

ON MAXIMUM DISTANCE SEPARABLE AND
COMPLETELY REGULAR CODES ¹

J. Borges, J. Rifà, and V. A. Zinoviev

Abstract

We investigate when a maximum distance separable (MDS) code over \mathbb{F}_q is also completely regular (CR). For lengths $n = q + 1$ and $n = q + 2$ we provide a complete classification of the MDS codes that are CR or at least uniformly packed in the wide sense (UPWS). For the more restricted case $n \leq q$ with $q \leq 5$ we obtain a full classification (up to equivalence) of all nontrivial MDS codes: there are none for $q = 2$; only the ternary Hamming code for $q = 3$; four nontrivial families for $q = 4$; and exactly six linear MDS codes for $q = 5$ (three of which are CR and one admits a self-dual version). Additionally, we close two gaps left open in a previous classification of self-dual CR codes with covering radius $\rho \leq 3$: we precisely determine over which finite fields the MDS self-dual completely regular codes with parameters $[2, 1, 2]_q$ and $[4, 2, 3]_q$ exist.

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1 Introduction. Let \mathbb{F}_q denote the finite field of order q , where q is a prime power, and let $\mathbb{F}_q^* = \mathbb{F}_q \setminus \{0\}$. Let C be a linear $[n, k, d]_q$ code with $0 < k < n$.

For any $\mathbf{v} \in \mathbb{F}_q^n$ its *distance* to the code C is $d(\mathbf{v}, C) = \min\{d(\mathbf{v}, \mathbf{x}) \mid \mathbf{x} \in C\}$. The *external distance* of C (see [7]) is the number s of nonzero weights in C^\perp . The *packing radius* of C is $e = \lfloor (d - 1)/2 \rfloor$ and the *covering radius* is $\rho = \max_{\mathbf{v} \in \mathbb{F}_q^n} \{d(\mathbf{v}, C)\}$. Clearly $e \leq \rho$, with equality if and only if C is perfect. It is well known that any nontrivial perfect code (with more than two codewords) satisfies $e \leq 3$ [19, 20].

Definition 1.1 ([7]). *A code C is completely regular (CR) if for any $\mathbf{v} \in \mathbb{F}_q^n$ and any $t \in \{0, \dots, n\}$, the value $B_{\mathbf{v}, t} = |\{\mathbf{x} \in C \mid d(\mathbf{v}, \mathbf{x}) = t\}|$ depends only on t and $d(\mathbf{v}, C)$.*

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For a more detailed description and basic properties of CR codes over finite fields, see [5, 6], or [15, Chap. 2], for example.

A more general class of codes is the class of uniformly packed codes in the wide sense [2].

Definition 1.2 ([2]). *A code $C \subseteq \mathbb{F}_q^n$ with covering radius ρ is uniformly packed in the wide sense (UPWS) if there exist rational numbers $\beta_0, \dots, \beta_\rho$ such that*

$$\sum_{i=0}^{\rho} \beta_i B_{\mathbf{x}, i} = 1, \tag{1}$$

for any $\mathbf{x} \in \mathbb{F}_q^n$. The numbers $\beta_0, \dots, \beta_\rho$ are called the packing coefficients.

If C is a $[n, k, d]_q$ code, the Singleton bound [16] establishes that $d \leq n - k + 1$. If C attains equality, then C is a *maximum distance separable* (MDS) code.

The Griesmer bound [10, 18] is $n \geq \sum_{i=0}^{k-1} \left\lceil \frac{d}{q^i} \right\rceil$, ($k \geq 1$). If C attains equality, then C is a *Griesmer* code.

In this paper we study the possible parameters of MDS codes (which are also Griesmer codes) over \mathbb{F}_q . For length $n = q + 1$ and $n = q + 2$ we give a complete classification of the MDS codes that are completely regular or at least uniformly packed in the wide sense. For $q \leq 5$, we obtain a full classification (up to equivalence) of all nontrivial MDS codes. For $q = 2$, as is well known, there are only trivial cases. For $q = 3$, there is only one nontrivial case, which is a self-dual Hamming code. For $q = 4$, the nontrivial cases are a Hamming code, its dual code, a self-dual code, and the so-called hexacode. The case $q = 5$ is the most interesting. We determine that there are 6 nontrivial such codes over \mathbb{F}_5 , up to equivalence. Three of them are CR codes. In addition, we point out in which cases such codes are self-dual. Moreover, we specify exactly in which finite fields the MDS codes with parameters $[2, 1, 2]_q$ and $[4, 2, 3]_q$ are also self-dual. Such codes are completely regular as stated in [6].

The main conjecture on MDS codes is the following

Conjecture 1.3. *If C is a nontrivial MDS $[n, k, d]_q$ code ($2 \leq k \leq n - 2$), then $n \leq q + 1$ unless q is even and $k = 3$ or $k = q - 1$, in which case $n \leq q + 2$.*

This conjecture was first considered by Segre [13] and is proven for a large number of cases. For our purposes, the following case, proven in [1] is useful.

Proposition 1.4. *If C is an MDS $[n, k, d]_p$ code with p prime and $2 \leq k \leq n - 2$, then $n \leq p + 1$.*

2 Preliminary results. The next result can be derived from Remark 5.13 in [12].

Proposition 2.1. *Let q be an odd prime power. Then -1 is a square in \mathbb{F}_q if and only if $q \equiv 1 \pmod{4}$.*

Recall that the quadratic character χ of \mathbb{F}_q is defined as $\chi(a) = 1$ if a is a square, and $\chi(a) = -1$ if a is not ($a \in \mathbb{F}_q^*$). The next result is Lemma 6.24 in [12].

Proposition 2.2. *Let q be an odd prime power and $t, a_1, a_2 \in \mathbb{F}_q^*$. The equation*

$$a_1x_1^2 + a_2x_2^2 = t \tag{2}$$

has exactly $q - \chi(-a_1a_2)$ solutions, where χ is the quadratic character of \mathbb{F}_q .

In [6] it is stated that any $[4, 2, 3]_q$ code is CR and taking a generator matrix of the form

$$G = \begin{pmatrix} 1 & 0 & \alpha & \beta \\ 0 & \xi & \beta & -\alpha \end{pmatrix}, \tag{3}$$

where $\alpha, \beta \in \mathbb{F}_q^*$, such code is also self-dual if $1 + \alpha^2 + \beta^2 = 0$ and $\xi^2 = 1$. But in [6] it is not specified in which finite fields these conditions can be satisfied. By using Proposition 2.2, we can precise such finite fields.

Corollary 2.3. *A self-dual $[4, 2, 3]_q$ code exists if and only if $q \notin \{2, 5\}$.*

For completely regular codes, we will use the following properties.

Lemma 2.4. *Let C be a linear code with minimum distance d , covering radius ρ , packing radius e and external distance s .*

- (i) $\rho \leq s$ [7].
- (ii) If $d \geq 2s - 1$, then C is CR [7].
- (iii) $\rho = s$ if and only if C is UPWS [3].
- (iv) If C is CR, then $\rho = s$ [17].
- (v) If C is UPWS and $\rho = e + 1$, then C is CR [9, 14].

Note that, by (iii) and (iv), a CR code is always a UPWS code.

The only self-dual perfect code is the ternary Hamming $[4, 2, 3]_3$ code (see, for example, [6, Lemma 13]). Since a Hamming code has parameters

$$[n = (q^m - 1)/(q - 1), n - m, 3]_q,$$

it is an MDS code if and only if $m = 2$. In such case, the parameters are $[q + 1, q - 1, 3]_q$ and the dual simplex code has parameters $[q + 1, 2, q]_q$. Since a simplex code has only one nonzero weight, Hamming codes have external distance $s = 1$. Hence a Hamming code is CR by Lemma 2.4 (ii).

The following equivalence is easy to see, but not usually mentioned.

Proposition 2.5. *Let C be an $[n, k, d]_q$ code with $k \geq 2$. Then C is an MDS code if and only if C is a Griesmer code and $d \leq q$.*

All the following results on MDS codes can be found in [11, Sect. 7.4].

Proposition 2.6. *Let C be an MDS $[n, k, d]_q$.*

- (i) C^\perp is MDS.

(ii) The number of minimum weight codewords in C (i.e. codewords of weight d) is $A_d = \binom{n}{d}(q-1)$.

(iii) If $2 \leq k \leq n-2$, then $k \leq \min\{n-2, q-1\}$ and $n \leq 2(q-1)$.

In [8], the number of weights of MDS codes is determined.

Proposition 2.7 ([8]). *Let C be an MDS $[n, k, d]_q$ code and let s' be the number of nonzero weights of C .*

(i) *If C is an $[n, n-1, 2]_2$ code with $n > 2$, then $s' = \lfloor n/2 \rfloor \neq k$.*

(ii) *If C is a $[q+1, 2, q]_q$ code, then $s' = 1$.*

(iii) *If C is a $[2^m + 2, 3, 2^m]_{2^m}$ code, then $s' = 2$.*

In all other cases $s' = k$, assuming that Conjecture 1.3 is true.

3 Trivial MDS codes. Trivial MDS $[n, k, d]_q$ codes are those with $k = 1$ or $k \geq n-1$.

For $k = 1$, we have the MDS repetition codes C_R with parameters $[n, 1, n]_q$. Such codes and their duals, the $[n, n-1, 2]_q$ codes, are CR (by Lemma 2.4 (ii), since the codes C_R have just one nonzero weight). For $q = 2$, C_R codes have covering radius $\rho = \lfloor n/2 \rfloor$ (see [4, Thm. 1]) and identical external distance $s = \lfloor n/2 \rfloor$ by Proposition 2.7. Hence, binary C_R codes are UPWS by Lemma 2.4 (iii). Moreover, since the packing radius is $e = \lfloor (n-1)/2 \rfloor$, we have that $e = \rho$ if n is odd, and $e+1 = \rho$ if n is even. Therefore, C_R is a perfect code if n is odd, and a quasi-perfect UPWS code if n is even. In any case, C_R is a CR code by Lemma 2.4. For $q > 2$ and $n > 2$, we have $\rho = n - \lceil n/q \rceil$ (see [4, Thm. 1]) and the external distance is $s = n-1$ (Proposition 2.7). Hence, C_R is UPWS if and only if $q \geq n$.

Note that if a C_R code is self-dual then $n = 2$. In such case, the codes $[2, 1, 2]_q$ are CR. Take a generator matrix $G = (1 \ \alpha)$ for a $[2, 1, 2]_q$ C_R code. Then C_R is self-dual if and only if $\alpha^2 = -1$. In fact, these codes or direct sums of them are the only self-dual CR codes with $d \leq 2$ (see [6, Thm. 36(i)]). However, in [6] the finite fields where such codes exist are not specified. As a direct consequence of Proposition 2.1 we can establish the following result.

Theorem 3.1. *Let C be a self-dual CR $[n, k, d]_q$ code. If $d \leq 2$, then C is necessarily an MDS $[2, 1, 2]_q$ code, or a direct sum of j codes with these parameters (and C is not MDS for $j > 1$). Such codes exist if and only if q is even or $q \equiv 1 \pmod{4}$.*

4 Nontrivial MDS codes. Nontrivial MDS $[n, k, d]_q$ codes are those with $2 \leq k \leq n-2$. Assuming that the MDS conjecture (Conjecture 1.3) is true, we have that $n \leq q+1$ except in some exceptional cases where $n = q+2$. We start with these extremal cases.

According to Conjecture 1.3, a nontrivial MDS code of length $n = q+2$ is a $[2^m + 2, 3, 2^m]_{2^m}$ code or a $[2^m + 2, 2^m - 1, 4]_{2^m}$ code, where $m > 1$.

Theorem 4.1. *Let C be an MDS $[q+2, k, d]_q$ code, where $q = 2^m$ and $m > 1$.*

(i) *If C is an MDS $[2^m + 2, 3, 2^m]_{2^m}$ code with odd m , then C is not UPWS (and so, not CR).*

(ii) If C is an MDS $[2^m + 2, 2^m - 1, 4]_{2^m}$ code, then C is CR.

For $n = q + 1$ we have MDS codes with parameters $[q + 1, k, q - k + 2]_q$. We start with the particular case $k = 2$.

Proposition 4.2. *Let C be an MDS $[q + 1, 2, q]_q$ code.*

(i) *If q is odd, then C is UPWS and CR if and only if $q = 3$.*

(ii) *If q is even, then C is UPWS. For $q = 2^2$, C is CR. For $q = 2^3$, C is not CR.*

Now, we have the following strong result for any $k \geq 2$.

Theorem 4.3. *If C is a nontrivial MDS $[q + 1, k, q - k + 2]_q$ code, then C is not UPWS (and so not CR) with the following exceptions:*

(i) *$k = q - 1$, in which case C is a Hamming perfect and CR code.*

(ii) *$k = 2$ with q even or $q = 3$, in which case C is UPWS. For $q = 3$, C is also CR.*

(iii) *$k = q - 2$ with q even, in which case C is UPWS. Moreover, for any $m > 1$, there exists a CR $[q + 1, q - 2, 4]_q$ code, where $q = 2^m$.*

For $n \leq q$, we begin by establishing the following result.

Theorem 4.4. *If C is an MDS $[n, k, d]_q$ code with $n \leq q$, then C is UPWS.*

In general, it seems hard to determine in which cases an MDS code C is also CR. However, for small fields we may consider some particular cases.

It is well known that all binary MDS codes are trivial. For $q = 3$, we have $n \leq 4$, by Proposition 2.6 (iii). Hence, we have the only nontrivial case of $[4, 2, 3]_3$ codes which are the self-dual CR Hamming ternary codes. If $q = 4$ then, by Proposition 2.6 (iii), we have $n \leq 6$. So, we have the possible parameters $[6, 4, 3]_4$, $[6, 3, 4]_4$, $[6, 2, 5]_4$, $[5, 3, 3]_4$, $[5, 2, 4]_4$ and $[4, 2, 3]_4$. The case of a $[6, 3, 4]_4$ code corresponds to the well-known two-weight *hexacode* (see, e.g., [11, p. 383]). Such code is CR by Lemma 2.4 (ii) since $s = 2$. In fact, it is a particular case of Theorem 4.1 (ii). The other two cases with $n = 6$ can be discarded after the following result.

Lemma 4.5. *There do not exist codes with parameters $[6, 4, 3]_4$ or $[6, 2, 5]_4$.*

For the remaining cases, note that a $[5, 3, 3]_4$ code is a Hamming code and a $[5, 2, 4]_4$ code is the corresponding simplex dual. Finally, a $[4, 2, 3]_4$ code exists and it is CR. In fact, any $[4, 2, 3]_q$ code is CR by Lemma 2.4 since $s \leq 2$ (see also Proposition 23 in [6]). By Corollary 2.3 a $[4, 2, 3]_4$ code is self-dual taking a generator matrix

$$G = \begin{pmatrix} 1 & 0 & \alpha & \alpha^2 \\ 0 & 1 & \alpha^2 & \alpha \end{pmatrix},$$

where α is a primitive element in \mathbb{F}_4 .

The next smallest interesting finite field where to study MDS codes is \mathbb{F}_5 . Now, we determine the possible parameters for nontrivial MDS codes over \mathbb{F}_5 . Since Conjecture 1.3 is proven for prime fields, and applying Proposition 2.6, we only have to consider the cases: $(n, k) \in \{(6, 4), (6, 3), (6, 2), (5, 3), (5, 2), (4, 2)\}$.

Theorem 4.6. *If C is a nontrivial MDS $[n, k, d]_5$ code, then C is one of the following codes, up to equivalence:*

- (i) A CR $[6, 4, 3]_5$ code or its dual $[6, 2, 5]_5$ which is not UPWS.
- (ii) A $[6, 3, 4]_5$ code, which is not UPWS. A self-dual version of such code exists.
- (iii) A CR $[5, 3, 3]_5$ code or its dual $[5, 2, 4]_5$ which is UPWS.
- (iv) A $[4, 2, 3]_5$ code which is CR and not self-dual.

5 Summary. In summary we have found the following infinite families of MDS codes which are CR codes:

- (i) The trivial $[n, n - 1, 2]_q$ codes for any n and q , and the $[n, 1, n]_2$ codes.
- (ii) Any $[2^m + 2, 2^m - 1, 4]_{2^m}$ code for $m > 0$.
- (iii) The Hamming $[q + 1, q - 1, 3]_q$ codes for any q .
- (iv) The $[2^m + 1, 2^m - 2, 4]_{2^m}$ codes equivalent to doubly-extended Reed-Solomon codes, for any $m > 1$.

In addition, for $n \leq q$, we have the sporadic codes (not included above) with parameters: $[4, 2, 3]_4$, the punctured code of a $[5, 2, 4]_4$ CR code (item (iv) above, for $m = 2$); $[5, 3, 3]_5$ (see Theorem 4.6 (iii)); and $[4, 2, 3]_5$ (see Theorem 4.6 (iv)).

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ЗА МАКСИМАЛНО РАЗДАЛЕЧИМИ И НАПЪЛНО РЕГУЛЯРНИ КОДОВЕ

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Абстракт

Изследваме кога един максимално раздалечим (MDS) код над \mathbb{F}_q е също и напълно регулярен (CR). За дължини $n = q + 1$ и $n = q + 2$ даваме пълна класификация на MDS кодовете, които са CR или поне равномерно опаковани в широк смисъл (UPWS). За по-ограничения случай $n \leq q$ при $q \leq 5$ получаваме пълна класификация (с точност до еквивалентност) на всички нетривиални MDS кодове: няма такива за $q = 2$; само троичният код на Хеминг за $q = 3$; четири нетривиални семейства за $q = 4$; и точно шест линейни MDS кода за $q = 5$ (три от които са CR, а един допуска самодуална версия). Освен това запълваме две празнини, оставени в предишна класификация на самодуални CR кодове с радиус на покритие $\rho \leq 3$: точно определяме над кои крайни полета съществуват MDS самодуални напълно регулярни кодове с параметри $[2, 1, 2]_q$ и $[4, 2, 3]_q$.

Ключови думи: максимално раздалечим код, напълно регулярен код.