of variable  $x_1, x_2, \dots, x_n$  elements of the algebra  $L$ .
- Following the above construction, the identity of the multiplicative vector space  $E$  (with the enveloping algebra  $A$ ) can be considered (if necessary) as a weak identity of the pair  $(A, E)$ . The pair  $(A, E)$  in this case will be called a *multiplicative vector pair*.

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- Consider the set

$$E_0 = \left\{ \begin{pmatrix} \alpha & \alpha \\ 0 & \beta \end{pmatrix} \middle| \alpha, \beta \in F \right\}.$$

This set is a vector space over the field  $F$ , but it is not an  $F$ -algebra. The algebra  $T_2(F)$  of upper triangular matrices is the enveloping algebra for  $E_0$ .

- Consider the polynomial  $\text{St}_3(x_1, x_2, x_3) = \sum_{\sigma \in S_3} (-1)^\sigma x_{\sigma(1)} x_{\sigma(2)} x_{\sigma(3)}$ . Since  $\dim_F E_0 = 2$ , the polynomial  $\text{St}_3(x_1, x_2, x_3)$  is the identity of the  $F$ -space  $E_0$ .
- But this polynomial is not an identity of the algebra  $T_2(F)$ , because  $\text{St}_3(e_{11}, e_{12}, e_{22}) = e_{12} \neq 0$ .

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# Identities of Vector Spaces

- Let  $F\langle X \rangle$  be a free associative algebra and  $\emptyset \neq G \subseteq F\langle X \rangle$ . By  $T(G)$  we denote the  $T$ -ideal of the algebra  $F\langle X \rangle$  generated by the set  $G$ , and by  $L(G)$  we denote the ideal of  $F\langle X \rangle$  generated by the polynomials of the set  $G$  (as an ideal) and closed with respect to substitutions instead of variables of linear combinations of variables. These ideals will be called  $L$ -ideals. It's clear that  $L(G) \subseteq T(G)$ .
- The set of all identities of a vector space  $E$  is a  $L$ -ideal of  $F\langle X \rangle$ . We will denote such a  $L$ -ideal by  $L(E)$ .
- The converse is also true: every  $L$ -ideal of the algebra  $F\langle X \rangle$  is the set of identities of some  $L$ -space.



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- The identity  $f$  of the  $L$ -space  $E$  follows from the identities  $f_1, f_2, \dots$  if  $f \in L(f_1, f_2, \dots)$ .
- Thus, for obtaining a corollary from the identity  $f(x_1, x_2, \dots, x_n)$ , instead of variables  $x_1, x_2, \dots, x_n$ , only linear combinations of variables can be substituted. If we substitute the product of variables instead of variables  $x_1, x_2, \dots, x_n$  in  $f(x_1, x_2, \dots, x_n)$ , the resulting polynomial may not be an identity of the  $L$ -space.
- For example, the space  $E_0 = \langle e_{11} + e_{12}, e_{22} \rangle_F$  satisfies the identity  $\text{St}_3(x, y, z) = 0$ . However,  $E_0$  does not satisfy the identity  $\text{St}_3(xt, y, z) = 0$ . Indeed, if  $x = e_{11} + e_{12}$ ,  $t = e_{22}$ ,  $y = e_{11} + e_{12}$ ,  $z = e_{22}$  then  $\text{St}_3(xt, y, z) = \text{St}_3(e_{12}, e_{11} + e_{12}, e_{22}) = -e_{12} \neq 0$ .

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- If there exists a finite set  $G$  of identities of a multiplicative vector space  $E$ , from which all the identities of this space follow, then the space  $E$  is called a *finitely based  $L$ -space* (*FB-space*) with a *basis of identities*  $G$ .
- If such a finite set does not exist for the  $L$ -space  $E$ , then we say that the  $L$ -space  $E$  is *infinitely based* or *not finitely based* (*NFB-space*).
- FB-algebras and NFB-algebras are similarly defined in other classes of algebras which may be related to Specht's problem [Specht, 1950].

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- The construction of examples of NFB-algebras is an important direction in the study of varieties of algebras.
- There are known examples of NFB-algebras in various classes of algebraic systems:
  - in the class of groupoids [Lyndon, 1954];
  - in the class of semigroups [Perkins, 1969];
  - in the class of rings and linear algebras [Polin, 1976];
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### Proposition 1.1 [I., K., 2010].

Let  $F$  be an infinite field of arbitrary characteristic. The  $L$ -spaces  $A_1 = \langle e_{11}, e_{12} \rangle_F$  and  $A_2 = \langle e_{11}, e_{21} \rangle_F$  over the field  $F$  is an FB-spaces with a bases of identities  $\{[x, y]z\}$  and  $\{x[y, z]\}$  respectively.

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$$\{\text{St}_3(x, y, z), x[y, u]v, [x, y][u, v], [x, y]z_1z_2 \dots z_m[u, v] | m = 1, 2, \dots\}.$$

- Note that the space  $A = A_1 \oplus A_2$  is an NFB-space over an arbitrary infinite field. However, any linear associative algebra over a field of characteristic zero has a finite basis of its identities [Kemer, 1987].

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### Proposition 1.2 [I., K., 2010].

Let  $F = GF(q)$  be a finite field of  $q$  elements. The  $L$ -spaces  $A_1 = \langle e_{11}, e_{12} \rangle_F$  and  $A_2 = \langle e_{11}, e_{21} \rangle_F$  over the field  $F$  are FB-spaces with a bases of identities  $\{[x, y]z, (x^q - x)y\}$  and  $\{x[y, z], x(y^q - y)\}$  respectively.

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### Theorem 1.3 [I., K., 2010].

Let  $F$  be an infinite field of arbitrary characteristic. The multiplicative vector space  $T_2(F)$  of upper triangular matrices over the field  $F$  is an NFB-space with a basis of identities:

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- Consider a monomial  $w = w(x_1, x_2, \dots, x_n; y_1, y_2, \dots, y_k) \in F\langle X \rangle$  that is linear in each of the variables  $x_1, x_2, \dots, x_n$ . Let  $C_n^{(w)} = 0$  be the Capelli identity and  $\text{Cap}(n) = \text{Var}\langle C_n^{(w)} = 0 \rangle$  the variety of linear algebras satisfying all possible Capelli identities for a fixed  $n$ .
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- A variety  $\mathfrak{M}$  of linear algebras is called a *almost  $\theta$ -variety* if  $\mathfrak{M}$  does not satisfy the  $\theta$  property, but any proper subvariety of the variety  $\mathfrak{M}$  satisfies this property.
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### Theorem 3.7 [K., 2018].

Let  $F$  be a finite field and  $\mathcal{M}$  a nonnilpotent  $L$ -variety generated by an  $F$ -algebra considered as a vector space. Then an  $L$ -variety  $\mathcal{M}$  is almost commutative if and only if it is generated by one of the following spaces:

$$A_1 = \langle e_{11}, e_{12} \rangle_F, \quad A_2 = \langle e_{11}, e_{21} \rangle_F$$

### Corollary 3.3.

Let

$$A_3 = \left\{ \begin{pmatrix} a & b \\ 0 & \sigma(a) \end{pmatrix} \mid a, b \in P \right\},$$

where  $\sigma \in \text{Aut} P$ ,  $\sigma \neq 1$  and the field of invariants  $P^\sigma$  is the only maximal subfield of  $P$  containing  $F$ .  $L$ -variety  $\mathcal{M}_3 = \text{Var}_L A_3$  contains a noncommutative proper  $L$ -subvariety.

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- An  $L$ -variety  $\mathcal{M}$  is called a *minimal nonzero  $L$ -variety* (with respect to the inclusion) or an *atom* if for any  $L$ -variety  $\mathcal{N}$  it follows from inclusion  $\mathcal{N} \subseteq \mathcal{M}$  that either  $\mathcal{M} = \mathcal{N}$  or  $\mathcal{N}$  is the zero  $L$ -variety.
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**Theorem 3.9** [K., Unpublished].

An  $L$ -variety of multiplicative vector spaces over a field  $GF(2)$  is an atom iff it coincides with either  $\mathcal{M}_0$ , or  $\mathcal{M}_1$ , or  $\mathcal{M}_{p(x)}$ , where

$$\begin{aligned}\mathcal{M}_0 &= \text{Var}_L \langle xy = 0 \rangle, \\ \mathcal{M}_1 &= \text{Var}_L \langle [x, y] = 0, x + x^2 = 0 \rangle, \\ \mathcal{M}_{p(x)} &= \text{Var}_L \langle [x, y] = 0, x^2y = xy^2, x \cdot p(x) = 0 \rangle,\end{aligned}$$

$p(x)$  is an irreducible polynomial over the field  $GF(2)$ .

- Note that the identities of the  $L$ -variety  $\mathcal{M}_{p(x)}$  do not define an atom in the class of linear algebras over the field  $GF(q)$ .



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# Identities of Nonassociative Linear Algebras

- Let  $\mathfrak{P} = \text{Var}\langle x(yz) = 0 \rangle$  be the variety of left-nilpotent algebras of index 3. This variety of linear algebras was first considered in 1976 by S. V. Polin.
- Let  $V$  be a vector space and  $E$  is the (sub)space of linear transformations of the space  $V$ . Consider an algebra  $\bar{V} = V \oplus E$ , nonzero products of basis elements of of this algebra are given by the rule:  $v_i e_{ij} = v_j$  for  $v_i \in V$ ,  $e_{ij} \in E$ . It is easy to see that  $\bar{V} \in \mathfrak{P}$ .
- As we said earlier, the identities of the algebra  $\bar{V} = V \oplus E$  and  $L$ -space  $E$  are very close related.

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**Theorem 4.1** [L'vov, 1978].

The nonassociative polynomial  $zf(R_{x_1}, R_{x_2}, \dots, R_{x_n})$  is an identity of the algebra  $\overline{V} = V \oplus E$  iff the associative polynomial  $f(x_1, x_2, \dots, x_n)$  is an identity of the  $L$ -space  $E$ .

**Corollary 4.1.**

Let  $G = \{f_i(x_{i_1}, x_{i_2}, \dots, x_{i_k}) | i \in I\} \subseteq F\langle X \rangle$ ,  
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The set  $zG$  is a basis of an identities for the algebra  $\overline{V} = V \oplus E$  iff the set  $G$  is a basis of an identities for the  $L$ -space  $E$ .

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- Using Corollary 4.1 and the results obtained earlier for multiplicative vector spaces and their identities, we can obtain a number of consequences for nonassociative algebras of the form  $\overline{V} = V \oplus E$ .
- We formulate some corollaries of theorems proved for  $L$ -spaces.
- We assume that modulo  $x(yz) = 0$  the brackets in arbitrary word  $x_1x_2 \dots x_k$  are placed according to rule:  
 $x_1x_2 \dots x_k = ((\dots ((x_1x_2)x_3) \dots)x_{k-1})x_k$  and the writing  $zf(x_1, x_2, \dots, x_n)$  is a short form of the writing of  $zf(R_{x_1}, R_{x_2}, \dots, R_{x_n})$ .



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**Theorem 4.2** [I., K., 2013].

Let  $F$  be an infinite field of arbitrary characteristic. The nonassociative algebra  $A = \langle v_1, v_2, v_3, v_4 \rangle_F \oplus \langle e_{11}, e_{12}, e_{33}, e_{43} \rangle_F$  is an NFB-algebra with a basis of identities:

$$\{x(yz), z\text{St}_3(x, y, t), zx[y, u]v, z[x, y][u, v], \\ z[x, y]z_1z_2 \dots z_m[u, v] | m = 1, 2, \dots \}.$$

**Theorem 4.3** [I., K., 2013].

Let  $F = GF(q)$  be a finite field. The nonassociative algebra  $A = \langle v_1, v_2, v_3, v_4 \rangle_F \oplus \langle e_{11}, e_{12}, e_{33}, e_{43} \rangle_F$  is an NFB-algebra with a basis of identities:

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- The nonassociative algebras constructed in Theorems 4.4 and 4.5 are examples of four-dimensional NFB-algebras. Examples of five-dimensional NFB-algebras were previously known [Maltsev, Parfenov, 1977; L'vov, 1978].
- If we put  $F = GF(2)$  in Theorem 4.5, then we obtain an example of an NFB-ring containing 16 elements.
- Also, having examples of INFB-spaces and SNFB-spaces, we can construct examples of non-associative INFB-algebras and SNFB-algebras, respectively.

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**Theorem 4.7** [I., K., 2015].

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**Corollary 4.2.**

Any finite dimensional  $F$ -algebra (over the corresponding field  $F$ ) containing the algebra  $A$  as a subalgebra has no finite basis of identities.

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- Above, we gave an example of an  $L$ -variety that does not have a finite basis of identities and is an union of two Specht varieties.
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**Theorem 4.9** [I., 2018].

Let  $F$  be an field of characteristic zero. The variety  $\mathfrak{M} = \mathfrak{M}_1 \cup \mathfrak{M}_2 = \text{Var } B_1 \oplus B_2$  is an NFB-variety with a basis of identities

$$\{x(yz), z\text{St}_3(x, y, t), zx[y, u]v, x[y, u]v - v[y, u]x, \\ z[x, y]z_1z_2 \dots z_m[u, v] \mid m = 1, 2, \dots \}.$$

- In 1993, I.P. Shestakov formulated in Dniester notebook a question: do there exist finite dimensional central simple algebras over a field of characteristic zero that do not have a finite basis of identities?
- Note that any finite dimensional simple algebra over an algebraically closed field is uniquely determined by their identities up to isomorphism [Shestakov, Zaycev, 2011].
- Using the found SNFB-algebras and INFB-algebras, the required example is constructed for an arbitrary field.

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**Theorem 4.10** [I., K., 2012].

Let  $A = \langle 1, v_1, v_2, e_{11}, e_{12}, e_{22}, p \rangle_F$  be an algebra over arbitrary field  $F$ , where 1 is a unity element of  $A$ , and nonzero products of basis elements which is not equal to unity element are defined by rules:  $v_i e_{ij} = v_j$ ,  $v_2 p = 1$ . Then the algebra  $A$  is a central simple  $F$ -algebra and  $A$  has no finite basis of identities.

- After giving this example, the question was posed about the existence of a finite dimensional simple commutative or anticommutative NFB-algebra.
- In the case of characteristic zero, an positive answer to this question was obtained.

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### Theorem 4.11 [K., 2015].

Let  $A = \langle 1, v_1, v_2, e_{11}, e_{12}, e_{22}, p \rangle_F$  be an algebra over field  $F$  of characteristic zero, where 1 is a unity element of  $A$ , and nonzero products of basis elements which is not equal to unity element are defined by rules:  $v_i e_{ij} = e_{ij} v_i = v_j$ ,  $v_2 p = p v_2 = 1$ . Then the algebra  $A$  is a central simple commutative  $F$ -algebra and  $A$  has no finite basis of identities.

### Theorem 4.12 [K., 2017].

Let  $A = \langle e, v_1, v_2, e_{11}, e_{12}, e_{22}, p \rangle_F$  be an algebra over field  $F$  of characteristic zero, where nonzero products of basis elements are defined by rules:  $v_i e_{ij} = -e_{ij} v_i = v_j$ ,  $v_2 p = -p v_2 = e$ ,  $v_i e = -e v_i = v_i$ ,  $e_{ij} e = -e e_{ij} = e_{ij}$ ,  $p e = -e p = p$ . Then the algebra  $A$  is a simple anticommutative  $F$ -algebra and  $A$  has no finite basis of identities.



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- Theorems 4.10–4.12 give examples of nonfinitely based simple algebras of dimension seven.
- In the case of a field of characteristic zero, the dimension of the algebra from Theorem 4.10 can be decrease to six.

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**Theorem 4.13** [K., Unpublished].

Let  $A = \langle 1, v_1, v_2, e_{11} + e_{12}, e_{22}, p \rangle_F$  be an algebra over the field  $F$  of characteristic zero, where 1 is a unity element of  $A$ , and nonzero products of basis elements which is not equal to unity element (taking into account the law of distributive) are defined by rules:  $v_i e_{ij} = v_j$ ,  $v_2 p = 1$ . Then the algebra  $A$  is a central simple  $F$ -algebra and  $A$  has no finite basis of identities.

# Unsolved problems

- Description of NFB-spaces (SNFB-spaces, INFB-spaces).
- Description of minimal nonzero  $L$ -varieties of vector spaces.
- Study the structure of the lattice of  $L$ -subvarieties.
- Description of almost commutative (almost nilpotent, almost Engel, etc)  $L$ -varieties of vector spaces.
- When is  $T(G) = L(G)$  for the set  $G$  of associative polynomials?
- Construct an example of a not finitely based  $L$ -variety, any proper  $L$ -subvariety of which is given by a finite number of identities.
- Is there a three dimensional nonassociative NFB-algebra?
- etc.

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Thank you for your attention!