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Mathematica Balkanica

Mathematical Society of South-Eastern Europe
A quarterly published by
the Bulgarian Academy of Sciences – National Committee for Mathematics

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New Series Vol. 3, 1989, Fasc. 1

Functions of Bounded Boundary Rotation of Complex Order

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Presented by M. Putinar

A function f(z) analytic in the unit disk E, normalized by the conditions f(0)=0, f'(0)=1 and $f'(z)\neq 0$ in E is said to belong to $V_k(b)$, where b is a nonzero complex number if

$$\int_{0}^{2\pi} |\operatorname{Re}(1 + \frac{1}{b} \cdot \frac{zf''(z)}{f'(z)})| d\theta \leq k\pi$$

for all $z = re^{i\theta} \in E$.

In this paper we obtain various representation theorems and using an invariant transformation we obtain the sharp radius of convexity for the class $V_k(b)$. Using Ahlfor's criterion for univalence we determine a condition on k and b for which a function belonging to $V_k(b)$ is univalent. Also using Goluzin's method of variation of parameters we have solved an extremal problem in the class $V_k(b)$ and used it to obtain the sharp distortion and rotation bounds for the functions in the class. Further by employing a technique due to Krzyż, we determine the sharp radius of close-to-convexity of the class $V_k(b)$.

1. Introduction

Let A be the class of functions f(z) regular in the unit disk $E = \{Z : |z| < 1\}$ and normalized by the conditions f(0) and f'(0) = 1.

Definition. A function $f(z) \in A$, $f'(z) \neq 0$ in E is said to belong to the class $V_k(b)$, where b is a non-zero complex number if

(1.1)
$$\int_{0}^{2\pi} |\operatorname{Re}(1 + \frac{1}{b} \cdot \frac{zf''(z)}{f'(z)})| d\theta \leq k\pi$$

for all $z = re^{i\theta} \in E$.

The functions of the class $V_k(b)$ are said to be functions of bounded boundary rotation of complex order b. For different values of k and b, $V_k(b)$ reduces to important subclasses studied by various authors.

(i) $V_k(1)$ is the well-known class V_k of functions of bounded boundary rotation at most $k\pi$ introduced by V. Paatero [12].

- (ii) $V_k(1-\rho)$ is the class introduced by K. S. Padmanabhan and R. Parvatham [13].
- (iii) $V_k(\cos\alpha e^{-i\alpha})$ is the class introduced by E. J. Moulis, Jr. [8].

(iv) $V_k[(1-\beta)\cos\alpha e^{-i\alpha}]$ is studied by the author [19].

(v) $V_2(b)$ is the class of convex functions of complex order b introduced by P. Wiatrowski [21] and also studied by M. A. Nasr and M. A. Aouf [10]. Also $V_2(\cos\alpha e^{-i\alpha})$ has been studied by R. J. Libera and M. R. Ziegler [7] and $V_2[(1-\beta)\cos\alpha e^{-i\alpha}]$ has been studied by P. N. Chichra [2], P. I. Sizuk [17] and the author [18].

Hence the results obtained in this paper generalize the results due to [7], [8], [10], [18], [19].

2. Preliminary Results

Let M_k denote the class of functions $\mu(t)$ of bounded variation with

$$\int_{0}^{2\pi} d\mu(t) = 2 \quad \text{and} \quad \int_{0}^{2\pi} 1 d\mu(t) | \leq k.$$

Lemma 1. If $f(z) \in V_k(b)$ there exists a function $\mu(t) \in M_k$ such that

(2.1)
$$f'(z) = \exp\left\{-b \int_{0}^{2\pi} \log(1-ze^{it}) d\mu(t)\right\}.$$

Proof. If $f(z) \in V_k(b)$ then (1.1) is satisfied for all $z \in E$. Hence by a theorem due to V.Paatero [12] there exists a function $\mu(t) \in M_k$ such that

$$1 + \frac{1}{b} \cdot \frac{zf''(z)}{f'(z)} = \frac{1}{2} \int_{0}^{2\pi} \frac{1 + ze^{it}}{1 - ze^{it}} \cdot d\mu(t).$$

A little simplification and an integration gives the desired result.

Lemma 2. $f(z) \in V_k(b)$ if and only if there exists a function $g(z) \in V_k(1) = V_k$ such that

(2.2)
$$f'(z) = [g'(z)]^b.$$

Proof. Differentiating (2.2) logarithmically we get

$$1 + \frac{1}{b} \cdot \frac{zf''(z)}{f'(z)} = 1 + \frac{zg''(z)}{g'(z)}$$

$$\therefore \int_{0}^{2\pi} |\operatorname{Re}(1+\frac{1}{b} \cdot \frac{zf''(z)}{f'(z)})| \, d\theta = \int_{0}^{2\pi} |\operatorname{Re}(1+\frac{zg''(z)}{g'(z)})| \, d\theta,$$

where $z = re^{i\theta} \in E$.

Hence the result follows.

P. G. Umarani

Lemma 3. $f(z) \in V_k(b)$ if and only if there exist two functions g(z) and h(z) in $V_2(b) = C(b)$ such that

(2.3)
$$f'(z) = \frac{[g'(z)]^{\frac{k}{4} + \frac{1}{2}}}{[h'(z)]^{\frac{k}{4} - \frac{1}{2}}}$$

Proof. For every function $\mu(t) \in M_k$ there exist two non-decreasing functions u(t) and v(t) such that $\mu(t) = u(t) - v(t)$

and

$$\int_{0}^{2\pi} du(t) \le \frac{k}{2} + 1, \qquad \int_{0}^{2\pi} dv(t) \le \frac{k}{2} - 1.$$

Now using the representation (2.1), (2.3) follows.

Theorem 1. If $f(z) = z + a_2 z^2 + a_3 z^3 + ... \in V_k(b)$ then

$$|a_2| \leq \frac{k}{2} \cdot |b|.$$

The result is sharp.

Proof. If $f(z) \in V_k(b)$ then by Lemma 2 there exists a function $g(z) = z + b_2 z^2 + ... \in V_k(1)$ such that

$$f'(z) = [g'(z)]^b.$$

$$\therefore 1 + 2a_2z + 3a_3z^2 + \dots = [1 + 2b_2z + 3b_3z^2 + \dots]^b.$$

Comparing the coefficients we get $a_2 = b_2$. But $|b_2| \le \frac{k}{2}$ [11]. Hence $|a_2| \le \frac{k}{2} \cdot |b|$. Equality in (2.4) can be obtained for a function f(z) of the form

(2.5)
$$f'(z) = \left[\frac{(1 - \varepsilon_1 z)^{\frac{k}{2} - 1}}{(1 - e_1 z)^{\frac{k}{2} + 1}} \right]^b, \quad |\varepsilon_1| = |e_1| = 1$$

for $\varepsilon_1 = -1$ and $e_1 = 1$.

Theorem 2. Let $f(z) \in V_k(b)$ and $x \in E$. Then the function F(z) defined by

(2.6)
$$F'(z) = \frac{f'(\frac{z+x}{1+\bar{x}z})}{f'(x)(1+\bar{x}z)^{2b}} \quad F(0) = 0$$

also belongs to $V_k(b)$.

Proof. Now $f(z) \in V_k(b)$, hence by Lemma 2 there exists a function $g(z) \in V_k(1)$ such that $f'(z) = [g'(z)]^b$. M. S. Robertson [15] has shown that G(z) defined by

$$G(z) = \frac{g(\frac{z+x}{1+\bar{x}z}) - g(x)}{g'(x) \cdot (1-|x|^2)}$$
 is also in $V_k(1)$,

whenever g(z) is in $V_k(1)$. Hence there exists a function $F(z) \in V_k(b)$ such that $F'(z) = [G'(z)]^b$.

$$F'(z) = \frac{g'(\frac{z+x}{1+\bar{x}z})}{[g'(x)]^b \cdot (1+\bar{x}z)^{2b}} = \frac{f'(\frac{z+x}{1+xz})}{f'(x) \cdot (1+\bar{x}z)^{2b}},$$

which completes the proof of the theorem.

Theorem 3. If $f(z) \in V_k(b)$ then f(z) is convex for $|z| < r_0$, where r_0 is the least positive root of the equation

$$(2.7) 1-k.|b|.r+(2 \operatorname{Re} b-1)r^2=0.$$

The result is sharp.

Proof. If $f(z) \in V_k(b)$ then F(z) defined by (2.6) also belongs to $V_k(b)$. If $F(z) = z + A_2 z^2 + \ldots$ then from (2.4), $|A_2| \le \frac{k}{2} \cdot |b|$.

But

$$A_2 = \frac{F''(0)}{2!} = \frac{1}{2} \{ (1 - |x|^2) \frac{f''(x)}{f'(x)} - 2b \cdot \bar{x} \}.$$

Replacing x by z and using the above inequality, we get

$$\left| \frac{zf''(z)}{f'(z)} - \frac{2b|z|^2}{1 - |z|^2} \right| \le \frac{k \cdot |b| \cdot |z|}{1 - |z|^2}.$$

Therefore,

$$\operatorname{Re}\left(1 + \frac{zf''(z)}{f'(z)}\right) \ge \frac{1 - k \cdot |b| \cdot r + (2\operatorname{Re}b - 1)r^2}{1 - r^2},$$

where r = |z|. Hence $\text{Re}(1 + \frac{zf''(z)}{f'(z)}) > 0$, for $|z| < r_0$, where r_0 is the least positive root of the equation (2.7).

The result is sharp for a function f(z) defined by (2.5), where

$$\varepsilon_1 = \frac{r - e^{-i\delta}}{1 - re^{-i\delta}}, \ e_1 = \frac{r + e^{-i\delta}}{1 + re^{-i\delta}}, \ \delta = \arg b \ \text{at } z = r.$$

If $b = (1 - \beta) \cos \alpha e^{-i\alpha}$, we get a result obtained by the author [19].

P. G. Umarani

Theorem 4. A function $f(z) \in V_k(b)$ is univalent in E if $|b| < \frac{1}{k}$.

Proof. If $f(z) \in V_{\nu}(b)$ then

$$\left| (1-|z|^2) \frac{f''(z)}{f'(z)} - 2b \cdot |z|^2 \right| \le k|b| \cdot |z| < k|b|.$$

Then by using L. V. Ahlfor's [1] criterion for univalence where c=2b, f(z) is univalent if k|b|<1, i.e. $|b|<\frac{1}{k}$.

If $b = (1 - \rho)\cos\alpha e^{-i\alpha}$, Theorem 4 reduces to a result obtained by E. J. Moulis Jr. [9].

3. Distortion and Rotation Bounds

By using G. M. Goluzin's [4] method of variation of parameters we have solved an extremal problem for the class $V_k(b)$. This method has been previously used by B. Pinchuk [14], E. M. Silvia [16] author [18] to study some important subclasses. We omit the details, for they are similar to those of B. Pinchuk [14], E. M. Silvia [16] and the author [18].

Theorem 5. Let $w \neq 0$ be a point of E and let $F(x_1, x_2, ..., x_{n+1})$ be analytic in a neighbourhood of each point

$$F(f'(w), f''(w), \ldots, f^{(n)}(w); w), f(z) \in V_k(b).$$

Then the functional $J(f') = \text{Re } F(f'(w), f''(w), \dots f^{(n)}(w), w)$ attains its maximum or minimum in $V_k(b)$ only for a function of the form

(3.1)
$$f'(z) = \prod_{j=1}^{M} (1 - \varepsilon_j z)^{bv_j} \cdot \prod_{j=1}^{N} (1 - e_j z)^{-bu_j},$$

where

$$\sum_{j=1}^{N} u_{j} \leq \frac{k}{2} + 1, \quad \sum_{j=1}^{M} v_{j} \leq \frac{k}{2} - 1,$$

and $1\varepsilon_j = |e_j| = 1$.

Thus choosing $J(f') = \text{Re} \log f'(z)$ (n=1) or $J(f') = \text{Im} \log f'(z)$ in the above theorem the maximum or minimum of J(f') can be attained only for a function of the form (2.5). Using elementary calculus we can prove the following theorems. Throughout the rest of the paper we assume that b = c + id.

Theorem 6. (Distortion) If $f(z) \in V_k(b)$ then for |z| = r < 1

$$(3.2) L(r) \leq \log |f'(z)| \leq M(r),$$

Functions of Bounded Rotation of Complex Order

where

and

$$L(r) = (\frac{k}{2} - 1) \cdot c \cdot \log \frac{\sqrt{|b|^2 - d^2r^2 - cr}}{|b|}$$

$$- (\frac{k}{2} + 1) \cdot c \cdot \log \frac{\sqrt{|b|^2 - d^2r^2 + cr}}{|b|} - kd \cdot \sin^{-1}(\frac{dr}{|b|})$$

$$M(r) = (\frac{k}{2} - 1) \cdot c \cdot \log \frac{\sqrt{|b|^2 - d^2r^2 + cr}}{|b|}$$

$$- (\frac{k}{2} + 1) \cdot c \cdot \log \frac{\sqrt{|b|^2 - d^2r^2 - cr}}{|b|} + kd \sin^{-1}(\frac{dr}{|b|}).$$

The result is sharp.

Theorem 7. (Rotation) If $f(z) \in V_k(b)$ then for |z| = r < 1,

$$(3.3) P(r) \leq \arg f'(z) \leq Q(r),$$

where

$$P(r) = (\frac{k}{2} - 1) \cdot d \cdot \log \frac{\sqrt{|b|^2 - c^2 r^2 - dr}}{|b|}$$

$$- (\frac{k}{2} + 1) \cdot d \cdot \log \frac{\sqrt{|b|^2 - c^2 r^2 + dr}}{|b|} - ck \cdot \sin^{-1}(\frac{cr}{|b|})$$

$$Q(r) = (\frac{k}{2} - 1) \cdot d \cdot \log \frac{\sqrt{|b|^2 - c^2 r^2 + dr}}{|b|}$$

$$- (\frac{k}{2} + 1) \cdot d \cdot \log \frac{\sqrt{|b|^2 - c^2 r^2 - dr}}{|b|} + ck \cdot \sin^{-1}(\frac{cr}{|b|})$$

and

The result is sharp.

If $b = (1 - \beta)\cos\alpha e^{-i\alpha}$ Theorems 6 and 7 reduce to Theorems 11 and 12 of the author [19]. If k = 2, we get the results obtained by M. A. Nasr and M. A. Aouf [10]. For b = 1, we get the well-known [14] results for the class V_k .

4. Radius of close-to-convexity

In [5], W. Kaplan has shown that a function $f(z) \in A$ and $f'(z) \neq 0$ in E maps |z| = r < 1 onto a close-to-convex curve if and only if

$$\arg [z_2 f'(z_2)] - \arg [z_1 f'(z_1)] \ge -\pi$$

P. G. Umarani

for all z_1 and z_2 satisfying $z_2 = z_1 e^{i\theta}$, $0 < \theta < 2\pi$ and $|z_2| = |z_1| = r$. By using the techniques introduced by J. Krzyz [6] we find the sharp radius of close-to-convexity of the class $V_k(b)$.

Theorem 8. Let r_0 be the radius of convexity of $V_k(b)$ and let $x_0 = \cos \frac{\theta_0}{2}$ be the unique root in (0, 1] of the equation

$$(4.1) Ax^4 - Bx^2 + C = 0,$$

where

$$A = 16(1-c)^{2} \cdot r^{4} + 4k^{2}d^{2}r^{4},$$

$$B = 4k^{2}d^{2}r^{4} + k^{2}r^{2}|b|^{2} (1-r^{2})^{2}$$

$$+8(1-c)r^{2}(1+r^{2})[1+(1-2c)r^{2}],$$

$$C = (1+r^{2})^{2}[1+(1-2c)r^{2}]^{2}$$

for $r \in [r_0, 1)$, $x = \cos \frac{\theta}{2}$, $0 \le \theta < \pi$

and

$$\Delta(r) = \theta_0 + 2c \cdot \tan^{-1} \left(\frac{r^2 \sin \theta_0}{1 - r^2 \cos \theta_0} \right)$$

$$+ \left(\frac{k}{2} - 1 \right) d \cdot \log \left\{ \left[(1 - r^2)^2 + \frac{4d^2 r^2 \sin^2 \theta_0 / 2}{|b|^2} \right]^{1/2} + \frac{2dr}{|b|} \cdot \sin \frac{\theta_0}{2} \right\}$$

$$- \left(\frac{k}{2} + 1 \right) d \cdot \log \left\{ \left[(1 - r^2)^2 + \frac{4d^2 r^2 \sin^2 \theta_0 / 2}{|b|^2} \right]^{1/2} + \frac{2dr}{|b|} \cdot \sin \frac{\theta_0}{2} \right\}$$

$$- kc \cdot \sin^{-1} \left\{ \frac{2 cr \sin \theta_0 / 2}{|b| (1 - 2r^2 \cos \theta_0 + r^4)^{1/2}} \right\} + 2 d \cdot \log (1 - r^2).$$

The radius of close-to-convexity of $V_k(b)$ is the unique root r_1 of the equation $\Delta(r) = -\pi$ in the interval $(r_0, 1)$.

Proof. Let
$$\Delta(r, \theta) = \inf \arg \left\{ \frac{z_2 \cdot f'(z_2)}{z_1 \cdot f'(z_1)} \right\}$$

$$f(z) \in V_{\nu}(b),$$

where z_1 , z_2 are any two points satisfying $|z_1| = r < 1$, $z_2 = z_1 e^{i\theta}$, $0 < \theta < 2\pi$ and the argument is so chosen as to vary continuously from an initial value of zero. Let $f(z) \in V_k(b)$. Then from Theorem 2, F(z) defined by

Functions of Bounded Rotation of Complex Order

$$F'(z) = \frac{f'\left(\frac{z+x}{1+\bar{x}z}\right)}{f'(x) \cdot (1+\bar{x}z)^{2b}}, \quad F(0) = 0$$

is also in $V_k(b)$. Let $w_0 = \frac{z_2 - z_1}{1 - \bar{z}_1 \cdot z_2}$.

Hence

$$F'(w_0) = \frac{f'(z_2)}{f'(z_1)} \cdot \left\{ \frac{1 - \bar{z}_1 \cdot z_2}{1 - |z_1|^2} \right\}^{2b}.$$

$$\Delta(r, \theta) = \arg \frac{z_2}{z_1} \left\{ \frac{1 - |z_1|^2}{1 - \bar{z}_1 \cdot z_2} \right\}^{2b} + \inf_{F \in V_b(b)} F'(w_0).$$

Also we have

$$|w_0| = r \left\{ \frac{2(1-\cos\theta)}{1-2r^2\cos\theta+r^4} \right\}^{1/2}$$

and

$$\arg \frac{z_2}{z_1} \left\{ \frac{1 - |z_1|^2}{1 - \bar{z}_1 \cdot z_2} \right\}^{2b} = \theta + 2c \cdot \tan^{-1} \left(\frac{r^2 \sin \theta}{1 - r^2 \cos \theta} \right) + 2d \cdot \log \left\{ \frac{1 - r^2}{(1 - 2r^2 \cos \theta + r^4)^{1/2}} \right\}.$$

Using these results and Theorem 7 in (4.3), we get

$$\Delta(r, \theta) = \theta + 2c \cdot \tan^{-1}\left(\frac{r^2 \sin \theta}{1 - r^2 \cos \theta}\right)$$

$$+ (\frac{k}{2} - 1) \cdot d \cdot \log\left\{\left[(1 - r^2)^2 + \frac{4d^2r^2}{|b|^2} \sin^2\frac{\theta}{2}\right]^{\frac{1}{2}} - \frac{2dr}{|b|} \cdot \sin\frac{\theta}{2}\right\}$$

$$(4.4) \quad -(\frac{k}{2} + 1) \cdot d \cdot \log\left\{\left[(1 - r^2)^2 + \frac{4d^2r^2}{|b|^2} \cdot \sin^2\frac{\theta}{2}\right]^{1/2} + \frac{2dr}{|b|} \cdot \sin\frac{\theta}{2}\right\}$$

$$-ck \sin^{-1}\left\{\frac{2cr \sin \theta/2}{|b| \cdot (1 - 2r^2 \cos \theta + r^4)^{1/2}}\right\} + 2d \log(1 - r^2).$$

Further there exists a function $f(z) \in V_k(b)$ for which equality holds in (4.4), for fixed z_1 and z_2 letting g(z) in $V_k(b)$ be defined by

$$f'(z) = \frac{g'(\frac{z - z_1}{1 - \bar{z}_1 \cdot z})}{g'(-z_1) \cdot (1 - \bar{z}_1 \cdot z)^{2b}}, \quad f(0) = 0$$

it follows that

$$\Delta(r, \theta) = \arg \left\{ \frac{z_2 g'(z_2)}{z_1 g'(z_1)} \right\}.$$

Let $\Delta(r) = \inf_{\substack{0 \le \theta < 2\pi}} \Delta(r, \theta)$. Then $\Delta(r)$ is a decreasing function of r and the radius of close-to-convexity is the root r_1 of the equation $\Delta(r) = -\pi$, provided such a root exists.

We now show that $\Delta(r)$ is given by (4.2) and establish the existence of the root r_1 .

Since r_0 is the radius of convexity of $V_k(b)$, $\Delta(r) \ge 0$ for $r \le r_0$, $\Delta(r_0) = 0$ and so $r_1 > r_0$. Thus, we assume that $r \in [r_0, 1)$.

Differentiating (4.4) w.r.t. θ , we get

$$\frac{\partial \Delta(r, \theta)}{\partial \theta} = \frac{P(x) - Q(x)}{R(x)}, \text{ where}$$

$$P(x) = (1 + r^2)[1 + (1 - 2c)r^2] - 4(1 - c) \cdot r^2 x^2$$

$$Q(x) = kr|b|x[(1 - r^2)^2 + \frac{4d^2r^2}{|b|^2}(1 - x^2)]^{1/2}$$

$$R(x) = (1 + r^2)^2 - 4r^2x^2,$$

$$x = \cos\frac{\theta}{2}.$$

where

Now for $\theta \in [\pi, 2\pi)$, $\frac{\partial \Delta(r, \theta)}{\partial \theta}$ has no zeros and for $\theta \in (0, \pi)$, the zeros of $\frac{\partial \Delta(r, \theta)}{\partial \theta}$ correspond to the roots of $H(x) = (P(x))^2 - (Q(x))^2 = 0$, i.e., $H(x) = A \cdot x^4 - Bx^2 + C = 0$, where A, B, C are as given in the statement of the theorem.

The roots of H(x)=0 are

$$x_0 = \left[\frac{B - \sqrt{B^2 - 4AC}}{2A}\right]^{1/2};$$

$$x_1 = \left[\frac{B + \sqrt{B^2 - 4AC}}{2A}\right]^{1/2}$$
.

Now $H(0) = (1+r^2)^2 [1+(1-2c)r^2]^2 > 0$ and

$$H(1) = A - B + C = (1 - r^2)^2 \left\{ 1 + kr \left| b \right| + (2c - 1)r^2 \right\} \left\{ 1 - kr \left| b \right| + (2c - 1)r^2 \right\} < 0$$

if and only if $r \in (r_0, 1)$.

Hence there exists a root in (0, 1) of the equation H(x) = 0 for $r \in (r_0, 1)$. Since B - A - C > 0 for $r \in (r_0, 1)$, $x_1 > 1$.

Hence x_0 is the only root of H(x) = 0 in (0, 1).

It can be easily verified that $\frac{\partial^2 \Delta(r, \theta)}{\partial \theta^2} > 0$ for $\theta \in (0, \pi)$. Therefore minimum of

 $\Delta(r, \theta)$ is attained at $x = x_0 = \cos \frac{\theta_0}{2}$ which yields (4.2).

We have $\Delta(r_0) = 0$ and $\Delta(r) \to -\infty$ as $r \to 1^-$. Hence $\Delta(r)$ is a continuous decreasing function of r, therefore there exists a unique root r_1 of the equation $\Delta(r) = -\pi$ in the interval $(r_0, 1)$ and this root r_1 is the radius of close-to-convexity of $V_k(b)$. This completes the proof of the theorem.

The above theorem generalizes the results of the author [18] and [20] when $b = \cos \alpha e^{-i\alpha}$ and $b = 1 - \rho$, M. A. Nasr and M. A. Aouf [10] when k = 2, H. B. Coonce and M. R. Ziegler [3] when b=1 and that of R. J. Libera and M. R. Ziegler [7] when $b = \cos \alpha e^{-i\alpha}$ and k = 2.

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Received 01.03.1988