Fine gradings on classical simple real Lie algebras

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Outline

- Gradings on algebras
 - Definitions and examples
 - Refinement and coarsening
 - Classification problems for gradings
 - Transfer of gradings
- Gradings on simple Lie algebras
 - Types A₁ and G₂
 - Classical except A₁ and D₄
- Gradings on associative algebras (with involution)
 - Graded-division algebras
 - Involutions and sesquilinear forms
 - Fine gradings



Gradings and (semi)group gradings

Let A be a nonassociative algebra over a field \mathbb{F} .

Definition (Grading on an algebra)

A *grading* on \mathcal{A} is a vector space decomposition $\Gamma:\mathcal{A}=\bigoplus_{s\in\mathcal{S}}\mathcal{A}_s$ such that, whenever $\mathcal{A}_x\mathcal{A}_y\neq 0$, there exists a unique $z\in\mathcal{S}$ such that $\mathcal{A}_x\mathcal{A}_y\subseteq\mathcal{A}_z$. This gives a partially defined operation on \mathcal{S} : x*y:=z.

Definition (*G*-graded algebra)

Let G be a (semi)group, written multiplicatively.

- A *G-grading* on \mathcal{A} is a vector space decomposition $\Gamma: \mathcal{A} = \bigoplus_{g \in G} \mathcal{A}_g$ such that $\mathcal{A}_g \mathcal{A}_h \subseteq \mathcal{A}_{gh}$ for all $g, h \in G$.
- (A, Γ) is said to be a *G-graded algebra*, and A_g is its homogeneous component of degree g.

 $\Gamma: \mathcal{A} = \bigoplus_{s \in S} \mathcal{A}_s$ is a *(semi)group grading* if there exists a (semi)group G and $\iota: S \hookrightarrow G$ such that $\mathcal{A}_x \mathcal{A}_y \neq 0 \Rightarrow \iota(x * y) = \iota(x)\iota(y)$.

Universal grading groups

Example (Gradings from matrix units)

 $M_n(\mathbb{F}) = \bigoplus_{1 \leq i,j \leq n} \mathbb{F} E_{ij}$ is a semigroup grading, but not a group grading. $M_n(\mathbb{F}) = \operatorname{Span} \{E_{11}, \dots, E_{nn}\} \oplus \bigoplus_{1 \leq i \neq j \leq n} \mathbb{F} E_{ij}$ is an ab. group grading.

The *support* of a *G*-grading Γ is the set $\operatorname{Supp}\Gamma:=\{g\in G\mid \mathcal{A}_g\neq 0\}.$

Fact: For any semigroup grading on a simple Lie algebra, the support generates an abelian group.

Elduque 2021: There exists a non-semigroup gradings on $\mathfrak{so}_{26}(\mathbb{C})$.

Definition (Universal group and universal abelian group)

The *universal (abelian) group* of $\Gamma: \mathcal{A} = \bigoplus_{s \in S} \mathcal{A}_s$, where all $\mathcal{A}_s \neq 0$, is the (abelian) group $U(\Gamma)$ with generating set S and defining relations xy = z whenever $0 \neq \mathcal{A}_x \mathcal{A}_y \subseteq \mathcal{A}_z$ (i.e., xy = x * y whenever defined).

 $S \hookrightarrow U(\Gamma) \Leftrightarrow \Gamma$ is an (ab.) group grading. Then Γ is a $U(\Gamma)$ -grading, and this is universal among realizations (G, ι) .

Examples of abelian group gradings

Example

The following is a \mathbb{Z} -grading on $\mathfrak{g}=\mathfrak{sl}_2(\mathbb{C})$: $\mathfrak{g}=\mathfrak{g}_{-1}\oplus\mathfrak{g}_0\oplus\mathfrak{g}_1$ where

$$\mathfrak{g}_{-1} = \text{Span}\,\{\left[\begin{smallmatrix} 0 & 0 \\ 1 & 0 \end{smallmatrix} \right]\},\, \mathfrak{g}_0 = \text{Span}\,\{\left[\begin{smallmatrix} 1 & 0 \\ 0 & -1 \end{smallmatrix} \right]\},\, \mathfrak{g}_1 = \text{Span}\,\{\left[\begin{smallmatrix} 0 & 1 \\ 0 & 0 \end{smallmatrix} \right]\}.$$

This can also be regarded as a \mathbb{Z}_m -grading for any m > 2, but the universal group is \mathbb{Z} .

Example (Cartan grading)

Let $\mathfrak g$ be a s.s. Lie algebra over $\mathbb C,\,\mathfrak h$ a Cartan subalgebra. Then

$$\mathfrak{g}=\mathfrak{h}\oplus(igoplus_{lpha\in\Phi}\mathfrak{g}_lpha)$$

can be viewed as a grading by the root lattice $\langle \Phi \rangle$. Supp $\Gamma = \{0\} \cup \Phi$; $U(\Gamma) = \langle \Phi \rangle \cong \mathbb{Z}^r$ where $r = \dim \mathfrak{h}$.

Examples continued

Example (Pauli grading on $\mathfrak{sl}_2(\mathbb{C})$)

The Pauli matrices $\sigma_3 = \left[\begin{smallmatrix} 1 & 0 \\ 0 & -1 \end{smallmatrix} \right], \ \sigma_1 = \left[\begin{smallmatrix} 0 & 1 \\ 1 & 0 \end{smallmatrix} \right], \ \sigma_2 = \left[\begin{smallmatrix} 0 & -i \\ i & 0 \end{smallmatrix} \right]$ define a grading on $\mathfrak{g} = \mathfrak{sl}_2(\mathbb{C})$ by $\mathbb{Z}_2 \times \mathbb{Z}_2$, namely, $\mathfrak{g} = \mathfrak{g}_a \oplus \mathfrak{g}_b \oplus \mathfrak{g}_c$ where

$$\mathfrak{g}_{\textit{a}} = \text{Span}\,\{\left[\begin{smallmatrix} 1 & 0 \\ 0 & -1 \end{smallmatrix}\right]\},\, \mathfrak{g}_{\textit{b}} = \text{Span}\,\{\left[\begin{smallmatrix} 0 & 1 \\ 1 & 0 \end{smallmatrix}\right]\},\, \mathfrak{g}_{\textit{c}} = \text{Span}\,\{\left[\begin{smallmatrix} 0 & 1 \\ -1 & 0 \end{smallmatrix}\right]\};$$

Supp $\Gamma = \{a, b, c\}$; $U(\Gamma) = \mathbb{Z}_2^2 = \{e, a, b, c\}$.

Example (Generalized Pauli grading on $M_n(\mathbb{F})$, $\mathfrak{gl}_n(\mathbb{F})$ and $\mathfrak{sl}_n(\mathbb{F})$)

If \mathbb{F} contains a primitive n-th root of unity ε , then the matrices

$$X = \begin{bmatrix} \varepsilon^{n-1} & 0 & \dots & 0 & 0 \\ 0 & \varepsilon^{n-2} & \dots & 0 & 0 \\ \dots & & & & & \\ 0 & 0 & \dots & \varepsilon & 0 \\ 0 & 0 & \dots & 0 & 1 \end{bmatrix} \text{ ("clock") and } Y = \begin{bmatrix} 0 & 1 & 0 & \dots & 0 & 0 \\ 0 & 0 & 1 & \dots & 0 & 0 \\ \dots & & & & & \\ 0 & 0 & 0 & \dots & 0 & 1 \\ 1 & 0 & 0 & \dots & 0 & 0 \end{bmatrix} \text{ ("shift")}.$$

define a grading on $\mathbb{R}=M_n(\mathbb{F})$ by $\mathbb{Z}_n^2=\langle a,b\rangle$, namely, $\mathbb{R}_{a^ib^j}=\mathbb{F}X^iY^j$.

Gradings induced by group homomorphisms

Given $\Gamma: \mathcal{A} = \bigoplus_{g \in G} \mathcal{A}_g$, a group homomorphism $\alpha \colon G \to H$ induces ${}^{\alpha}\Gamma: \mathcal{A} = \bigoplus_{h \in H} \mathcal{A}'_h$ where $\mathcal{A}'_h = \bigoplus_{g \in \alpha^{-1}(h)} \mathcal{A}_g$.

Example (Gradings on polynomial algebra by assigning weights)

 $\mathbb{F}[x_1,\ldots,x_n]=\bigoplus_{h\in H}\mathcal{A}_h$ with $\mathcal{A}_h=\operatorname{Span}\{x_1^{k_1}\cdots x_n^{k_n}\mid w_1^{k_1}\cdots w_n^{k_n}=h\}$ is induced from the standard \mathbb{Z}^n -grading by $e_i\mapsto w_i\in H$ (ab. group).

Example (\mathbb{Z}_2 -gradings on $\mathfrak{sl}_2(\mathbb{F})$)

Let $\Gamma: \mathfrak{sl}_2(\mathbb{F}) = \operatorname{Span} \{ \begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix} \} \oplus \operatorname{Span} \{ \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \} \oplus \operatorname{Span} \{ \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix} \}$ be the Cartan grading and $\alpha: \mathbb{Z} \to \mathbb{Z}_2$ be the quotient map. Then ${}^{\alpha}\Gamma: \mathfrak{sl}_2(\mathbb{F}) = \operatorname{Span} \{ \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \} \oplus \operatorname{Span} \{ \begin{bmatrix} 0 & 0 \\ 0 & -1 \end{bmatrix} \}.$

- \mathbb{F} is a.c. \Rightarrow any nontrivial homomorphisms $\mathbb{Z}_2^2 \to \mathbb{Z}_2$ induces from the Pauli grading on $\mathfrak{sl}_2(\mathbb{F})$ a \mathbb{Z}_2 -grading isomorphic to the above.
- $\mathbb{F}=\mathbb{R}\Rightarrow$ one of the homomorphisms $\mathbb{Z}_2^2\to\mathbb{Z}_2$ induces the \mathbb{Z}_2 -grading $\mathfrak{sl}_2(\mathbb{F})=\operatorname{Span}\{\left[\begin{smallmatrix}0&1\\-1&0\end{smallmatrix}\right]\}\oplus\operatorname{Span}\{\left[\begin{smallmatrix}1&0\\0&-1\end{smallmatrix}\right],\left[\begin{smallmatrix}0&1\\1&0\end{smallmatrix}\right]\}$, which is not isomorphic to the above (the identity comp. is not ad-diagonalizable).

Refinements, coarsenings, and fine group gradings

Definition

Consider a G-grading $\Gamma: \mathcal{A} = \bigoplus_{g \in S \subseteq G} \mathcal{A}_g$ and an H-grading $\Gamma': \mathcal{A} = \bigoplus_{h \in S' \subseteq H} \mathcal{A}'_h$. We say that Γ' is a *coarsening* of Γ (or Γ is a *refinement* of Γ') if for any $g \in G$ there exists $h \in H$ such that $\mathcal{A}_g \subseteq \mathcal{A}'_h$. If we have \neq for some $g \in S = \operatorname{Supp} \Gamma$, then Γ a *proper* refinement of Γ' . A grading is *fine* if it does not have proper refinements.

Example

 $\mathfrak{sl}_2(\mathbb{C}) = \operatorname{Span}\left\{\left[\begin{smallmatrix} 1 & 0 \\ 0 & -1 \end{smallmatrix}\right]\right\} \oplus \operatorname{Span}\left\{\left[\begin{smallmatrix} 0 & 1 \\ 0 & 0 \end{smallmatrix}\right], \left[\begin{smallmatrix} 0 & 0 \\ 1 & 0 \end{smallmatrix}\right]\right\} \text{ is a } \mathbb{Z}_2\text{-grading that is a proper coarsening of the Cartan grading and also of the Pauli grading.}$

Fact: If G is the universal group of Γ , then for any coarsening Γ' there exists a homomorphism $\alpha: G \to H$ such that $\Gamma' = {}^{\alpha}\Gamma$.

Example (Fine elementary grading on $M_n(\mathbb{F})$)

The group grading $M_n(\mathbb{F}) = \operatorname{Span} \{E_{11}, \dots, E_{nn}\} \oplus \bigoplus_{1 \leq i \neq j \leq n} \mathbb{F} E_{ij}$ is fine. (But it has a proper refinement that is not a group grading.)

Isomorphism and equivalence

Definition (Homomorphism of graded algebras)

Let $\mathcal{A}=\bigoplus_{g\in G}\mathcal{A}_g$ and $\mathcal{A}'=\bigoplus_{g\in G}\mathcal{A}'_g$ be G-graded algebras. A homomorphism of graded algebras (or graded homomorphism) is an algebra map $\psi:\mathcal{A}\to\mathcal{A}'$ such that $\psi(\mathcal{A}_g)\subseteq\mathcal{A}'_g$ for all $g\in G$.

In particular, \mathcal{A} and \mathcal{A}' are isomorphic as G-graded algebras (or graded-isomorphic) if there exists a graded isomorphism $\mathcal{A} \to \mathcal{A}'$.

Definition (Equivalence of graded algebras)

Let $\mathcal A$ be an algebra with a G-grading $\Gamma:\mathcal A=\bigoplus_{g\in\mathcal S\subseteq G}\mathcal A_g$ and $\mathcal A'$ be an algebra with an H-grading $\Gamma':\mathcal A'=\bigoplus_{h\in\mathcal S'\subseteq H}\mathcal A'_h$. Then $\mathcal A$ and $\mathcal A'$ are equivalent if there exists an algebra isomorphism $\psi:\mathcal A\to\mathcal A'$ and a bijection $\alpha\colon\mathcal S\to\mathcal S'$ such that $\psi(\mathcal A_g)=\mathcal A'_{\alpha(g)}$ for all $g\in\mathcal S$.

If G and H are universal groups, then α extends to a group isomorphism $G \to H$ and the condition on ψ says that it is a graded isomorphism $(A, {}^{\alpha}\Gamma) \to (A', \Gamma')$.

Classification problems

Definition

- Two G-gradings on \mathcal{A} , $\Gamma:\mathcal{A}=\bigoplus_{g\in G}\mathcal{A}_g$ and $\Gamma':\mathcal{A}=\bigoplus_{g\in G}\mathcal{A}_g'$, are *isomorphic* if \exists an algebra automorphism $\psi:\mathcal{A}\to\mathcal{A}$ such that $\psi(\mathcal{A}_g)=\mathcal{A}_g'$ for all $g\in G$ (i.e., $(\mathcal{A},\Gamma)\cong (\mathcal{A},\Gamma')$ as G-graded alg.)
- A G-grading $\mathcal{A} = \bigoplus_{g \in S \subseteq G} \mathcal{A}_g$ and an H-grading $\mathcal{A} = \bigoplus_{h \in S' \subseteq H} \mathcal{A}'_h$ are *equivalent* if \exists an algebra automorphism $\psi : \mathcal{A} \to \mathcal{A}$ and a bijection $\alpha \colon S \to S'$ such that $\psi(\mathcal{A}_g) = \mathcal{A}'_{\alpha(g)}$ for all $g \in S$.

Given a "nice" algebra A, classify

- fine (abelian) group gradings on A up to equivalence;
- all G-gradings on A up to isomorphism, for a fixed group G.

If we classified G-gradings on \mathcal{A} for any G, it is still not trivial to determine which of them are fine and which of them are equivalent.

If $\dim \mathcal{A} < \infty$ then for any G-grading Γ on \mathcal{A} , \exists a fine grading Δ on \mathcal{A} and a homom. $\alpha: U(\Delta) \to G$ such that $\Gamma = {}^{\alpha}\Delta$, but it is often hard to determine which of the induced gradings are isomorphic.

A transfer theorem

Let $\mathbb F$ be an arbitrary field. Let $\mathcal A$ and $\mathcal B$ be f.d. algebras over $\mathbb F$, each with any number of multilinear operations.

Theorem

Suppose we have a homomorphism $\theta \colon \mathbf{Aut}_{\mathbb{F}}(\mathcal{A}) \to \mathbf{Aut}_{\mathbb{F}}(\mathcal{B})$.

Then, for any abelian group G, we have a mapping, $\Gamma \mapsto \theta(\Gamma)$, from G-gradings on \mathfrak{B} .

If Γ and Γ' are isomorphic then $\theta(\Gamma)$ and $\theta(\Gamma')$ are isomorphic.

For any group homomorphism $\alpha \colon G \to H$, we have $\theta({}^{\alpha}\Gamma) = {}^{\alpha}(\theta(\Gamma))$.

Corollary

If θ is an isomorphism then $\mathcal A$ and $\mathcal B$ have the same classification of G-gradings up to isomorphism and fine gradings up to equivalence.

Type $A_1 \Leftrightarrow$ quaternion algebras

Let Ω be a quaternion algebra over \mathbb{F} . Then $\operatorname{Aut}_{\mathbb{F}}(\Omega)$ is smooth. Assume $\operatorname{char} \mathbb{F} \neq 2$. Then $\operatorname{Aut}_{\overline{\mathbb{F}}}(\Omega_{\overline{\mathbb{F}}})$ is a simple alg. group of type A_1 and $\mathcal{L} := [\Omega, \Omega]$ is a simple Lie algebra of type A_1 . The "restriction" map $\operatorname{Aut}_{\mathbb{F}}(\Omega) \to \operatorname{Aut}_{\mathbb{F}}(\mathcal{L})$ is an isomorphism.

 $\mathbb{F}=\mathbb{R}\Rightarrow$ two simple Lie algebras of type A_1 : the split real form $\mathfrak{sl}_2(\mathbb{R})$ and the compact real form $\mathfrak{so}_3(\mathbb{R})$, which correspond to $\mathbb{Q}=\mathbb{H}_s$ and \mathbb{H} . Hence, $\mathfrak{sl}_2(\mathbb{R})$ has 2 fine gradings up to equivalence (Cartan and Pauli, with universal groups \mathbb{Z} and \mathbb{Z}_2^2), while $\mathfrak{so}_3(\mathbb{R})$ has 1 (only Pauli).

The G-gradings induced from the Cartan grading on \mathbb{H}_s are

$$\Gamma(g)$$
: $\operatorname{deg}\begin{bmatrix}0 & 1\\0 & 0\end{bmatrix} = g^{-1}$, $\operatorname{deg}\begin{bmatrix}1 & 0\\0 & -1\end{bmatrix} = e$, $\operatorname{deg}\begin{bmatrix}0 & 0\\1 & 0\end{bmatrix} = g^{-1}$.

 $\Gamma(g)$ and $\Gamma(g')$ are isomorphic $\Leftrightarrow g' \in \{g,g^{-1}\}.$

The remaining G-gradings are parametrized by (T,μ) where $T \leq G$, $T \cong \mathbb{Z}_2^r$ with $0 \leq r \leq 2$, and $\mu: T \to \{\pm 1\}$ is a character (trivial for \mathbb{H}).

12/25

Type $G_2 \Leftrightarrow$ octonion algebras

Let \mathcal{C} be a Cayley algebra over \mathbb{F} . Then $\mathbf{Aut}_{\mathbb{F}}(\mathcal{C})$ is smooth.

Assume $\operatorname{char} \mathbb{F} \neq 2,3$. Then $\operatorname{Aut}_{\overline{\mathbb{F}}}(\mathcal{C}_{\overline{\mathbb{F}}})$ is a simple alg. group of type G_2 and $\mathcal{L} := \operatorname{Der}_{\mathbb{F}}(\mathcal{C})$ is a simple Lie algebra of type G_2 .

 $\mathrm{Ad}: \mathbf{Aut}_{\mathbb{F}}(\mathfrak{C}) \to \mathbf{Aut}_{\mathbb{F}}(\mathcal{L})$ is an isomorphism.

Hence, Ad gives a bijection between (isom. classes of) G-gradings on \mathcal{C} and \mathcal{L} , also between (equiv. classes of) fine gradings on \mathcal{C} and \mathcal{L} . Ad maps a grading $\mathcal{C} = \bigoplus_{g \in G} \mathcal{C}_g$ to the following grading on \mathcal{L} : $\mathcal{L}_g := \{D \in \operatorname{Der}_{\mathbb{F}}(\mathcal{C}) \mid D(\mathcal{C}_h) \subseteq \mathcal{C}_{gh} \ \forall h \in G\}.$

Theorem (Elduque 1998)

Any nontrivial grading on a Cayley algebra is, up to equivalence, either a grading induced by the Cayley–Dickson doubling process or a coarsening of the Cartan grading on the split Cayley algebra.

This leads to a classification of gradings on \mathcal{C} (Elduque–K. 2018).

 $\mathbb{F}=\mathbb{R}$ (CDM 2010) \Rightarrow 2 fine gradings on \mathbb{O}_s and split G_2 (with universal groups \mathbb{Z}^2 and \mathbb{Z}_2^3) and 1 on \mathbb{O} and compact G_2 (only \mathbb{Z}_2^3).

A, B, C, D \Leftrightarrow central simple assoc. alg. with involution

Assume $\operatorname{char} \mathbb{F} \neq 2$. Let \mathcal{R} be a f.d. central simple associative algebra over \mathbb{F} , $\dim_{\mathbb{F}} \mathcal{R} = n^2$, and φ be an \mathbb{F} -linear involution on \mathcal{R} such that

 B_r : $n = 2r + 1 \ (\Rightarrow \mathcal{R} \cong M_n(\mathbb{F})$ and φ is orthogonal), $r \geq 2$;

 C_r : n = 2r and φ is symplectic, $r \ge 2$;

 D_r : n = 2r and φ is orthogonal, $r \ge 3$.

Let $\mathcal{L} = \operatorname{Skew}(\mathcal{R}, \varphi)$. Then \mathcal{L} is a simple Lie algebra of the indicated type, and the restriction map $\operatorname{Aut}_{\mathbb{F}}(\mathcal{R}, \varphi) \to \operatorname{Aut}_{\mathbb{F}}(\mathcal{L})$ is an isomorphism, except in the case D_4 .

Let $\mathcal R$ to be a f.d. s.s. associative algebra with $Z(\mathcal R)=\mathbb K$, where $\mathbb K$ is a quadratic étale algebra over $\mathbb F$ (either $\mathbb F\times\mathbb F$ or a quadratic field extension of $\mathbb F$), and φ be an involution of the second kind (i.e., $\mathbb F$ -linear but not $\mathbb K$ -linear $\Leftrightarrow (\mathcal R, \varphi)$ is central simple). Hence $\dim_{\mathbb F} \mathcal R = 2n^2$.

 A_r : n = r + 1, $r \ge 2$. Let \mathcal{L} be the quotient of the derived algebra of $\operatorname{Skew}(\mathcal{R}, \varphi)$ modulo its center.

The "restriction" map $\operatorname{Aut}_{\mathbb{F}}(\mathcal{R},\varphi) \to \operatorname{Aut}_{\mathbb{F}}(\mathcal{L})$ is an isomorphism, except in the case $n=3=\operatorname{char}\mathbb{F}$.

Graded-simple associative algebras

 ${\mathbb D}$ is a *graded-division* algebra if all nonzero homogeneous elements are invertible (\Rightarrow graded ${\mathbb D}$ -modules have a graded basis).

Theorem ("Graded Wedderburn Theorem")

Let \Re be a G-graded algebra (or ring). Then \Re is graded-simple and satisfies d.c.c. on graded one-sided ideals \Leftrightarrow there exists a graded-division algebra $\mathfrak D$ and a graded right $\mathfrak D$ -module $\mathfrak V$ of finite rank such that $\Re \cong \operatorname{End}_{\mathfrak D}(\mathcal V)$ as G-graded algebras.

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\begin{array}{l} \operatorname{End}^{\operatorname{gr}}_{\mathbb{D}}(\mathcal{V}) := \bigoplus_{g \in G} \operatorname{End}_{\mathbb{D}}(\mathcal{V})_g \text{ is a $G$-graded algebra where} \\ \operatorname{End}_{\mathbb{D}}(\mathcal{V})_g := \{ \mathcal{T} \in \operatorname{End}_{\mathbb{D}}(\mathcal{V}) \mid \mathcal{T}(\mathcal{V}_h) \subseteq \mathcal{V}_{gh} \ \forall h \in G \}. \end{array}
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Select a graded \mathcal{D} -basis $\{v_1, \ldots, v_k\}$ of \mathcal{V} , and let $\deg v_i = g_i$. $\mathcal{R} \cong M_k(\mathbb{F}) \otimes \mathcal{D}$, where $\deg(E_{ij} \otimes d) = g_i(\deg d)g_j^{-1}$ for homog. $d \in \mathcal{D}$.

 $\mathfrak{R}=M_n(\mathbb{F})\Rightarrow \mathfrak{D}\cong M_\ell(\mathbb{F})$ with a division grading, $k\ell=n$. If \mathbb{F} is a.c. then $\mathfrak{D}_e=\mathbb{F}$, hence, with any G-grading on $M_n(\mathbb{F})$, we have $M_n(\mathbb{F})\cong M_k(\mathbb{F})\otimes M_\ell(\mathbb{F})$ where all homog. components of $M_\ell(\mathbb{F})$ are 1-dim (Bahturin–Sehgal–Zaicev 2001).

Central simple graded-division algebras

Theorem (Havlíček–Patera–Pelantová 1998 and BSZ 2001 for $\operatorname{char} \mathbb{F} = 0$; Bahturin–Zaicev 2003)

Let T be an ab. group and $\mathbb F$ an a.c. field. Then, for any division grading on $\mathbb D=M_\ell(\mathbb F)$ with support T, there exists a decomposition $T=H_1\times\cdots\times H_r$ such that $H_i\cong\mathbb Z^2_{\ell_i}$ and $\mathbb D\cong M_{\ell_1}(\mathbb F)\otimes\cdots\otimes M_{\ell_r}(\mathbb F)$ where $M_{\ell_i}(\mathbb F)$ has a generalized Pauli grading by H_i .

More generally, let \mathcal{D} be a graded-division algebra with support T and $\mathcal{D}_e = \mathbb{F}$. Pick $0 \neq X_t \in \mathcal{D}_t$ for any $t \in T$. Then $\mathcal{D}_t = \mathbb{F}X_t$ for any $t \in T$, so \mathcal{D} is a *twisted group algebra* of T.

If T is abelian, we have $X_sX_t=\beta(s,t)X_tX_s$ where the mapping $\beta:T\times T\to \mathbb{F}^\times$ is an alternating bicharacter, i.e., multiplicative in each variable and satisfies $\beta(t,t)=1$ for all $t\in T$.

Assume $|T| < \infty$ and set $\operatorname{rad}\beta := \{s \in T \mid \beta(s,t) = 1 \ \forall t \in T\}$. $\mathbb D$ is central simple over $\mathbb F \Leftrightarrow \beta$ is *nondegenerate*, i.e., $\operatorname{rad}\beta = \{e\}$.

Central simple graded-division algebras continued

If β is nondegenerate, T admits a *symplectic basis*, i.e., a generating set of the form $\{a_1,b_1,\ldots,a_m,b_m\}$ with $o(a_i)=o(b_i)=n_i\geq 2$ such that $\beta(a_i,b_i)=\zeta_i$, with $\zeta_i\in\mathbb{F}$ a primitive root of unity of degree n_i , while $\beta(a_i,b_j)=1$ for $i\neq j$ and $\beta(a_i,a_j)=\beta(b_i,b_j)=1$ for all i,j.

The elements $X_i := X_{a_i}$ and $Y_i := X_{b_i}$ generate \mathcal{D} as an \mathbb{F} -algebra and satisfy the following defining relations:

$$X_i^{n_i} = \mu_i, \ Y_i^{n_i} = \nu_i, \ X_i Y_i = \zeta_i Y_i X_i,$$

 $X_i X_j = X_j X_i, \ Y_i Y_j = Y_j Y_i, \ \text{and} \ X_i Y_j = Y_j X_i \ \text{for} \ i \neq j,$

so \mathcal{D} is a tensor product of (graded) *cyclic* or *symbol algebras*:

$$\mathcal{D} \cong (\mu_1, \nu_1)_{\zeta_1^{-1}, \mathbb{F}} \otimes \cdots \otimes (\mu_m, \nu_m)_{\zeta_m^{-1}, \mathbb{F}}.$$

 $\mathbb{F} = \mathbb{R} \Rightarrow \text{all } n_i = 2 \Rightarrow T \text{ is an elementary abelian 2-group and } \mathbb{D} \text{ is a tensor product of (graded) quaternion algebras.}$

Simple f.d. graded-division algebras with abelian T and any \mathcal{D}_e are classified (Bahturin–Zaicev 2016 and Rodrigo 2016).

Antiautomorphisms on $\operatorname{End}_{\mathcal{D}}(\mathcal{V})$

Theorem (Elduque 2010)

Let G be an abelian group and consider the G-graded algebra $\mathcal{R} = \operatorname{End}_{\mathcal{D}}(\mathcal{V})$ where \mathcal{D} is a graded-division algebra and \mathcal{V} is a nonzero graded right \mathcal{D} -module of finite rank.

- (1) If φ is an antiautomorphism of the graded algebra R, then there exists an antiautomorphism φ₀ of the graded algebra D and a nondegenerate φ₀-sesquilinear form B : V × V → D, by which we mean a nondegenerate F-bilinear mapping that is φ₀-sesquilinear over D, i.e.,
 - (i) $B(vd, w) = \varphi_0(d)B(v, w)$ and B(v, wd) = B(v, w)d, and homogeneous of some degree $g_0 \in G$, i.e.,
 - (ii) $B(\mathcal{V}_a, \mathcal{V}_b) \subset \mathfrak{D}_{g_0ab}$ for all $a, b \in G$, such that φ is the adjunction with respect to B, i.e.,
 - (iii) $B(rv, w) = B(v, \varphi(r)w)$ for all $r \in \mathbb{R}$ and $v, w \in \mathcal{V}$.
- (2) Another pair (φ_0', B') satisfies these conditions if and only if there exists $d \in \mathcal{D}_{\mathrm{gr}}^{\times}$ such that B' = dB and $\varphi_0' = \mathrm{Int}(d) \circ \varphi_0$.

Involutions on $\operatorname{End}_{\mathcal{D}}(\mathcal{V})$

Theorem (Elduque–K.–Rodrigo 2021)

- (3) If φ is an involution, then the pair (φ_0, B) as in part (1) can be chosen so that φ_0 is an involution and B is hermitian or skew-hermitian, by which we mean that $B(w, v) = \delta \varphi_0(B(v, w))$ for all $v, w \in \mathcal{V}$, where $\delta = 1$ (hermitian) or $\delta = -1$ (skew).
- (4) Let (φ_0, B) be a pair chosen for φ as in part (3). Then:
 - (i) Any other such pair (φ_0', B') has the form $(Int(d) \circ \varphi_0, dB)$ where $d \in \mathcal{D}_{gr}^{\times}$ satisfies $\varphi_0(d) = d$ (symmetric) or $\varphi_0(d) = -d$ (skew).
 - (ii) If φ_0' is a degree-preserving involution of $\mathbb D$ such that $\varphi_0'\varphi_0^{-1}$ is an inner automorphism of $\mathbb D$, then there exists $d\in \mathbb D_{\operatorname{gr}}^\times$ such that $\varphi_0'=\operatorname{Int}(d)\circ \varphi_0$ and the pair (φ_0',dB) satisfies part (3).

Corollary

Assume that (\mathfrak{R}, φ) is central simple as an algebra with involution. Then \mathfrak{D} admits a degree-preserving involution of the same kind as φ , and for any such involution φ_0 , there exists B as in part (3).

The graded algebras with involution $\mathfrak{M}^{\mathrm{ex}}(\mathfrak{D}, k)$

Let \mathcal{D} be a graded-division algebra with abelian support T and let $k \geq 1$ be an integer.

Definition

Let $\widetilde{G}(T, k) := F \times T$, where F is the free abelian group generated by the symbols $\widetilde{g}_1, \dots, \widetilde{g}_k$.

(i) The $\widetilde{G}(T,k)$ -grading on $M_k(\mathfrak{D})\cong M_k(\mathbb{F})\otimes \mathfrak{D}$ defined by

$$\deg(E_{ij}\otimes d)=\tilde{g}_i\tilde{g}_j^{-1}t \ \ \text{for any } 0
eq d\in\mathfrak{D}_t$$

is denoted $\Gamma_{\mathbb{M}}(\mathfrak{D}, k)$ and the resulting graded algebra $\mathbb{M}(\mathfrak{D}, k)$.

(ii) Using the same grading on the opposite algebra, we obtain a $\widetilde{G}(T,k)$ -graded algebra $\mathcal{M}(\mathcal{D},k)\times\mathcal{M}(\mathcal{D},k)^{\mathrm{op}}$ so that the exchange involution $\mathrm{ex}:(x,y)\mapsto(y,x)$ is degree-preserving. The resulting graded algebra with involution will be denoted by $\mathcal{M}^{\mathrm{ex}}(\mathcal{D},k)$ and its grading by $\Gamma_{\mathcal{M}^{\mathrm{ex}}}(\mathcal{D},k)$.

The graded algebras with involution $\mathcal{M}(\mathcal{D}, \varphi_0, \boldsymbol{q}, \boldsymbol{s}, \underline{\boldsymbol{d}}, \delta)$

Let φ_0 be a degree-preserving involution on \mathcal{D} , let $q,s\geq 0$ be integers (not both zero), let $\delta\in\{\pm 1\}$ and let $\underline{d}=(d_1,\ldots,d_q)$ be a q-tuple of nonzero homogeneous elements of \mathcal{D} such that $\varphi_0(d_i)=\delta d_i$ for all i.

Let $t_i := \deg d_i$ and let F be the free abelian group generated by the symbols $\tilde{g}_1, \ldots, \tilde{g}_k$ where k := q + 2s. Define $\tilde{G} = \tilde{G}(T, q, s, \underline{t})$ to be the quotient of $F \times T$ modulo the following relations:

$$\tilde{g}_1^2 t_1^{-1} = \ldots = \tilde{g}_q^2 t_q^{-1} = \tilde{g}_{q+1} \tilde{g}_{q+2} = \ldots = \tilde{g}_{q+2s-1} \tilde{g}_{q+2s}.$$

Definition

The $\widetilde{G}(T, q, s, \underline{t})$ -graded algebra $M_k(\mathcal{D})$ with involution given by $\varphi(X) = \Phi^{-1}\varphi_0(X)^{\top} \Phi$ for all $X \in M_k(\mathcal{D})$ where

$$\Phi = \operatorname{diag}\left(\textit{\textbf{d}}_1, \ldots, \textit{\textbf{d}}_q, \begin{bmatrix} 0 & 1 \\ \delta & 0 \end{bmatrix}, \ldots, \begin{bmatrix} 0 & 1 \\ \delta & 0 \end{bmatrix}\right)$$

is denoted $\mathcal{M}(\mathcal{D}, \varphi_0, q, s, \underline{d}, \delta)$ and its grading $\Gamma_{\mathcal{M}}(\mathcal{D}, \varphi_0, q, s, \underline{d}, \delta)$.

Fine gradings on algebras with involution

Corollary

Let φ be an involution on an artinian algebra \Re . If (\Re, φ) is simple then, for any G-grading Γ on (\Re, φ) , exactly one of the following holds:

- Γ is the image of some ${}^{\alpha}\Gamma_{\mathcal{M}^{ex}}(\mathfrak{D},k)$ under an isomorphism of algebras with involution, where $T:=\operatorname{Supp}\mathfrak{D}$ is a subgroup of G and $\alpha:\widetilde{G}(T,k)\to G$ is a homomorphism with $\alpha|_T=\operatorname{id}_T$;
- Γ is the image of some ^αΓ_M(D, φ₀, q, s, d, δ) under an isomorphism of algebras with involution, where T := Supp D is a subgroup of G, φ₀ is a degree-preserving involution on D, and α : G(T, q, s, t) → G is a homomorphism with α|_T = id_T.

Theorem (Elduque-K.-Rodrigo 2021)

Assume $\mathbb D$ is finite-dimensional. If $(q,s) \neq (2,0)$ and the grading $\Gamma_{\mathbb D}$ on $\mathbb D$ is fine, then so is $\Gamma = \Gamma_{\mathbb M}(\mathbb D, \varphi_0, q, s, \underline d, \delta)$. Conversely, if $(\mathbb D, \varphi_0)$ is central simple over $\mathbb R$ and Γ is fine, then so is $\Gamma_{\mathbb D}$.

Classification up to equivalence: central simple over \mathbb{R}

Theorem (Elduque–K.–Rodrigo 2021)

Let \Re be a f.d. central simple algebra over \Re and φ an involution on \Re . Set $\delta = +1$ if φ is orthogonal and $\delta = -1$ if φ is symplectic. If (\Re, φ) is equipped with a group grading Γ , then Γ is fine if and only if \Re is equivalent as a graded algebra with involution to one of the following:

- $\mathcal{M}(2m; \mathbb{R}; q, s, \underline{d}, \delta) := \mathcal{M}(\mathcal{D}(2m; +1), *, q, s, \underline{d}, \delta)$ where $m \ge 0$, $X^* = X^{\top}$ for all $X \in \mathcal{D}(2m; +1) \cong M_{2^m}(\mathbb{R})$,
- $\begin{array}{l} \bullet \ \ \mathcal{M}(2m;\mathbb{H};q,s,\underline{d},\delta) := \mathcal{M}\big(\mathcal{D}(2m;-1),*,q,s,\underline{d},-\delta\big) \ \textit{where} \ m \geq 1, \\ X^* = \overline{X}^\top \ \textit{for all} \ X \in \mathcal{D}(2m;-1) \cong \textit{M}_{2^{m-1}}(\mathbb{H}), \end{array}$

where in the case (q,s)=(2,0), the pair $\underline{d}=(d_1,d_2)$ satisfies $\deg d_1 \neq \deg d_2$. Moreover, the above graded algebras with involution are classified up to equivalence by the following invariants: m, q, s, δ , signature(\underline{d}), and the orbit of the multiset $\{\deg d_1,\ldots,\deg d_q\}$ in $T\cong \mathbb{Z}_2^{2m}$ under the action of the orthogonal group $\mathrm{O}(T,\mu)$ where $\mu:T\to \{\pm 1\}$ is the quadratic form defined by $X_t^*=\mu(t)X_t$ for $X_t\in \mathfrak{D}_t$.

Classification up to equivalence over $\mathbb R$ and $\mathbb C$

Let \mathcal{D} be a f.d. graded-division algebra with support T and $\mathcal{D}_e = \mathbb{F}$. Suppose $\mathcal{D} \neq \mathbb{F}$ admits a degree-preserving involution that makes it central simple as an algebra with involution. Then $\operatorname{char} \mathbb{F} \neq 2$ and T is an elementary abelian 2-group, i.e., a vector space over GF(2).

Any such involution maps $X_t \mapsto \eta(t)X_t$ where $\eta: T \to \{\pm 1\}$ is a *nonsingular* quadratic form with polarization β :

$$\eta(st) = \eta(s)\eta(t)\beta(s,t)$$
 for all $s, t \in T$,

and either $rad\beta = \{e\}$ or $rad\beta = \{e, f\}$ with $\eta(f) = -1$.

- If $\mathbb{F} = \mathbb{R}$ then $X_t^2 \in \mu(t)\mathbb{R}_{>0}$ defines a quadratic form $\mu : T \to \{\pm 1\}$ and hence a *distinguished involution* on \mathbb{D} . μ is nonsingular if $\mathbb{K} := Z(\mathcal{D})$ is \mathbb{R} or \mathbb{C} (but not $\mathbb{R} \times \mathbb{R}$).
- If \mathbb{F} is a.c. and $\mathbb{K} = \mathbb{F}$ ($\Leftrightarrow \operatorname{rad}\beta = \{e\}$), let $Q(T,\beta)$ be the set of quadratic forms on T whose polarization is β . Then $\operatorname{Sp}(T,\beta)$ acts naturally on both T and $Q(T,\beta)$, and $Q(T,\beta)$ is a T-torsor, i.e., admits a simply transitive T-action compatible with the $\operatorname{Sp}(T,\beta)$ -actions.

Classification up to equivalence: summary

Let (\mathcal{R}, φ) be central simple as an algebra with involution over \mathbb{F} , $\operatorname{char} \mathbb{F} \neq 2$. Then fine gradings on (\mathcal{R}, φ) are classified up to equivalence by a finite ab. group T, with |T| a divisor of $\dim \mathcal{R}$, and an orbit of multisets in a vector space over GF(2) as follows:

| $\mathbb{K} := \mathcal{Z}(\mathcal{R})$ | ${\mathbb F}$ is real closed | ${\mathbb F}$ is alg. closed |
|---|--|--|
| F | $\mathrm{O}(\mathit{T},\mu)$ on T | $\operatorname{Sp}(T,\beta)$ on $Q(T,\beta)$ |
| $\mathbb{F} \times \mathbb{F}$, nontriv. | $\mathrm{AO}(\overline{T},ar{\mu})$ on $\overline{T}:=T/\langle f angle$ | $\mathrm{ASp}(\overline{T}, ar{eta}) \ on \ \overline{T} := T/\langle f \rangle$ |
| $\mathbb{F} \times \mathbb{F}$, triv. gr. | no multiset | no multiset |
| $\mathbb{F}[i]$, nontriv. | $\operatorname{Sp}(\overline{T},ar{eta})$ on $\overline{T}:=T/\langle f angle$ | |
| $\mathbb{F}[\mathbf{\emph{i}}]$, triv. gr. | $\operatorname{Sp}(V,\mathfrak{F})$ on $V:=T/T^{[2]}$ | |

where *T* is 2-elementary except in the shaded cells ($\Rightarrow T \cong A \times A$).

The average number $\hat{N}(k)$ of fine gradings for $\deg \mathcal{R} \leq k$ satisfies $\exp(bk^{2/3}) \leq \hat{N}(k) \leq \exp(ck^{2/3})$ for a.c. \mathbb{F} (K.–Parsons–Sadov 2013).